

## Slowly varying media: Ray theory

Suppose the medium is not homogeneous (gravity waves impinging on a beach, i.e. a varying depth). Then a pure plane wave whose properties are constant in space and time is not a proper description of the wave field.

However, if the changes in the background occur on scales that are long and slow compared to the wavelength and period of the wave, a plane wave solution may be locally appropriate. (Fig. 2.1) This means:  $\lambda \ll L_m$  where  $L_m$  is the length scale over which the medium changes. Consider the local plane wave

$$\phi(\vec{x}, t) = a(\vec{x}, t) e^{i\theta(\vec{x}, t)}$$

$a$  varies on the scale  $L_m$  while  $\theta$  varies on the scale  $\lambda$ .

$$\frac{\partial \theta}{\partial x_i} = O\left(\frac{1}{\lambda}\right); \quad \frac{1}{a} \frac{\partial a}{\partial x_i} = O\left(\frac{1}{L_m}\right)$$

$$\Rightarrow \underline{\nabla} \phi = a e^{i\theta} \bullet \underline{\nabla} \theta + O\left(\frac{\lambda}{L_m}\right)$$

with  $\theta = \vec{k} \bullet \vec{x} - \omega t$

Define the local wavenumber and the local frequency as:

$$\vec{k} = \underline{\nabla} \theta|_t \quad \omega = -\frac{\partial \theta}{\partial t}|_x$$

From these definitions it follows that:

$\underline{\nabla} \times \vec{k} = 0$  the local wave number is irrotational.

Conservation of crests in a slowly varying medium.

Suppose we go from point A to point B over the curve  $C_1$ .

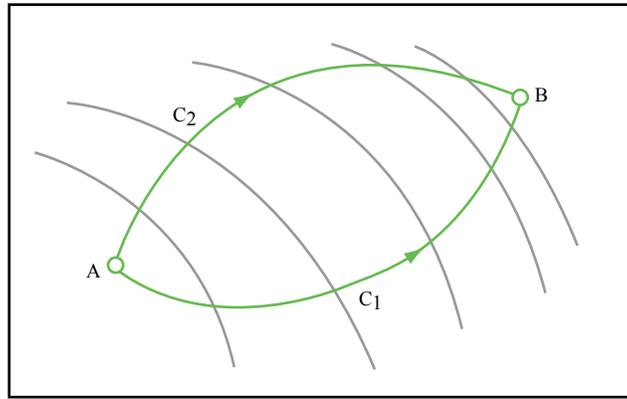


Figure by MIT OpenCourseWare.

Figure 1

slowly varying wave fronts

The number of wave crests we pass along  $C_1$  is

$$n_{c_1} = \frac{1}{2\pi} \int_A^B \vec{k} \cdot d\vec{s} = \frac{1}{2\pi} \int_{c_1} \vec{k} \cdot d\vec{s}$$

The number of wave crests we pass along  $C_2$  is

$$n_{c_2} = \frac{1}{2\pi} \int_A^B \vec{k} \cdot d\vec{s} = \frac{1}{2\pi} \int_{c_2} \vec{k} \cdot d\vec{s}$$

Before for plane waves  $\omega = \Omega(\vec{k})$  only, now  $\omega = \Omega(\vec{k}, \vec{x}, t)$ .

As  $(\omega, \vec{k})$  are slowly varying functions of space/time, the dispersion relation is explicitly dependent on space/time. Now we can introduce the group velocity in another way

$$\begin{aligned} \frac{\partial \omega}{\partial t} \Big|_{\vec{x}} &= \frac{\partial \Omega}{\partial t} \Big|_{\vec{k}, \vec{x}} + \frac{\partial \Omega}{\partial k_i} \Big|_{\vec{x}, t} \frac{\partial k_i}{\partial t} \Big|_{\vec{x}} = \\ &= \frac{\partial \Omega}{\partial t} \Big|_{\vec{k}, \vec{x}} + c_{gi} \frac{\partial k_i}{\partial t} \Big|_{\vec{x}} \end{aligned}$$

Where we use the summation convention over repeated indices,

and  $c_{gi} = \frac{\partial \Omega}{\partial k_i}$  by definition  $i = 1, 2, 3 = x, y, z$

$$\vec{c}_g = \nabla_{\vec{k}} \Omega \quad \text{group velocity}$$

The difference is:

$$n_{c_1} - n_{c_2} = \frac{1}{\pi} \left[ \left( \int_{c_1} - \int_{c_2} \right) \vec{k} \cdot d\vec{s} \right] = \frac{1}{\pi} \left[ \left( \int_{c_1} + \int_{c_2} \right) \vec{k} \cdot d\vec{s} \right] = \frac{1}{2\pi} \oint_{c_{\text{total}}} \vec{k} \cdot d\vec{s} = \iint_A \nabla_{\vec{x}} \vec{k} \cdot \hat{n} dA \equiv 0$$

$$\hat{n} = \text{unit vector normal to } C$$

We have used Stokes theorem relating the line integral of the tangential component of  $\vec{k}$  to the area integral of its curl over the area bounded by the closed contour  $C$ . The increase of phase is the same on  $C_1$  and  $C_2$ . This means the number of crests along  $C_1$  is the same as the number of crests along  $C_2$ , that is the number of crests inside the area  $A$  is conserved. Crests are neither created nor destroyed inside  $A$ . The crests have no ends, so the number of crests within a wave group will be the same for all time. This is obviously true only for slowly varying plane waves.

From the definition of  $\vec{k}$  and  $\omega$  it follows:

$$\frac{\partial \vec{k}}{\partial t} + \nabla \omega = 0 \quad (1)$$

We have seen that the number of crests we cross from  $A$  to  $B$  is the same along any path connecting  $A$  and  $B$ . Then:

$$n = \frac{1}{2\pi} \int_A^B \vec{k} \cdot d\vec{s}$$

$$\frac{\partial n}{\partial t} = \frac{1}{2\pi} \int_A^B \frac{\partial \vec{k}}{\partial t} \cdot d\vec{s} = -\frac{1}{2\pi} \int_A^B \nabla \omega \cdot d\vec{s} = \frac{1}{2\pi} (\omega(A) - \omega(B))$$

This says that the rate of change of the number of wave crests between  $A$  and  $B$  is equal to the frequency of crest inflow at  $A$  minus the frequency crest outflow at  $B$ .

Crests are neither created nor destroyed in the smoothly varying function  $\phi$ . The number in any local region increases or decreases solely due to the arrival of pre-existing crests at A, not to the creation or destruction of existing crests.

We now introduce the dynamics by asserting that the wavenumber and frequency must be related by a dispersion relation in the same way as for a plane wave.

Since by eq. (1)

$$\frac{\partial k_i}{\partial t} = -\frac{\partial \omega}{\partial x_i} \quad \text{we have}$$

$$\frac{\partial \omega}{\partial t} = \frac{\partial \Omega}{\partial t} - c_{gi} \frac{\partial \omega}{\partial x_i} \quad \text{or}$$

$$\frac{\partial \omega}{\partial t} + \bar{c}_g \bullet \nabla \omega = \frac{\partial \Omega}{\partial t} \Big|_{\vec{k}, \bar{x}} \quad (1) \text{ equation for } \omega$$

Similarly from (1)

$$\frac{\partial k_i}{\partial t} \Big|_{\bar{x}} + \frac{\partial \Omega}{\partial x_i} \Big|_{\vec{k}, t} + \frac{\partial \Omega}{\partial k_j} \Big|_{\bar{x}, t} \frac{\partial k_j}{\partial x_i} = 0$$

$$\frac{\partial k_i}{\partial t} + \frac{\partial \Omega}{\partial k_j} \frac{\partial k_j}{\partial x_i} = -\frac{\partial \Omega}{\partial x_i} \quad \text{or}$$

$$\frac{\partial \vec{k}}{\partial t} + \bar{c}_g \bullet \nabla \vec{k} = -\nabla \Omega \Big|_{\vec{k}, t} \quad (2)$$

The “ray equation” gives the velocity at which the wave packet, or wave group, moves:

$$\bar{c}_g = \frac{d\bar{x}}{dt} \quad \text{or} \quad c_{gx} = \frac{dx}{dt}; \quad c_{gy} = \frac{dy}{dt}$$

in two dimensions. Then the ray path in the (x,y) plane is

$$\frac{dy}{dx} = \frac{c_{gy}}{c_{gx}} \quad \frac{d}{dt} = \frac{\partial}{\partial t} + \bar{c}_g \bullet \nabla$$

$$\bar{c}_g = \frac{d\bar{x}}{dt} \quad (\text{I})$$

$$\frac{\partial \omega}{\partial t} + \bar{c}_g \bullet \nabla \omega = \frac{\partial \Omega}{\partial t} \Big|_{\bar{t}, \bar{x}} \quad (\text{II})$$

$$\frac{\partial \bar{k}}{\partial t} + \bar{c}_g \bullet \nabla \bar{k} = -\nabla \Omega \Big|_{\bar{k}, t} \quad (\text{III})$$

$\Omega = \Omega(\bar{k}, \bar{x}, t)$  has an explicit parametric dependence on  $(\bar{x}, t)$ , for instance when waves enter in water of changing depth. The ray equations give the evolution of the local wavenumber  $\bar{k}$  and the local frequency  $\omega$  as we move along the ray, i.e. we move with the wave packet at the local group velocity  $\bar{c}_g$ . Is a plane wave a particular solution of the ray theory formulation? Suppose the medium is homogeneous, no changes in  $(\bar{x}, t)$   
 $\omega = \Omega(\bar{k})$  only

Solution: plane wave  $\phi = ae^{i(\bar{k} \bullet \bar{x} - \omega t)}$

where  $(\bar{k}, \omega)$  do not change but are constant in space

Initial condition  $\phi(\bar{x}) = ae^{i\bar{k} \bullet \bar{x}}$  gives  $\bar{k}(t=0)$

As  $\frac{\partial \bar{k}}{\partial x_2} \equiv 0$  and  $\frac{\partial \Omega}{\partial x_1} \equiv 0$

The ray equation (III) gives

$\frac{\partial \bar{k}}{\partial t} = 0$ :  $\bar{k}$  never changes along the ray and remains equal to  $\bar{k}(t=0)$ .

$\omega = \Omega(\bar{k})$  gives  $\omega$  at  $t = 0$

As  $\frac{\partial \omega}{\partial x_i} = 0$  ;  $\frac{\partial \Omega}{\partial t} = 0$  eq. (II) gives

$$\frac{\partial \omega}{\partial t} = 0 \quad \omega = \omega(t=0)$$

The frequency never changes along the ray. Thus the plane wave solution in a homogeneous medium is entirely consistent with the ray theory formulation.

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