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6.642 Continuum Electromechanics  
Fall 2008

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**Lecture 11: Smoothly Inhomogeneous Systems**  
**Continuum Electromechanics (Melcher) – Section 8.18**

I. Governing Equations

$$\rho \left[ \frac{\partial \bar{\mathbf{v}}}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) \bar{\mathbf{v}} \right] = -\nabla p + q \bar{\mathbf{E}} - \frac{1}{2} E^2 \nabla \varepsilon - \rho g \bar{\mathbf{i}}_x$$

$$\frac{\partial \rho}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) \rho = 0 \Rightarrow \nabla \cdot \bar{\mathbf{v}} = 0, \quad \frac{\partial \rho}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) \rho = 0 \quad (\text{Incompressible})$$

$$\nabla \cdot \bar{\mathbf{J}} + \frac{\partial q}{\partial t} = 0, \quad \bar{\mathbf{J}} = q \bar{\mathbf{v}} \Rightarrow \frac{\partial q}{\partial t} + \nabla \cdot (q \bar{\mathbf{v}}) = \frac{\partial q}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) q = 0$$

( $q$ =charge density,  $\nabla \cdot \bar{\mathbf{v}} = 0$ )  
 Perfectly insulating fluid

$$\frac{\partial \varepsilon}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) \varepsilon = 0$$

(Permittivity tied to fluid)

$$\frac{D\varepsilon}{Dt} = \frac{\partial \varepsilon}{\partial t} + (\bar{\mathbf{v}} \cdot \nabla) \varepsilon = 0$$

$$\nabla \cdot (\varepsilon \bar{\mathbf{E}}) = q$$

II. Linearization

$$\rho_0 \frac{\partial \bar{\mathbf{v}}'}{\partial t} = -\rho' g \bar{\mathbf{i}}_x - \nabla p' + q' \bar{\mathbf{E}}_0 + q_0 \bar{\mathbf{e}} - \frac{1}{2} E_0^2 \nabla \varepsilon' - \bar{\mathbf{E}}_0 \cdot \bar{\mathbf{e}} \nabla \varepsilon_0$$

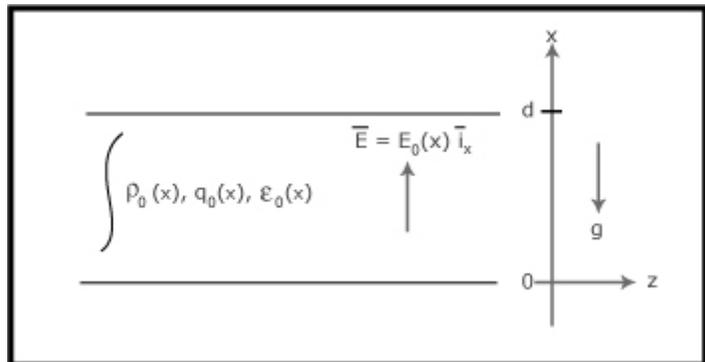
$$\nabla \cdot \bar{\mathbf{v}}' = 0$$

$$\frac{\partial \rho'}{\partial t} + (\bar{\mathbf{v}}' \cdot \nabla) \rho_0 = 0$$

$$\frac{\partial \varepsilon'}{\partial t} + (\bar{\mathbf{v}}' \cdot \nabla) \varepsilon_0 = 0$$

$$\frac{\partial q'}{\partial t} + (\bar{\mathbf{v}}' \cdot \nabla) q_0 = 0$$

$$\nabla \cdot (\varepsilon' \bar{\mathbf{E}}_0) + \nabla \cdot (\varepsilon_0 \bar{\mathbf{e}}) = q'$$



$$\bar{\mathbf{E}} = E_0 \bar{\mathbf{i}}_x + \bar{\mathbf{e}}, \quad \bar{\mathbf{e}} = -\nabla \Phi', \quad \Phi' = \text{Re} \left[ \hat{\Phi}(x) e^{j(\omega t - kz)} \right]$$

$$\bar{v} = 0 + \bar{v}'$$

$$\rho = \rho_0(x) + \rho'$$

$$q = q_0(x) + q'$$

$$\varepsilon = \varepsilon_0(x) + \varepsilon'$$

$$j\omega\hat{\rho} + \hat{v}_x \frac{d\rho_0}{dx} = 0 \Rightarrow \hat{\rho} = -\frac{\hat{v}_x}{j\omega} \frac{d\rho_0}{dx}$$

$$\hat{q} = -\frac{\hat{v}_x}{j\omega} \frac{dq_0}{dx}$$

$$\hat{\varepsilon} = -\frac{\hat{v}_x}{j\omega} \frac{d\varepsilon_0}{dx}$$

### Momentum Equations

$$\rho_0 j\omega \hat{v}_x = -\hat{\rho} g - \frac{d\hat{p}}{dx} + \hat{q} E_0(x) - q_0 \frac{d\hat{\Phi}}{dx} - \frac{1}{2} E_0^2(x) \frac{d\hat{\varepsilon}}{dx} + E_0(x) \frac{d\hat{\Phi}}{dx} \frac{d\varepsilon_0}{dx}$$

$$\rho_0 j\omega \hat{v}_z = jk\hat{p} + q_0(x) jk\hat{\Phi} + \frac{1}{2} E_0^2(x) jk\hat{\varepsilon}$$

$$\frac{d\hat{v}_x}{dx} - jk\hat{v}_z = 0 \Rightarrow \hat{v}_z = \frac{1}{jk} \frac{d\hat{v}_x}{dx}$$

$$\frac{d}{dx} (\hat{\varepsilon} E_0(x)) + \frac{d}{dx} \left( \varepsilon_0 \left( -\frac{d\hat{\Phi}}{dx} \right) \right) + jk(-jk\hat{\Phi}) \varepsilon_0 = \hat{q}$$

$$\hat{p} = \frac{1}{jk} \left[ \rho_0 j\omega \hat{v}_z - q_0 jk\hat{\Phi} - \frac{1}{2} jk E_0^2 \hat{\varepsilon} \right]$$

$$= \frac{1}{jk} \left[ \frac{\rho_0 j\omega}{jk} \frac{d\hat{v}_x}{dx} - q_0 jk\hat{\Phi} - \frac{1}{2} jk E_0^2 \hat{\varepsilon} \right]$$

$$\rho_0 j\omega \hat{v}_x = -\hat{\rho} g - \frac{1}{jk} \left[ \frac{\omega}{k} \frac{d}{dx} \left( \rho_0 \frac{d\hat{v}_x}{dx} \right) - jk \frac{d}{dx} (q_0 \hat{\Phi}) - \frac{jk}{2} \frac{d}{dx} (E_0^2 \hat{\varepsilon}) \right] + \hat{q} E_0 - q_0 \frac{d\hat{\Phi}}{dx} - \frac{1}{2} E_0^2 \frac{d\hat{\varepsilon}}{dx} + E_0 \frac{d\hat{\Phi}}{dx} \frac{d\varepsilon_0}{dx}$$

$$j\omega \left[ \frac{d}{dx} \left( \rho_0 \frac{d\hat{v}_x}{dx} \right) - \rho_0 k^2 \hat{v}_x \right] = -k^2 g \frac{d\rho_0}{dx} \frac{\hat{v}_x}{j\omega} - k^2 \hat{\Phi} \frac{dq_0}{dx} + k^2 E_0 \frac{dq_0}{dx} \frac{\hat{v}_x}{j\omega} + k^2 E_0 \frac{dE_0}{dx} \frac{d\varepsilon_0}{dx} \frac{\hat{v}_x}{j\omega} - k^2 E_0 \frac{d\varepsilon_0}{dx} \frac{d\hat{\Phi}}{dx}$$

Gauss' Law

$$-\frac{d}{dx} \left[ \varepsilon_0 \frac{d\hat{\Phi}}{dx} \right] + k^2 \varepsilon_0 \hat{\Phi} + \hat{\varepsilon} \frac{dE_0}{dx} + E_0 \frac{d\hat{\varepsilon}}{dx} = -\frac{dq_0}{dx} \frac{\hat{v}_x}{j\omega}$$

$$\frac{d}{dx} \left[ \varepsilon_0 \frac{d\hat{\Phi}}{dx} \right] - k^2 \varepsilon_0 \hat{\Phi} + \frac{dE_0}{dx} \frac{d\varepsilon_0}{dx} \frac{\hat{v}_x}{j\omega} + \frac{E_0}{j\omega} \frac{d}{dx} \left( \hat{v}_x \frac{d\varepsilon_0}{dx} \right) = \frac{dq_0}{dx} \frac{\hat{v}_x}{j\omega}$$

### III. Exchange of Stability

#### A. Momentum Equation

Multiply by  $\hat{v}_x^*$  and integrate from  $x=0$  to  $x=d$

Define:

$$I_1 = -\int_0^d \hat{v}_x^* \left[ \frac{d}{dx} \left( \rho_0 \frac{d\hat{v}_x}{dx} \right) - \rho_0 k^2 \hat{v}_x \right] dx$$

$$= \int_0^d \rho_0 \frac{d\hat{v}_x}{dx} \frac{d\hat{v}_x^*}{dx} + \rho_0 k^2 \hat{v}_x \hat{v}_x^* dx$$

$$= \int_0^d \rho_0 \left[ \left| \frac{d\hat{v}_x}{dx} \right|^2 + k^2 |\hat{v}_x|^2 \right] dx \quad (\text{positive definite and real})$$

$$I_2 = k^2 \int_0^d \left[ g \frac{d\rho_0}{dx} - E_0 \frac{dq_0}{dx} - E_0 \frac{dE_0}{dx} \frac{d\varepsilon_0}{dx} \right] |\hat{v}_x|^2 dx \quad (\text{real})$$

$$I_3 = k^2 \int_0^d \hat{v}_x^* \left[ \frac{dq_0}{dx} \hat{\Phi} + E_0 \frac{d\varepsilon_0}{dx} \frac{d\hat{\Phi}}{dx} \right] dx$$

$$j\omega I_1 = \frac{I_2}{j\omega} + I_3$$

## B. Gauss' Law

$$\begin{aligned}
 I_4 &= -k^2 \int_0^d \hat{\Phi}^* \left[ \frac{d}{dx} \left( \epsilon_0 \frac{d\hat{\Phi}}{dx} \right) - k^2 \epsilon_0 \hat{\Phi} \right] dx \\
 &= -k^2 \hat{\Phi}^* \epsilon_0 \frac{d\hat{\Phi}}{dx} \Big|_0^d + k^2 \int_0^d \left[ \epsilon_0 \frac{d\hat{\Phi}}{dx} \frac{d\hat{\Phi}^*}{dx} + k^2 \epsilon_0 \hat{\Phi} \hat{\Phi}^* \right] dx \\
 &= k^2 \int_0^d \epsilon_0 \left[ \left| \frac{d\hat{\Phi}}{dx} \right|^2 + k^2 |\hat{\Phi}|^2 \right] dx \quad (\text{positive definite and real}) \\
 I_5 &= k^2 \int_0^d \hat{\Phi}^* \left[ \frac{d}{dx} \left( E_0 \frac{d\epsilon_0}{dx} \hat{v}_x \right) - \frac{dq_0}{dx} \hat{v}_x \right] dx \\
 &= k^2 \hat{\Phi}^* E_0 \frac{d\epsilon_0}{dx} \hat{v}_x \Big|_0^d - k^2 \int_0^d \left[ E_0 \frac{d\epsilon_0}{dx} \hat{v}_x \frac{d\hat{\Phi}^*}{dx} + \hat{\Phi}^* \frac{dq_0}{dx} \hat{v}_x \right] dx \\
 &= -I_3^* \Rightarrow -I_4 + \frac{I_5}{j\omega} = 0
 \end{aligned}$$

## C. Stability

$$I_5 = -I_3^* = j\omega I_4$$

$$-\omega^2 I_1 = I_2 + j\omega I_3 = I_2 + j\omega(j\omega^*) I_4 = -\omega\omega^* I_4 + I_2$$

$$-\omega^2 I_1 - I_2 + \omega\omega^* I_4 = 0$$

$I_1$  and  $I_4$  positive definite real,  $I_2$  (real)

$$\omega = \alpha + j\beta, \quad \omega^* = \alpha - j\beta$$

$$-(\alpha^2 - \beta^2 + 2j\alpha\beta) I_1 - I_2 + (\alpha^2 + \beta^2) I_4 = 0$$

$$\alpha\beta I_1 = 0$$

$$-(\alpha^2 - \beta^2) I_1 - I_2 + (\alpha^2 + \beta^2) I_4 = 0$$

Either  $\alpha = 0$  or  $\beta = 0$ :  $e^{j\omega t} = e^{j(\alpha+j\beta)t} = e^{j\alpha t} e^{-\beta t} \Rightarrow \beta > 0$  Stable

$$\alpha = 0, \quad \beta > 0$$

$$\beta^2 (I_1 + I_4) = I_2 \Rightarrow \beta^2 = \frac{I_2}{I_1 + I_4}$$

$$\beta = \pm \sqrt{\frac{I_2}{I_1 + I_4}}$$

For  $\beta$  real  $\Rightarrow I_2 > 0$  (Unstable when  $\beta$  negative)

Sufficient Condition for Stability ( $I_2 < 0$ )

$$g \frac{d\rho_0}{dx} - E_0 \frac{dq_0}{dx} - E_0 \frac{dE_0}{dx} \frac{d\varepsilon_0}{dx} < 0$$

#### IV. Weak Gradient Case Study (Boussinesq approximation)

$$\rho_0(x) = \rho_T (1 + \alpha x) \quad , \quad \alpha d \ll 1$$

$$q_0(x) = q_T (1 + \beta x) \quad , \quad \beta d \ll 1, \quad q_T \text{ small}$$

$$\varepsilon_0(x) = \varepsilon_T \text{ (constant)}$$

$$E_0(x) = E_T \text{ (constant)}$$

$$\rho_T j\omega \left[ \frac{d^2 \hat{v}_x}{dx^2} - k^2 \hat{v}_x \right] = -\frac{\hat{v}_x k^2}{j\omega} [g\rho_T \alpha - E_T \beta q_T] - k^2 \hat{\Phi} q_T \beta$$

$$\frac{d^2 \hat{\Phi}}{dx^2} - k^2 \hat{\Phi} = -\frac{\hat{v}_x}{j\omega} \frac{q_T \beta}{\varepsilon_T}$$

$$\hat{v}_x = \hat{V} e^{rx} \quad , \quad \hat{\Phi} = \hat{F} e^{rx}$$

$$\omega_m^2 = \frac{E_T \beta q_T - \alpha \rho_T g}{\rho_T} \quad ; \quad \omega_p^2 = \frac{(\beta q_T)^2 d^2}{\rho_T \varepsilon_T}$$

$$\frac{d^2 \hat{v}_x}{dx^2} - k^2 \hat{v}_x + \frac{k^2 \hat{v}_x}{\omega^2} \omega_m^2 + \frac{\beta q_T k^2}{\rho_T j\omega} \hat{\Phi} = 0$$

$$\frac{-q_T \beta}{j\omega \varepsilon_T} \hat{v}_x + \frac{d^2 \hat{\Phi}}{dx^2} - k^2 \hat{\Phi} = 0$$

$$\hat{V} \left[ r^2 - k^2 + k^2 \frac{\omega_m^2}{\omega^2} \right] + \frac{\beta q_T k^2}{\rho_T j \omega} \hat{F} = 0$$

$$\frac{-q_T \beta}{j \omega \epsilon_T} \hat{V} + (r^2 - k^2) \hat{F} = 0$$

$$\left[ r^2 - k^2 \right] \left[ r^2 - k^2 + k^2 \frac{\omega_m^2}{\omega^2} \right] - \frac{(q_T \beta)^2 k^2}{\omega^2 \rho_T \epsilon_T} = 0$$

$$\left[ r^2 - k^2 \right]^2 + \left[ r^2 - k^2 \right] \frac{k^2 \omega_m^2}{\omega^2} - \frac{\omega_p^2 k^2}{\omega^2 d^2} = 0$$

$$r^2 - k^2 = -\frac{k^2 \omega_m^2}{2\omega^2} \pm \frac{1}{2} \sqrt{\left( \frac{k^2 \omega_m^2}{\omega^2} \right)^2 + \frac{4\omega_p^2 k^2}{\omega^2 d^2}}$$

$$r_{1,2,3,4} = \pm \left[ k^2 - \frac{k^2 \omega_m^2}{2\omega^2} \pm \frac{1}{2} \sqrt{\left( \frac{k^2 \omega_m^2}{\omega^2} \right)^2 + \frac{4\omega_p^2 k^2}{\omega^2 d^2}} \right]^{1/2}$$

$$r_2 = -r_1$$

$$r_4 = -r_3$$

$$\hat{\Phi} = \Phi_1 e^{r_1 x} + \Phi_2 e^{r_2 x} + \Phi_3 e^{r_3 x} + \Phi_4 e^{r_4 x}$$

$$\hat{V}_x = \frac{j\omega}{q_T \beta} \left[ (r_1^2 - k^2) \Phi_1 e^{r_1 x} + (r_2^2 - k^2) \Phi_2 e^{r_2 x} + (r_3^2 - k^2) \Phi_3 e^{r_3 x} + \Phi_4 (r_4^2 - k^2) e^{r_4 x} \right]$$

Boundary Conditions:

$$\hat{\Phi}(x=0) = 0 \quad \hat{V}_x(x=0) = 0$$

$$\hat{\Phi}(x=d) = 0 \quad \hat{V}_x(x=d) = 0$$

$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ e^{r_1 d} & e^{-r_1 d} & e^{r_3 d} & e^{-r_3 d} \\ (r_1^2 - k^2) & (r_1^2 - k^2) & (r_3^2 - k^2) & (r_3^2 - k^2) \\ (r_1^2 - k^2) e^{r_1 d} & (r_1^2 - k^2) e^{-r_1 d} & (r_3^2 - k^2) e^{r_3 d} & (r_3^2 - k^2) e^{-r_3 d} \end{bmatrix} \begin{bmatrix} \Phi_1 \\ \Phi_2 \\ \Phi_3 \\ \Phi_4 \end{bmatrix} = 0$$

$$4(r_3^2 - r_1^2) \sinh r_3 d \sinh r_1 d = 0$$

$$r_3^2 = -\left(\frac{n\pi}{d}\right)^2 \text{ or } r_1^2 = -\left(\frac{n\pi}{d}\right)^2$$

$$-\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right] = \frac{-k^2\omega_m^2 \pm \frac{1}{2}\sqrt{\left(\frac{k^2\omega_m^2}{\omega^2}\right)^2 + \frac{4\omega_p^2 k^2}{\omega^2 d^2}}}{2\omega^2}$$

$$4\left\{\frac{k^2\omega_m^2}{2\omega^2} - \left[\left(\frac{n\pi}{d}\right)^2 + k^2\right]\right\}^2 = \frac{1}{4}\left[\left(\frac{k^2\omega_m^2}{\omega^2}\right)^2 + \frac{4\omega_p^2 k^2}{\omega^2 d^2}\right]$$

$$4\left[\left(\frac{k^2\omega_m^2}{2\omega^2}\right)^2 + \left[\left(\frac{n\pi}{d}\right)^2 + k^2\right]^2 - \frac{k^2\omega_m^2}{\omega^2}\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right]\right] = \left(\frac{k^2\omega_m^2}{\omega^2}\right)^2 + \frac{4\omega_p^2 k^2}{\omega^2 d^2}$$

$$\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right]^2 = \frac{1}{\omega^2}\left[k^2\omega_m^2\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right] + \frac{\omega_p^2 k^2}{d^2}\right]$$

$$\omega^2 = \frac{k^2\left[\omega_m^2\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right] + \frac{\omega_p^2}{d^2}\right]}{\left[\left(\frac{n\pi}{d}\right)^2 + k^2\right]^2}$$

$$\omega_p^2 > 0, \omega_m^2 > 0 \text{ if } \beta q_T E_T > \alpha \rho_T g \quad (\text{Stable } (\omega^2 > 0))$$

$$\omega_m^2 < 0 \text{ if } \beta q_T E_T < \alpha \rho_T g \quad (\text{Destabilizing})$$