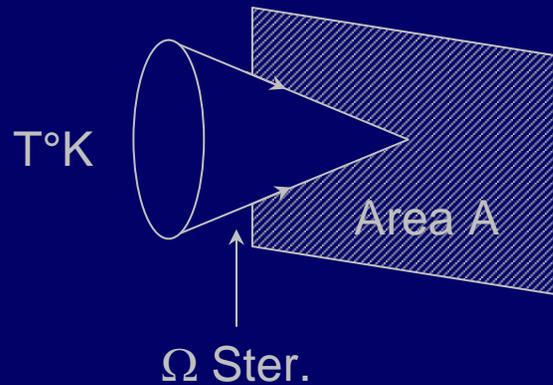


Photon noise



$$\sigma_p \text{ (watts)} = \sqrt{n_s \sigma_n^2} hf / \tau$$

$\left(\begin{array}{c} \text{number of states} \\ \text{in cavity in } B\tau \end{array} \right)$

Calculation of the number of electromagnetic modes = m:

Recall, for $hf \ll kT$, $kT \text{ W Hz}^{-1} \bullet \text{mode}^{-1} \Rightarrow \frac{2kT}{\lambda^2} \text{ W Hz}^{-1} \text{m}^{-2} \text{ster}^{-1}$

Therefore $\frac{2kT}{\lambda^2} / kT = \frac{2}{\lambda^2} = 2(f/c)^2 \left(\frac{\text{modes}}{\text{m}^2 \bullet \text{ster}} \right) \neq f(T)$

Therefore

$$m = 2(f/c)^2 A\Omega \text{ propagation modes}$$

Photon noise

Therefore

$$m = 2(f/c)^2 A\Omega \text{ modes}$$

$$\sigma_p(\text{watts}) = \sqrt{n_s \sigma_n^2} hf / \tau$$

Each mode has $2B\tau$ degrees of freedom

($2B$ samples sec^{-1} times τ sec) (Nyquist sampling)

Each energy state ($\varepsilon = hf$) has 2 degrees of freedom

($\sin \omega t$, $\cos \omega t$)

Therefore number of states n_s @ hf in $B\tau$:

$$n_s = (\# \text{ modes in } A\Omega) \cdot \left(\frac{\text{degrees}}{\text{mode}} \right) \cdot \left(\frac{\text{states}}{\text{degree}} \right)$$

$$= (m)(2B\tau)(1/2) = 2(f/c)^2 A\Omega(2B\tau)(1/2)$$

Photon noise

$$\sigma_p = \sqrt{n_s \sigma_n^2} hf / \tau \quad n_s = 2(f/c)^2 A \Omega B \tau \quad \sigma_n^2 = \bar{n} + \bar{n}^2$$

$$\overline{\text{photons/state}} = \bar{n} = \frac{1}{e^{hf/kT} - 1}$$

Therefore $\sigma_p = \sqrt{2 \left(\frac{f}{c}\right)^2 A \Omega B \tau \left(\bar{n} + \bar{n}^2\right) (hf)^2} / \tau^2$ W "quantum limit"

If boxcar $h(t)$ has $\tau = 0.5$ sec, \equiv 1-Hz post-detection bandwidth,
yields units of $W \text{ Hz}^{-1/2}$ (NEP_R)

$$NEP_R(f) = \sqrt{4A\Omega \left(\frac{f}{c}\right)^2 B \left(\bar{n} + \bar{n}^2\right) (hf)^2} \text{ W Hz}^{-1/2}$$

↑
"Noise-equivalent power" due to radiation noise

Photon noise

$$\text{NEP}_R(f) = \sqrt{4A\Omega \left(\frac{f}{c}\right)^2 B \left[\bar{n} + \bar{n}^2\right] (hf)^2} \text{ W Hz}^{-1/2}$$

“Noise-equivalent power” due to radiation noise

NEP_R for a blackbody, all frequencies ($B \rightarrow \infty$)

$$\text{NEP}_{R\infty} = \left[4A\Omega \int_0^{\infty} (hf^2/c)^2 (\bar{n} + \bar{n}^2) df \right]^{1/2} \text{ where } \bar{n}(f) = \frac{1}{e^{hf/kT} - 1}$$

$$\text{NEP}_{R\infty} = \left[4A\Omega (4kT) \frac{\sigma_{SB}}{\pi} T^4 \right]^{1/2} \left[\text{WHz}^{-1/2} \right]$$

Where $\sigma_{SB} \equiv$ “Stefan-Boltzmann constant” = $5.67 \times 10^{-8} \text{ Wm}^{-2}\text{K}^{-4}$
and Hz^{-1} refers to the detector output bandwidth

Photon noise

$$\text{NEP}_{R\infty} = \left[4A\Omega(4kT) \frac{\sigma_{\text{SB}}}{\pi} T^4 \right]^{1/2} \text{WHz}^{-1/2}$$

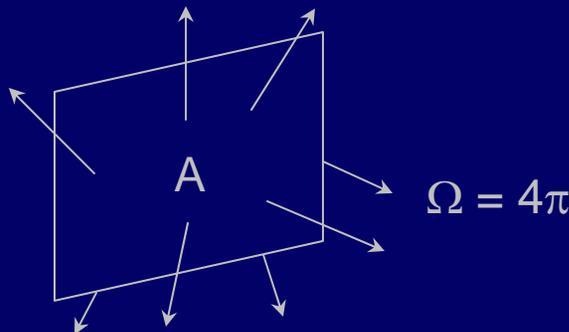
Where $\sigma_{\text{SB}} \equiv$ "Stefan-Boltzmann constant" = $5.67 \times 10^{-8} \text{Wm}^{-2}\text{K}^{-4}$

Recall: blackbodies radiate

$$P_r = A\Omega \frac{\sigma_{\text{SB}}}{\pi} T^4 \text{ watts (small } \Omega), P_r = A\sigma_{\text{SB}} T^4 \text{ if } \Omega = 2\pi$$

Therefore: $\text{NEP}_{R\infty} = [P_r 16kT]^{1/2} \text{WHz}^{-1/2}$ for $\Omega = 2\pi$

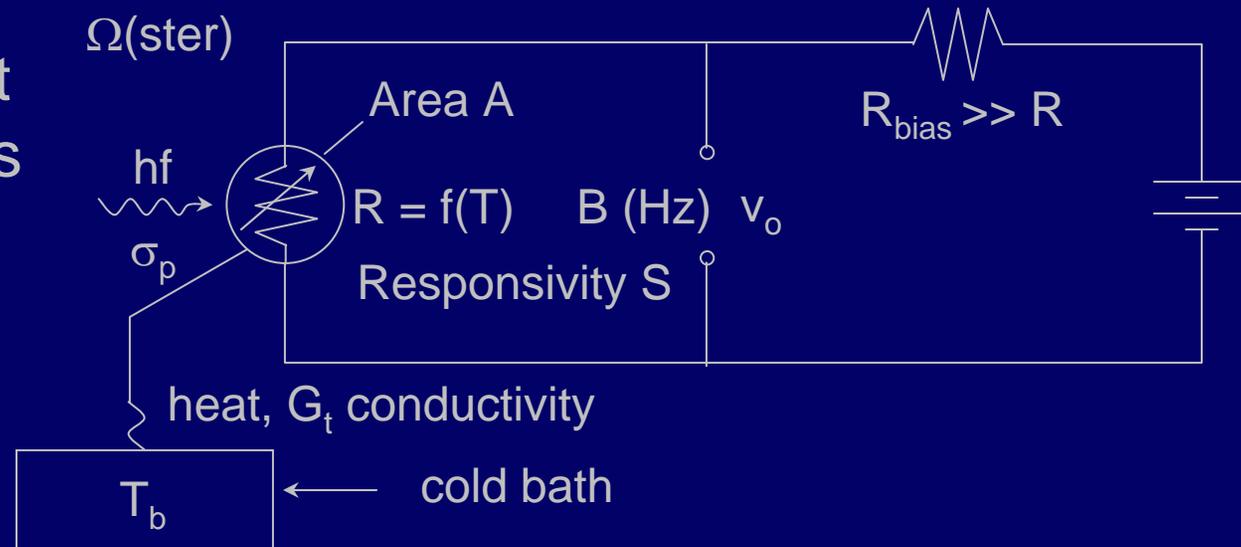
Therefore minimize T,A



$$\begin{aligned} \text{NEP}_{R\infty} &= 4\sqrt{A\sigma_{\text{SB}}kT^5} \\ &\cong 4 \times 10^{-16} \text{WHz}^{-1/2} \\ &\text{for } A = 10^{-6}, T = 4^\circ\text{K} \end{aligned}$$

Bolometer noise analysis

Bolometers first convert photons to heat



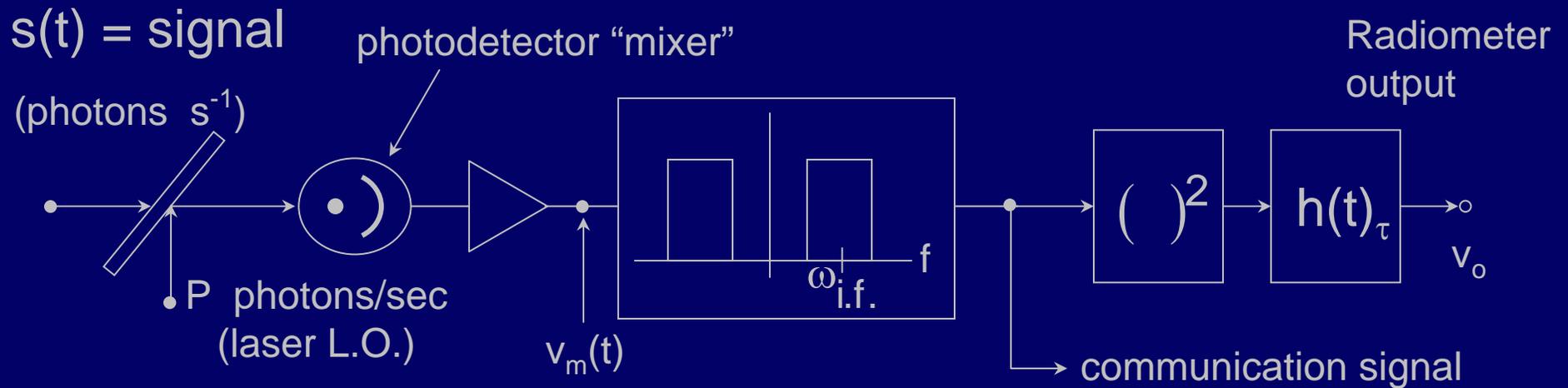
R , R_b produce Johnson noise

Radiated photons have shot noise, i.e. “radiation noise”

“Phonon noise” arises from shot noise in phonons carrying heat to the cold bath

$$\text{NEP} = \sqrt{\underbrace{4kT_b R / S^2}_{\text{Johnson noise}} + \underbrace{16A\Omega kT^5 \sigma_{\text{SB}} / \pi}_{\text{Photon shot noise}} + \underbrace{4kG_t T_b^2}_{\text{Phonon shot noise}}} \quad (\text{W Hz}^{-1/2})$$

Optical Superheterodynes



Let signal $s(t) = \sqrt{2S} \cos \omega_s t \propto \overline{E(t)}$, $\overline{s^2(t)} \triangleq S$

local oscillator $p(t) = \sqrt{2P} \cos \omega_o t$ Both are coherent lasers

D = dark photons/sec

Mixer output (L.O. $\equiv 0$) = $\eta S + D$ counts/sec, where $\eta \equiv$ quantum efficiency

$$v_m(t) = \text{constant} \cdot \eta \left(\sqrt{2S_o} \cos \omega_s t \right)^2 \text{ (volts)}$$

$$= \text{constant} \left[\eta (S + P + \sqrt{SP} \cos \omega_{i.f.} t) + D \right] \text{ where } \omega_{i.f.} = |\omega_s - \omega_o|$$

Optical Superheterodyne CNR

$$v_m(t) = \text{constant} \cdot \eta (\sqrt{2S_0} \cos \omega_s t)^2 \quad (\text{volts})$$

$$= \text{constant} [\eta(S + P + \sqrt{SP} \cos \omega_{i.f.} t) + D] \text{ where } \omega_{i.f.} = |\omega_s - \omega_0|$$

We want $P \gg S, \eta\sqrt{SP} \gg D$

Let "constant" $\triangleq 1$ so $v_m(t)$ units are counts/sec

$$v_{\text{mix}}(t) \cong v_m[\text{signal}] + v_m[\text{noise}]$$

$$v_m[\text{signal}] \cong \eta\sqrt{SP} \cos \omega_{i.f.} t \quad \text{Conveys information in } S(t), \omega_{i.f.}$$

$$v_m[\text{rms noise}] \cong \sqrt{2\eta P} \left(\frac{\text{counts/sec}}{\sqrt{\text{Hz}}} \right) \left[= \sqrt{\frac{\eta P}{\tau}}, \tau = 0.5 \text{ sec for } \sqrt{\text{Hz}} \right]$$

$$\left(\cong \sqrt{2D} \text{ if } D \gg \eta P \right)$$

$$\bar{v}_0 = \eta^2 SP \langle \cos^2 \omega_{i.f.} t \rangle \quad \text{Conveys information in } s(t) \text{ for } \omega \lesssim \frac{2\pi}{\tau} \ll \omega_{i.f.}$$

Optical Superheterodyne CNR

Let "constant" $\triangleq 1$ so $v_m(t)$ units are counts/sec

$$v_{\text{mix}}(t) \cong v_m[\text{signal}] + v_m[\text{noise}]$$

$$v_m[\text{signal}] \cong \eta\sqrt{SP} \cos \omega_{i.f.} t \quad \text{Conveys information in } S(t), \omega_{i.f.}$$

$$v_m[\text{rms noise}] \cong \sqrt{2\eta P} \left(\frac{\text{counts/sec}}{\sqrt{\text{Hz}}} \right) \left[= \sqrt{\frac{\eta P}{\tau}}, \tau = 0.5 \text{ sec for } \sqrt{\text{Hz}} \right]$$

$$\left(\cong \sqrt{2D} \text{ if } D \gg \eta P \right)$$

$$\bar{v}_o = \eta^2 SP \langle \cos^2 \omega_{i.f.} t \rangle \quad \text{Conveys information in } s(t) \text{ for } \omega \gtrsim \frac{2\pi}{\tau} \ll \omega_{i.f.}$$

$$v_{o \text{ rms noise}} = \left(\sqrt{2\eta PB} \right)^2 / \sqrt{B\tau} \text{ for } P \gg S, P \gg D$$

Define CNR "Carrier-to-Noise Ratio" for $v_m(t) = \eta^2 SP / [4\eta PB / \sqrt{B\tau}] = \eta S \sqrt{\tau / 16B}$

We assume $\tau > 1/B$ so τ provides additional noise smoothing

$$\text{CNR} \gtrsim \eta S \tau / 4$$

(best we could do is $\text{CNR} \leq 1$
for 4 photons/bit)

Optical Superheterodynes, Comparisons

1) Radio total-power radiometer:

$$\text{CNR} = T_A / \Delta T_{\text{RMS}} = T_A \sqrt{B\tau} / T_R \text{ for } T_R \gg T_A$$

$$\text{Optical superheterodyne CNR} = \underbrace{\eta S}_{\text{photons sec}^{-1}} \sqrt{\tau/16B} = \underbrace{\eta(kT_A B/hf)}_{\text{radio expression}} \sqrt{\tau/16B}$$

Therefore “ T_R ” = $4hf/k\eta$ if CNR (radio) = CNR (optical)

This is 4 times radio quantum limit if i.f. noise etc. is negligible

(Note: $P_A \neq kT_A B$ in optical)

Thus optical superheterodynes can approach quantum limit

Optical Superheterodynes, Comparisons

2) Optical non-superheterodyne if $D \gg S$; then

$$V_{O_{\text{sig}}} = \eta S \text{ (gain normalized)}$$

$$V_{O_{\text{noise}}} = \sqrt{2DB} / \sqrt{B\tau}$$

$$\text{CNR} = \eta S \sqrt{\tau/2D} \quad \text{versus} \quad \text{CNR}_{\text{S.H.}} = \eta S \sqrt{\tau/16B}$$

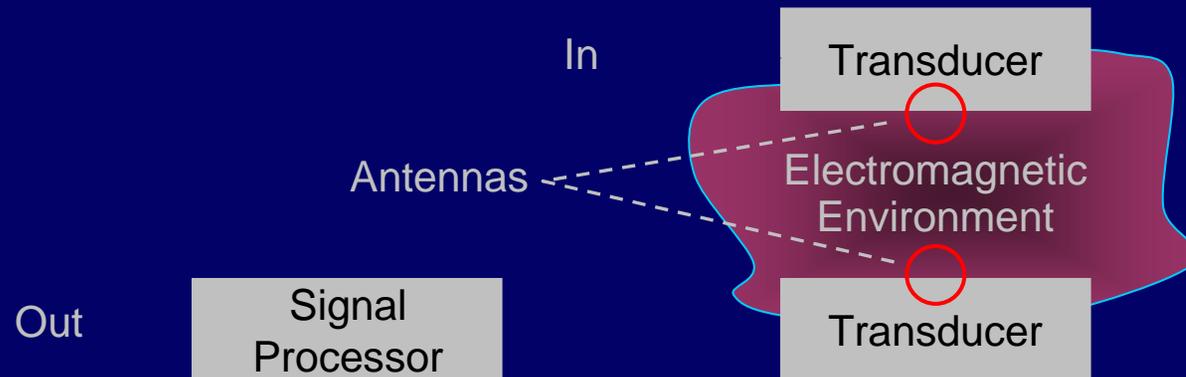
Therefore a superheterodyne is better if $B_{\text{i.f.}} < D/8$

i.e. the worse D is, the higher B can be before L.O. shot noise dominates (assuming no mixer or i.f. excess noise)

Antennas

Basic Characterization
Professor David H. Staelin

Uses of Antennas

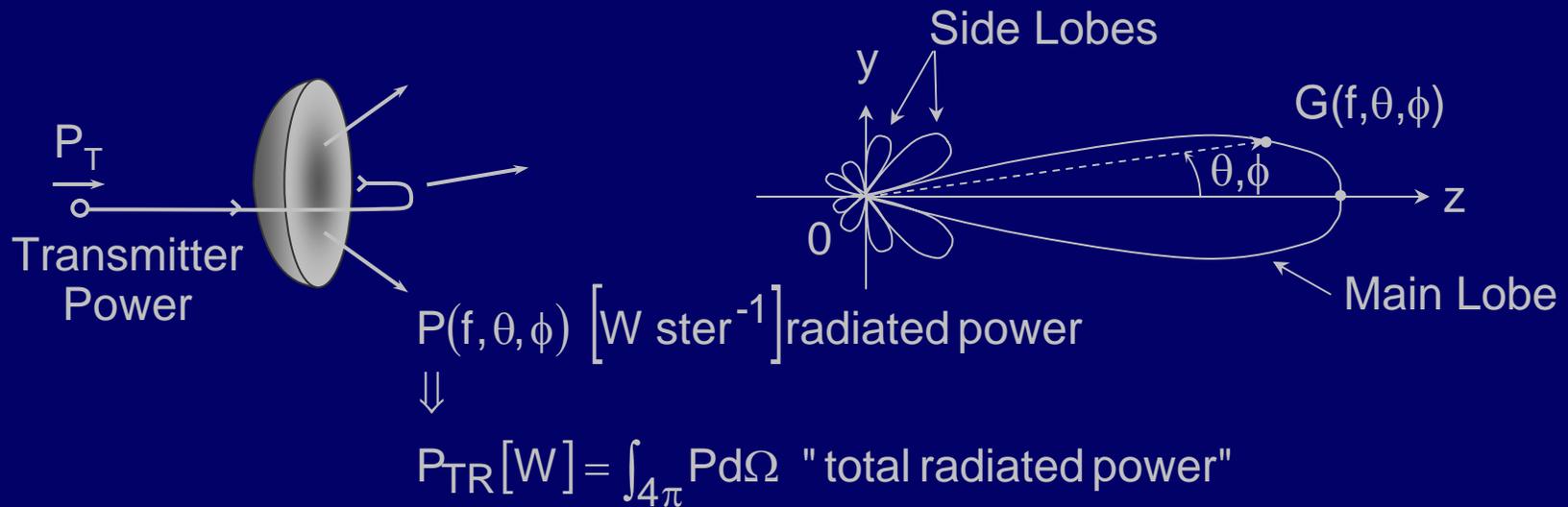


Antennas couple electromagnetic radiation and transmission lines for transmission and reception

We have studied: $hf \ll kT$ Radio
 $hf \gg kT$ Optical
 $hf \approx kT$ IR

All bands use antennas

Antennas – Characterization



Radiation efficiency:

$$\eta_R \triangleq P_{TR} / P_T$$

Gain (over isotropic):

$$G(f, \theta, \phi) \triangleq \frac{P(f, \theta, \phi)}{P_T / 4\pi} = \eta_R D(f, \theta, \phi)$$

Directivity (over isotropic):

$$D(f, \theta, \phi) \triangleq \frac{P(f, \theta, \phi)}{P_{TR} / 4\pi}$$

Antenna pattern:

$$t(f, \theta, \phi) \triangleq \frac{G(f, \theta, \phi)}{G_0} \triangleq \frac{D(f, \theta, \phi)}{D_0} \leq 1$$

Antenna Example

$$P(\text{Wm}^{-2}) = G(\theta, \phi) \cdot \frac{P_T}{4\pi R^2}$$

Target	R(meters)	P(Wm ⁻²)
Moon	3×10^8	10^{-5}
Jupiter	10^{12}	10^{-12}
Antares	3×10^{16}	10^{-21}

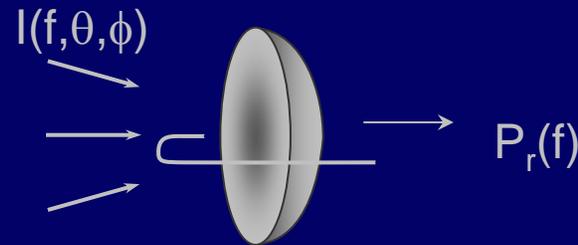
MIT “Haystack” antenna @ $\lambda = 1$ CM; $G_0 \cong 73$ dB
Assume it radiates 1–MWatt radar pulses

Assume $kTB \cong 1.4 \times 10^{-23} \times 10^\circ\text{K} \times 1\text{Hz} \cong 10^{-22}$
Watts(say $T_R \cong 10^\circ$ and we use 1–Hz CW radar)

Then $P(\text{Wm}^{-2})$ received on Antares is comparable
to receiver noise power kTB

Receiving Properties of Antennas

Characterized by Effective Area $A(f, \theta, \phi)$:



Power spectral density received:

$$P_r(f) = \underbrace{A(f, \theta, \phi)}_{[m^2]} \cdot \underbrace{[I(f, \theta, \phi) \cdot \Delta\Omega]}_{\text{“flux density” } [S(Wm^{-2}Hz^{-1})]} \Delta f (W)$$

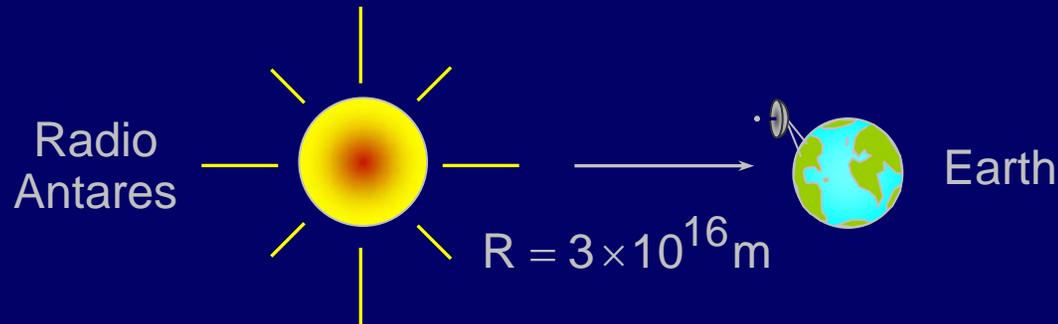
Δf , $\Delta\Omega$ are source bandwidth, solid angle

Recall: Radiation intensity $I(f, \theta, \phi)$ received from blackbody at temperature T is:

$$I(f, \theta, \phi) = \frac{2kT}{\lambda^2} [Wm^{-2}Hz^{-1}ster^{-1}]$$

Receiving System Example

Recall – 1-MW radar on Antares $\Rightarrow 10^{-21} \text{ W/m}^2$ on earth ($G_T = 73 \text{ dB}$)



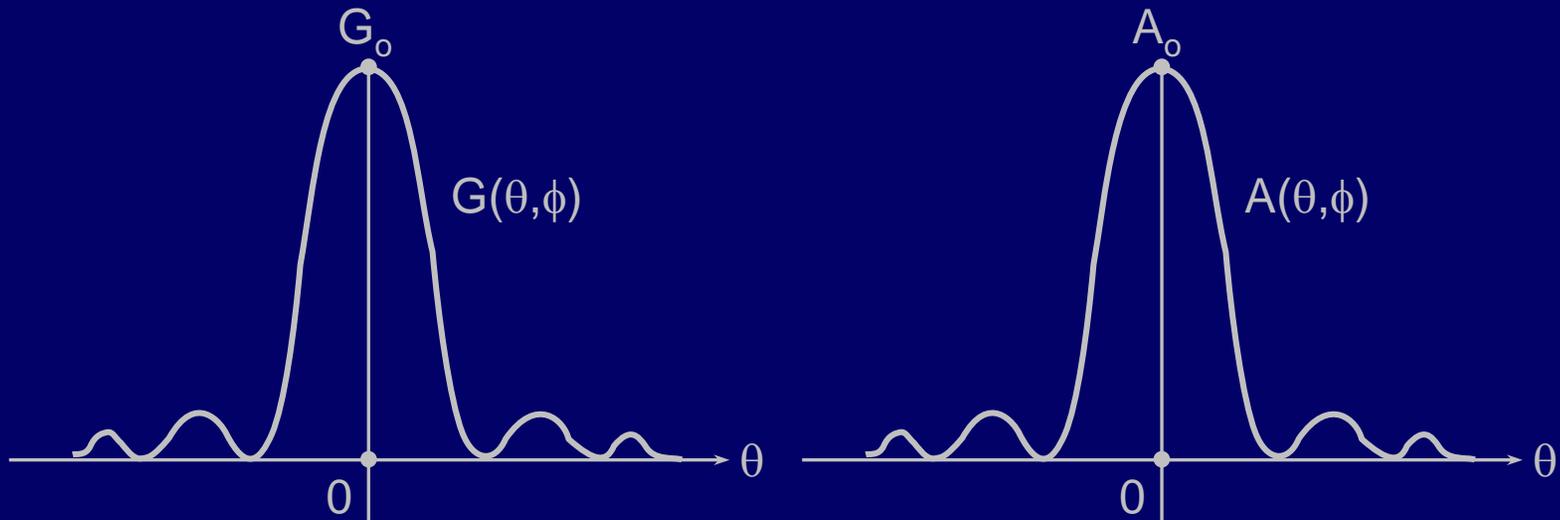
$$\text{Received power} = \underbrace{A(f, \theta, \phi)}_{\text{say } 10^4 \text{ m}^2} \cdot \underbrace{\left[\int I(f, \theta, \phi) d\Omega \right]}_S \cdot B = 10^{-17} \text{ W from Antares}$$

$$\underbrace{\hspace{10em}}_{10^{-21} \text{ Wm}^{-2} \text{ Hz}^{-1}}$$

Suppose $kTB = 10^{-22} \text{ W}$ (recall above) then $\text{SNR} = 10^5$

Audio at $10^4 \text{ Hz} \Rightarrow \text{SNR} = 10$ (commercial opportunity?)

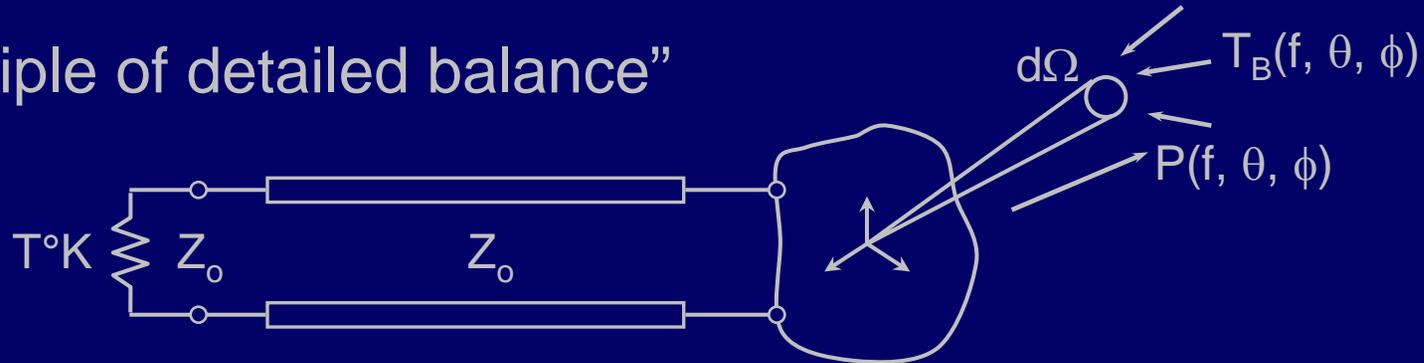
Relation Between $A(f, \theta, \phi)$ and $G(f, \theta, \phi)$



We later prove (using reciprocity) that
$$\frac{G(\theta, \phi)}{G_0} = \frac{A(\theta, \phi)}{A_0}$$

Receiving Properties Deduced from Reciprocity and Thermodynamics

“Principle of detailed balance”



Reciprocity + thermal equilibrium says that within $d\Omega$,
power out = power in

Antenna radiates $P(f, \theta, \phi)$ [$\text{W Hz}^{-1} \text{ster}^{-1}$] into $d\Omega$, so
power out = $P(f, \theta, \phi) d\Omega df = (kT df / 4\pi) G d\Omega$ (watts)

Antenna receives from $d\Omega$:

$$\text{power in} = \frac{1}{2} \underbrace{\frac{2kT_B(\theta, \phi)}{\lambda^2}}_{\text{Wm}^{-2}\text{Hz}^{-1}\text{ster}^{-1}} df d\Omega A(f, \theta, \phi) [\text{watts}]$$

One polarization

Receiving Properties Deduced from Reciprocity and Thermodynamics

Antenna radiates $P(f, \theta, \phi)$ [$\text{W Hz}^{-1} \text{ster}^{-1}$] into $d\Omega$, so power out = $P(f, \theta, \phi) d\Omega df = (kTdf/4\pi) Gd\Omega$ (watts)

Antenna receives from $d\Omega$:

$$\text{power in} = \frac{1}{\text{One polarization}} \frac{2kT_B(\theta, \phi)}{\lambda^2} df d\Omega A(f, \theta, \phi) [\text{watts}]$$

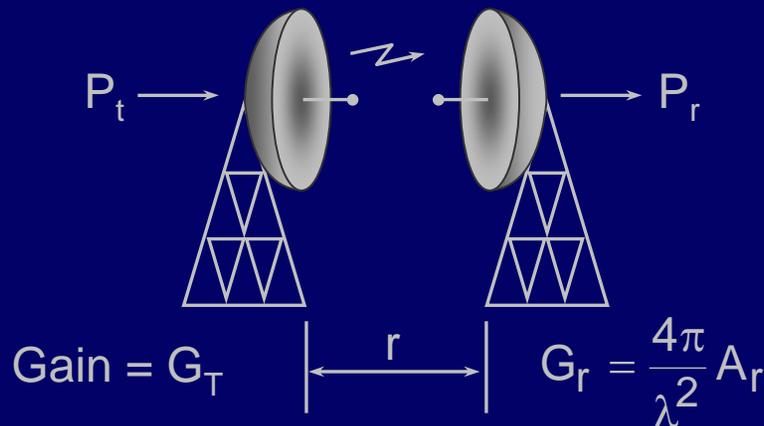
$\underbrace{\hspace{10em}}_{\text{Wm}^{-2}\text{Hz}^{-1}\text{ster}^{-1}}$

In thermal equilibrium $T = T_B(f, \theta, \phi)$; then equating radiation and reception (detailed balance) yields

$$G(f, \theta, \phi) = \frac{4\pi}{\lambda^2} A(f, \theta, \phi)$$

This assumes $hf \ll kT$ and that powers superimpose, i.e., that the $T_B(\theta_1, \phi_1)$ signal $\bar{E}(t)$ is uncorrelated with that for $T_B(\theta_2, \phi_2)$

Antennas Used to Provide a Radio Link



$$P_r = \underbrace{\frac{P_t}{4\pi r^2}}_{\text{isotropic}} \cdot \underbrace{G_t \cdot A_r}_{\text{m}^2 \text{ effective area}} \text{ Watts}$$

Wm^{-2} at receiver

Note: $P_r \rightarrow \infty$ as $r \rightarrow 0!$, so this relation requires $r > r_{\text{minimum}}$

Let $P_r = P_t$ at r_{min} and $A_t = A_r = D^2$ (m^2) [$D \cong$ aperture diameter in practice]

$$\text{Then } \frac{G_t A_r}{4\pi r_{\text{min}}^2} = 1 = \frac{A_t A_r}{\lambda^2 r_{\text{min}}^2} = \frac{D^4}{\lambda^2 r_{\text{min}}^2}$$

Therefore $r_{\text{min}} = D^2/\lambda$ (in practice we want $r > 2D^2/\lambda$)

This zone where $r \gtrsim 2D^2/\lambda$ is called the "far field" of the aperture

Definition of Antenna Temperature $T_A(^{\circ}\text{K})$

$$kT_A \left(\text{W Hz}^{-1} \right) = \int_{4\pi} \underbrace{A(\theta, \phi)}_{\substack{\text{(m}^2\text{)} \\ \text{for a specific polarization}}} \underbrace{I(\theta, \phi)}_{\substack{\text{(Wm}^{-2}\text{Hz}^{-1}\text{ster}^{-1}) \\ \text{Received power} \\ \text{spectral density}}} d\Omega$$

Since $I = \frac{2kT_B}{\lambda^2} \cdot \frac{1}{2}$ for thermal radiation, single polarization

Therefore

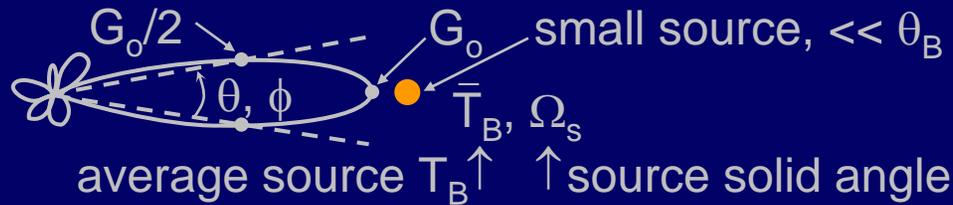
$$\begin{aligned} T_A &= \frac{1}{\lambda^2} \int A(\theta, \phi) T_B(\theta, \phi) d\Omega \\ &= \frac{1}{4\pi} \int G(\theta, \phi) T_B(\theta, \phi) d\Omega \end{aligned}$$

For T_B
uncorrelated
in angle

Observing Small Thermal Sources $T_B(\theta, \phi, f)$

Limiting case:

$$T_{AS} = \frac{1}{4\pi} \int_{\Omega_S} G(\theta, \phi) T_B(\theta, \phi) d\Omega = \frac{G_o \bar{T}_B \Omega_S}{4\pi} = \frac{A_o \bar{T}_B \Omega_S}{\lambda^2}$$



T_{AS} is due to source (assume zero background)

Physical interpretation (for $\theta_S \ll \theta_B$):

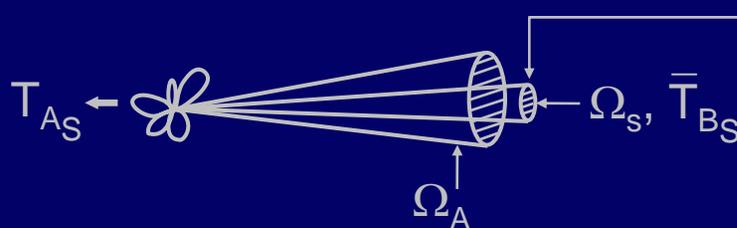
Define Ω_A , "beam solid angle" so that $G_o \Omega_A \triangleq \int_{4\pi} G d\Omega = 4\pi\eta_r$



$$G_o = 4\pi\eta_r / \Omega_A$$

$$\text{Then } T_{AS} \triangleq \underbrace{\left(\frac{\Omega_S}{\Omega_A} \eta_r \right)}_{\text{Coupling coefficient}} \bar{T}_B$$

Coupling coefficient $\leq \eta_r$



$$\text{Geometric coupling ratio} = \frac{\Omega_S}{\Omega_A}$$

Ways to Characterize Small Thermal Sources

Limiting case:

$$T_{AS} = \frac{1}{4\pi} \int_{\Omega_S} G(\theta, \phi) T_B(\theta, \phi) d\Omega = \frac{G_o T_B \Omega_S}{4\pi} = \frac{A_o T_B \Omega_S}{\lambda^2}$$

1. $T_B(\theta, \phi, f)$ (for each of 2 polarizations)

2. \bar{T}_{BS} average brightness temperature

3. $S(f) [Wm^{-2}Hz^{-1}] = \int_{\Omega_S} I(f, \theta, \phi) d\Omega \neq f(\text{antennas})$
if source small, $\Omega_S \ll \Omega_A$

Units of S : 1 "jansky" = $10^{-26} Wm^{-2}Hz^{-1}$