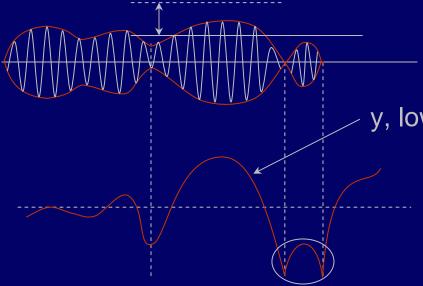
Large S/N limit

$$m \stackrel{\sim}{\sim} 1 \qquad s(t) \cong \sin \omega_m t \leq 1$$

 $Received = y(t) = A_c \left[1 + m \ s(t) \right] cos \omega_C t + n_c(t) cos \omega_C t + n_s(t) sin \omega_C t$

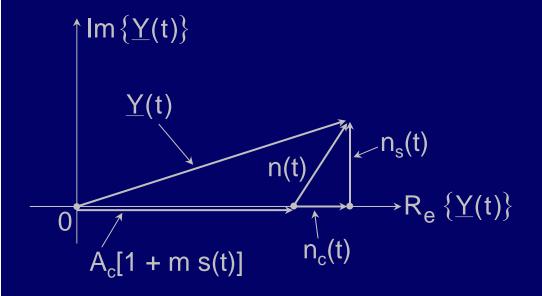
$$= Re\left\{ \underline{Y}(t)e^{j\omega_{C}t}\right\}$$
slowly varying

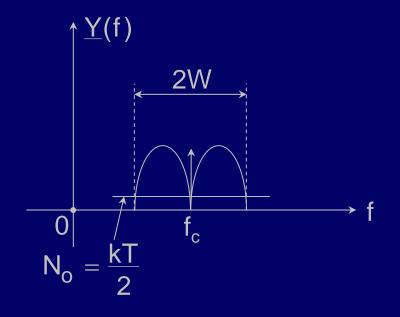


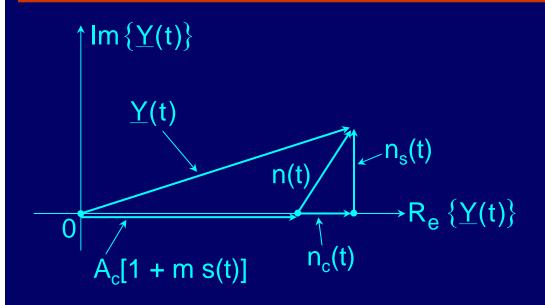
y, low-pass filtered (envelope)

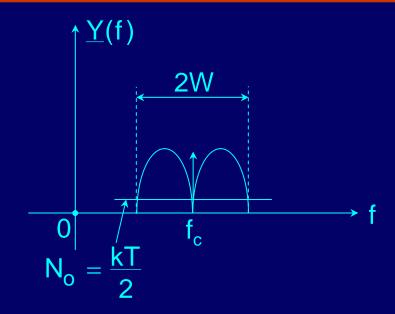
"overmodulation" = distortion ("undermodulation = wasted power)

$$\begin{aligned} \text{Received} &= y(t) = A_c \left[1 + m \ s(t) \right] \cos \omega_c t + n_c(t) \cos \omega_c t + n_s(t) \sin \omega_c t \\ &= \text{Re} \left\{ \underbrace{Y(t) e^{j\omega_c t}}_{\text{slowly varying}} \right. \end{aligned}$$









$$|\underline{Y}(t)| \cong A_c [1+m \ s(t)] + n_c(t)$$

envelope = detected signal + noise

Note:
$$4WN_o = \left\langle n_c^2 \cos^2 \omega_c t + n_s^2 \sin^2 \omega_c t \right\rangle = \left\langle n_c^2 \right\rangle$$

$$\frac{S_{out}}{N_{out}} \cong A_c^2 m^2 \, \overline{s^2(t)} / \overline{n_c^2(t)} = \frac{A_c^2 m^2 \overline{s^2(t)}}{4W N_o}$$

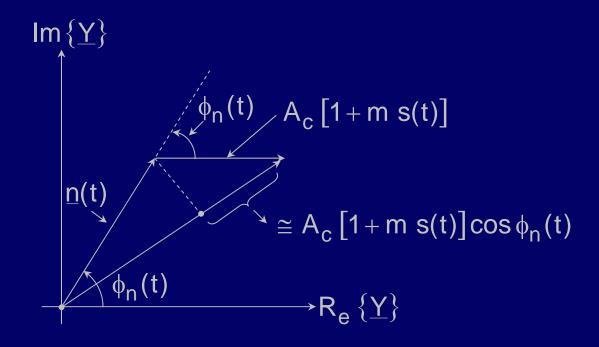
$$\frac{S_{out}}{N_{out}} \cong A_c^2 m^2 \, \overline{s^2(t)} / \overline{n_c^2(t)} = \frac{A_c^2 m^2 \overline{s^2(t)}}{4W N_o}$$

$$\frac{S_{in}}{N_{in}} \cong \frac{\left(A_c^2/2\right)\overline{\left(1+m\ s(t)\right)^2}}{4WN_o} \ \ \text{where} \ S_{in} = \overline{y_{signal}^2(t)}$$

Noise figure
$$F_{AM} \stackrel{\triangle}{=} \frac{S_i/N_i}{S_o/N_o} = \frac{1+m^2s^2(t)}{2m^2\overline{s^2}} \stackrel{\sim}{=} \frac{1+1/2}{1} = 3/2 \Rightarrow F_{AM} \stackrel{\sim}{=} 3/2$$

provided that $A_c \gg n_c$ (large S/N limit)

AM Performance (small S/N limit)



$$|\underline{Y}(t)| \cong n(t) + A_c \cos \phi_n(t) + A_c m s(t) \cos \phi_n(t)$$
multiplicative noise!

Want $S_{in}/N_{in} \leq 10$ for fully intelligible AM \Rightarrow "AM threshold"

(i.e.
$$A_c(1+m s(t)) > 3 n(t)$$
)

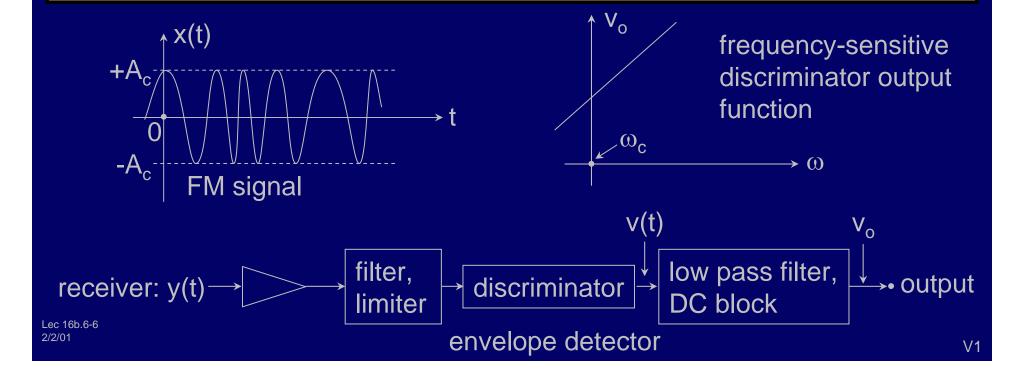
Frequency and Phase Modulation (FM, PM)

Transmitted: $x(t) = A_c \cos[\omega_c t + \phi(t)]$

Phase Modulation ("PM"): $\phi(t) \stackrel{\Delta}{=} K's(t)$

Frequency Modulation ("FM"):

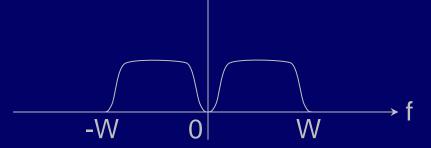
$$\frac{d\phi}{dt} = \Delta\omega(t) = 2\pi K \ s(t) \ \left(r \ s^{-1}\right) \ for \ \left|s(t)\right| < 1 \ , \ or \ \phi(t) \ \stackrel{\Delta}{=} \ \int^t s(\tau) d\tau$$



FM Bandwidth Expansion Factor β*

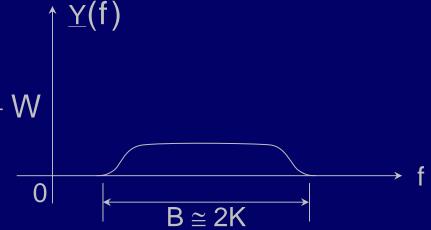
$$\frac{d\phi}{dt} = \Delta\omega(t) = 2\pi K s(t) \left[r s^{-1}\right] for |s(t)| < 1$$

$$\beta^* \stackrel{\Delta}{=} K/W$$



↑S(f)

intrinsic bandwidth $B \cong \widetilde{2W} \left(1 + \beta^* \right) \; , \; \; so \; 2W < B \leq 2K + W$

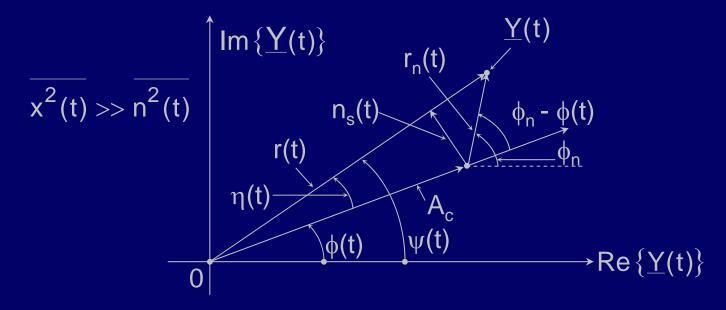


For PM: $B \cong 2(K'+1)W$ (not proven here)

$$\left(if \beta^* >> 1\right)$$

Vector Signal Analysis of PM/FM

Received signal $y(t) = x(t) + n(t) = r(t) cos(\omega_c t + \psi(t)) = Re\{\underline{Y}(t)e^{j\omega_c t}\}$



$$\psi(t) \cong \phi(t) + r_n(t) \sin(\phi_n - \phi) / A_c \stackrel{\Delta}{=} \phi(t) + n_s(t) / A_c$$

Discriminator output:

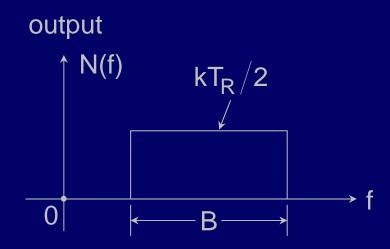
PM:
$$v(t) = \psi(t) = \phi(t) + \eta(t) = K's(t) + n_s(t)/A_c$$

FM:
$$v(t) = \dot{\psi}(t)/2\pi = Ks(t) + \dot{\eta}_s(t)/2\pi A_c$$
 where $\dot{\psi} \stackrel{\Delta}{=} d\psi/dt$

Calculation of PM S_{out}/N_{out}

$$\overline{n^{2}(t)} = \overline{n_{c}^{2}(t)} = \overline{n_{s}^{2}(t)} = 2N_{o}B$$

$$kT_{R}/2$$



PM:
$$v(t) = \psi(t) = \phi(t) + \eta(t) = K's(t) + n_s(t)/A_c$$

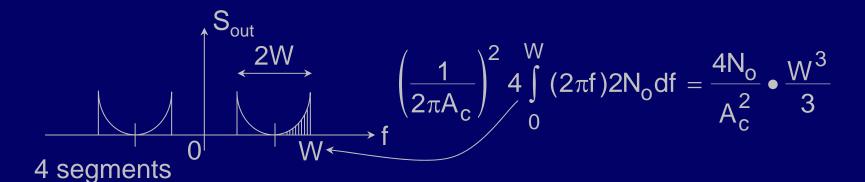
Therefore PM:
$$S_o/N_{out} = \frac{K'^2 \overline{s^2}(t)}{\left[n_s^2(t)/A_c^2\right]} = K'^2 \overline{s^2(t)} \bullet 2 \left[\frac{A_c^2/2}{2N_oW}\right]$$
 (want large $K'^2 \overline{s^2}(t)$, $2N_oB \cong 4N_oW$ but approaches FM) for case $B = 2W(K' <<1)$

Calculation of FM S_{out}/N_{out}

FM:
$$v(t) = \dot{\psi}(t)/2\pi = Ks(t) + \dot{\eta}_s(t)/2\pi A_c$$
 where $\dot{\psi} \stackrel{\Delta}{=} d\psi/dt$

$$\begin{split} n_s(t) & \longleftrightarrow \underline{N}_s(f) & \dot{n}_s(t) & \longleftrightarrow & j \omega \underline{N}_s(f) \\ & \downarrow & \Rightarrow & \downarrow & \downarrow \\ & N_o \overset{\Delta}{=} \big| \left. \underline{N}_s \right|^2 & R_{\dot{n}_s}(\tau) & \longleftrightarrow & \omega^2 \left| \underline{N}_s(f) \right|^2 = \omega^2 N_o \end{split}$$

$$FM: S_{out} / N_{out} = K^2 \overline{s^2(t)} / \left[\overline{\dot{n}^2}(t) / (2\pi A_c)^2 \right]$$



Calculation of FM S_{out}/N_{out}

Therefore
$$S_{out}/N_{out_{FM}} = K^2 \overline{s^2} \cdot \left[\frac{A_c^2}{2} \cdot 3/2N_oW^3 \right]$$

$$= \frac{3P_{c}}{2N_{o}W} \beta_{c}^{*2} \overline{s^{2}} = 6[CNR]\beta^{*3} \overline{s^{2}}$$
(K/W)²

V6

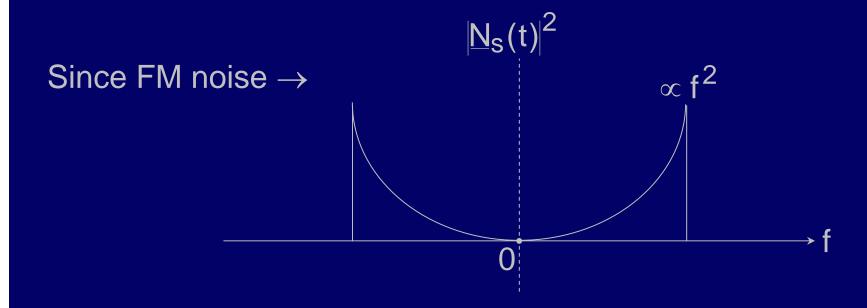
where CNR (Carrier-to-Noise Ratio) = $P_c/2N_oB = P_c/2N_o2W\beta^*$

"Wide-band FM" (WBFM)
$$S_{out}/N_{out_{WBFM}} = \left(\frac{S_o}{N_o}\right) \circ 3\beta^{*2}$$
 DSBSC $P_c s^2/2N_o W$

FM advantage for $\beta^* \cong 5$ (FM radio, $2(\beta^* + 1)W \cong 200$ kHz); $3\beta^{*2} \cong 19 \text{ dB!}$

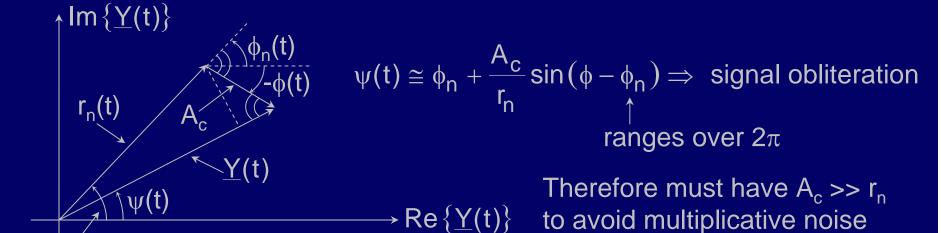
Calculation of FM S_{out}/N_{out}

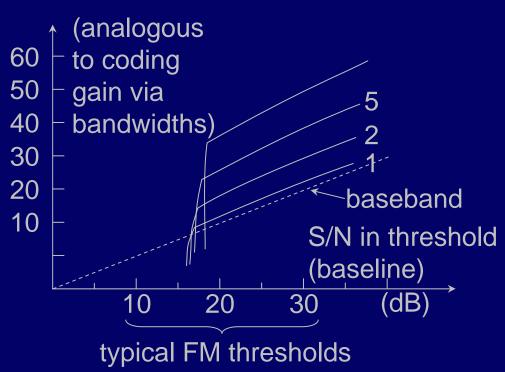
FM pre-emphasis & de-emphasis filters

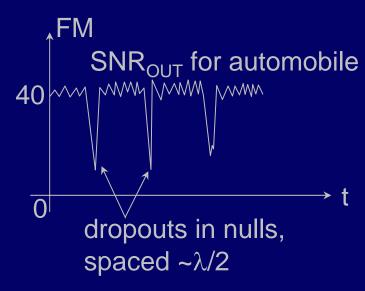


Pre-emphasis signal \propto f² pre-transmission and de-emphasize signal + noise at receiver; this can yield ~10 dB improvement (depending...)

"FM Threshold" – (low SNR limit)







Lec 16b.6-13 2/2/01

 $\phi_n(t)$

Issues In Choosing Modulation Type

- 1) Desired output SNR
- 2) Cost of bandwidth (\$, availability) (for communications or storage)
- 3) Standards imposed on channel, inexpensive equipment
- 4) Potential for source coding
- 5) Characteristics (noise, fading), potential for channel coding
- 6) Cost, power, weight, size, thermal constraints on system

Output SNR Requirements

CD-quality audio:

say 40 dB dynamic range (loudest power/"quiet" power) +55 dB SNR \Rightarrow 95 dB so 20 LOG₁₀L \cong 95

Therefore

L = 56,000 levels of $\sigma \stackrel{\sim}{\Rightarrow} <$ 32,000 digital levels, \Rightarrow 15-bits

FM-quality audio: say $\beta^* = 5 \Rightarrow \sim 50$ dB (~ 35 dB available

above ~15-dB threshold)

Intelligible speech: \$10dB

Video: studio-quality ~40 + dB

Video: home-quality ~20 - 35 + dB

Nominal Bandwidth Requirements

- 1) Voice: ~3 kHz (6kHz excellent)
- 2) Music $\sim 15 + kHz$
- 3) Video ~6 MHz (NTSC), 20 MHz (HDTV)
- 4) Data $\sim 10 10^{9 \rightarrow 7}$ bits/sec; 10^4 OK often

State-Of-The-Art Source Coding

```
1) Voice ~1.2, 2.4, 4.8, 9.6 kbps; OK→good 32 – 64 kbps ~ uncompressed ↑ e.g. 8 kHz at 8 bits ~ 58 dB SNR
```

- 2) Music 128 256 kbps for ~ CD quality, stereo
- 3) Video 10 kbps jerky, blurred, or little change

56 – 128 kbps ~10 fps, 256² pixels (lip-read threshold) and artifacts (moving details)

384 kbps good video conference quality

1.5 Mbps ⇒ "VCR" NTSC TV

6 Mbps ⇒ good NTSC TV

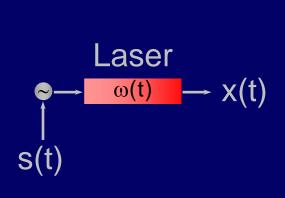
20 Mbps \Rightarrow HDTV

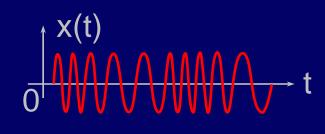
4) Data divide by 2 – 4 for typical miscellaneous data, lossless coding

FM Hybrid Analog Communication System

Laser Example 1

FM Modulation







Here we use the standard definition of CNR for a superheterodyne receiver.

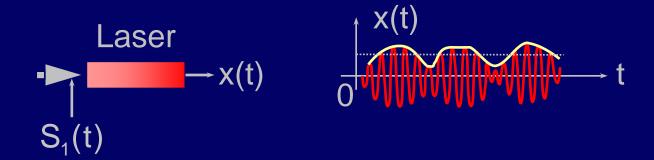
$$S_{OUT}/N_{OUT} = [CNR] \ 6 \ \overline{s^2} \ \beta^{*3} \left(where \ \beta^* = B/2W \right)$$
Baseband

Optical superheterodynes are limited by photon noise that fluctuates with S(t), so the expression here is approximate. Since optical links have great bandwidth, β^* can be very large.

AM Hybrid Analog Communication System

Laser Example 2

AM Modulation



The CNR applies to the unmodulated laser and its detector within the passband of the detector output corresponding to the spectrum of the signal s(t). This CNR must be above the AM threshold of ~10 dB in order for

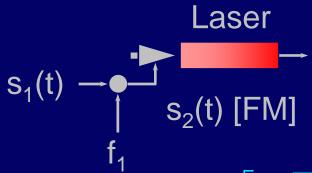
$$S_{OUT}/N_{OUT} = [CNR] m^2 \overline{s^2}$$

to apply.

FM/AM Analog Communication System

Laser Example 3

FM/AM Modulation



 $f_1(t)$ varies with $s_1(t)$ [FM]

$$S_{OUT}/N_{OUT} \cong [CNR][m^2\overline{s_1^2}]_{AM}[6\overline{s_2^2}\beta^{*3}]_{FM}$$
 where $B = 2W\beta^*$ for $s_2(t)$

Assume avalanch photo diode:

$$\left[\text{CNR} \right]_{\text{APD}} = \left(\eta P_{\text{s}} \, / \, \text{hf} \left[2W \beta^* \right] \right) \! / \! \left(\frac{\left\langle g^2 \right\rangle}{G^2} \! \left(1 + \frac{P_{\text{D}}}{P_{\text{s}}} \right) + \frac{2kThf}{R_{\text{L}} \eta P_{\text{s}} \left(eG \right)^2} \right)$$

 $P_D = \text{dark current + background power (W). Want [CNR]} \left[m^2 \overline{s_1^2} \right]_{AM}$

to be over FM threshold \cong 15 dB; then choose β^* to yield desired S_0/N_0 (say 50 dB total)