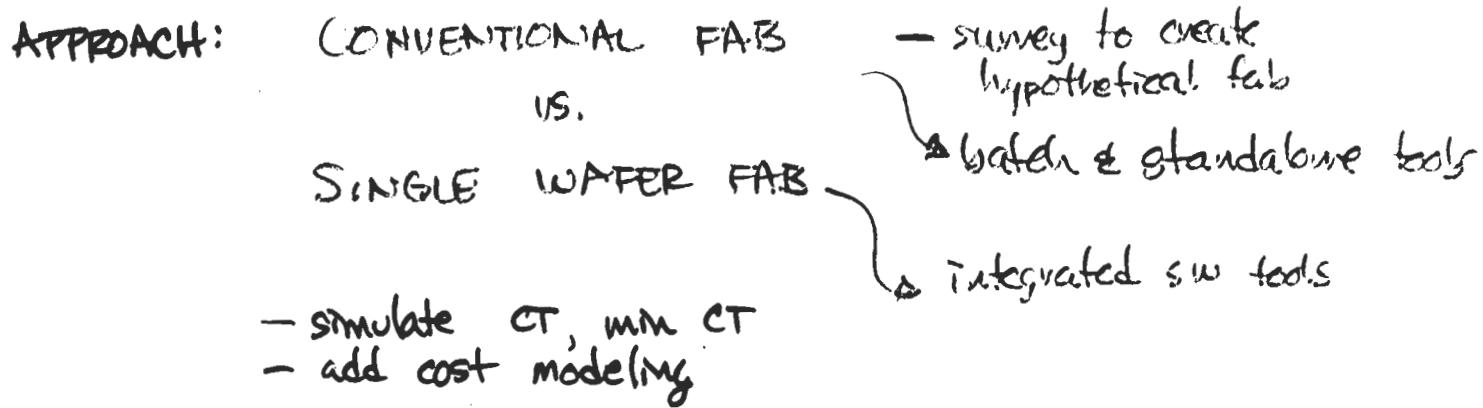


TSM, Feb. 1997

S. Wood, "COST & CYCLE TIME PERF. OF FABS  
BASED ON INTEG. SINGLE WAFER PROC."

- QUESTION:
- CAN CT be reduced using SW processing?
  - AT what COST?  $\Rightarrow$  In high volume setting.



CHANGES: Conventional  $\rightarrow$  Alternative

- Batch furnaces  $\rightarrow$  SW Thermal Processors
- Wet benches in BEOL  $\rightarrow$  SW wet clean cells
- Litho, Plasma, & Thermal processes integrated
- In-Line process monitors  $\rightarrow$  in-situ monitors

01

R. Leachman, "CLOSED-LOOP MEASUREMENT OF EQUIPMENT EFFICIENCY AND CAPACITY", TSM RE 1991

### KEY POINTS:

- Move beyond TQM - TOTAL QUALITY MANAGEMENT  
New focus on TPM - TOTAL PROMPTIVE MAINTENANCE
- Need a way to monitor and identify where productive time is lost
- Should make these measurements so that info can also be used for planning/scheduling.

### BASIC METRICS IN USE TODAY

- |                                 |  |
|---------------------------------|--|
| <p>① EQUIPMENT AVAILABILITY</p> | <ul style="list-style-type: none"><li>- % time machine CAPABLE of ACTUALLY performing work</li><li>- <del>excluded</del> unavailable time is "down time"</li></ul> |
| <p>② EQUIPMENT UTILIZATION</p>  | <ul style="list-style-type: none"><li>- % total time actually engaged in processing</li></ul>  |

### BUT

- availability not OE score → doesn't count idle time losses
- utilization counts idle loss, but → doesn't count SPEED losses
  - e.g. 100% utilized, but not provide 100% theoretical productivity

KEY IDEA → SHOULD compare production time against some standard  
"SHOULD TAKE" time!

## OVERALL EQUIPMENT EFFICIENCY

$$\text{OEE} \triangleq \frac{S}{T}$$

$S$  = "should take" time for work completed on machine, based on theoretical rates.

$T$  = observation period

- This aggregate measure is relatively easy to compute,  
BUT... does not help in IDENTIFYING where losses occur or improvements needed
- $\sim 20\% - 60\% \Rightarrow$  substantial room for improvement

## DATA COLLECTION REQUIREMENTS

- (1) Equipment Tracking DB : - changes in equip. state
- (2) WIP Tracking DB : - actual lots produced
- (3) Machine Event Logs : - recipes performed  
- # units processed  
- elapsed time in various cycles
- (4) Signal for when actually processing

### MINIMUM NEEDED:

- Total down time, prod. time, idle time
- Total # lots started into processing  
Total # lots/units completing each step on each tool
- Sequence of lots — calculate batch sizes between equip. changes (used for capacity, not oee)

## EQUIPMENT STATE DEFINITIONS

### SEMI EID

- [1] NON SCHED TIME
- [2] DOWN TIME
- [3] STANDBY TIME
- [4] PROD TIME
- [5] ENGINEERING TIME

### This Paper

$$\begin{aligned} & [1] \text{ DOWN TIME} \\ & \quad - \text{down EID} \\ & \quad - \text{ENG EID} \\ & + [2] \text{ IDLE TIME} \\ & + [3] \text{ PRODUCTION TIME} \\ & = \text{TOTAL TIME} - \\ & \quad \text{UNSCHED. TIME} \end{aligned}$$

### NOTES

EID • changeovers & setups  $\Rightarrow$  down time  
HERE • include  $\Rightarrow$  prod. time

• IDLE TIME  $\Rightarrow$  waiting / due to scheduling

HERE • DOWN TIME

- failures & repairs
- delays for "
- follow-on calibration, quals, etc.
- PM
- Source replenishments
- scheduled cleans
- engineering

ISSUE: hard to track/account for SHORT DURATION EVENTS  
 $\Rightarrow$  rec. automation where possible.

## OEE CALCULATION

① DT  $\triangleq$  % time lost for DOWN TIME

② IT  $\triangleq$  % time lost for IDLE TIME

③ Productive Losses ??  
Time

RE  $\triangleq$  ④ RATE EFFICIENCY - inferior machine speed

DE  $\triangleq$  ⑤ DEMAND EFFICIENCY - losses for processing wrong product

RQ  $\triangleq$  ⑥ RATE OF QUALITY - losses for product scrap

$$\boxed{\text{OEE} = \{1 - DT - IT\}(RE)(DE)(RQ)}$$

④ RATE EFFICIENCY - ratio  $\frac{\text{observed machine process time}}{\text{theoretical machine rate}}$

\* from theoretical processing time:

IS. (eff.): equal. two many different steps / processes  
efficiency %: single parameter

application %: RELATED TO RECIPES INFO

Ex: I/I

$$\text{Beam-time} = 1.6 \times 10^{-13} \times \text{Dose} \\ \times \left( \frac{\text{Area}}{\text{Beam-Current}} \right) * \frac{1}{60}$$

Sensitive to  
changes in ambient  
vars.

$\Rightarrow$  THE to RECIPE DATABASE!

## RATE EFFICIENCY CONT'd

$$\begin{aligned}
 \text{TPT} &= \left\{ \text{TPU} + \left( \frac{\text{TPL}}{\text{FLS}} \right) + \left( \frac{\text{TPML}}{\text{FAL}} \right) \right\} \\
 \text{"THEORET. PROCESS TIME"} &\quad \uparrow \\
 \text{"Time Per Unit"} &\quad \uparrow \\
 &\quad \text{c.g. single wafer} \\
 &\quad \uparrow \\
 &\quad \text{"Full Lot Size"} \\
 &\quad \uparrow \\
 &\quad \text{"Time Per Lot"} \\
 &\quad \text{c.g. lot overhead} \\
 &\quad \uparrow \\
 &\quad \text{"Time per Machine Load"} \\
 &\quad \text{c.g. BATCH tools} \\
 \\ 
 \text{RE} &\triangleq \frac{\sum_i^{\# \text{steps}} (\text{WS}_i)(\text{TPT}_i)}{\text{PT}}
 \end{aligned}$$

↑  
wafers into step i

theoretical process time for that step

total reported production time

## ② DEMAND EFFICIENCY

- when production control is weak - may process products NOT planned or demanded

$$DE \triangleq \frac{\sum_{i=1}^n \min(WS_i, PP_i) (EPT_i)}{\sum_{i=1}^n (WS_i)(EPT_i)} = \frac{\text{parts planned (or demanded)}}{\text{"Effective Processing Time" (TBA)}} \times \frac{\% \text{ time spent producing demanded products}}{\text{Capture effect of Changeovers, load sizes, rework that vary by product/step}}$$

## ③ RATE OF QUALITY

- account for losses due to SCRAP or REWORK

$$RQ \triangleq \frac{\sum_{i=1}^n (WF_i)(EPT_i)}{\sum_{i=1}^n (WS_i)(EPT_i)}$$

↑ Wafers Starting Step      "Wafers Finishing" Step

- Note: this is a MACHINE oriented quality loss measure, not a PROCESS oriented measure.

Scrap rate is just  $\frac{WF_i}{WS_i}$ ; need these for LINE YIELD Calculations

## EXAMPLES / CASES

### ① DATA ACQUISITION

#### Ⓐ LOW-TECH: Paper Forms

- Harris
- NEC  $\Rightarrow$  13' grid

#### Ⓑ HIGH-TECH: Machine Sensing

- Harris I/I room: attach "EKG" to tool
- Added process time calculation

### ② HARRIS RESULTS

- Early 1991:

UTILIZ  
 OEE ~ 41% - 76% }  
 RE ~ 72% }  
 IMPLANT  
 BOTTLENECK

$$\therefore \text{OEE} \leq 44\% - 54\% \quad \text{- even if 100\% DE,}\\ \text{100\% RQ}$$

- Careful attention  $\Rightarrow$  increased I/I capacity

NET Results: ON-TIME Delivery 79% '91  
 95% 92 - 94

### ③ "NAMELESS" RESULTS

- compare utilization at various factories  
 $\Rightarrow$  best practices

- UTILIZATION "increasing"  
 but WAFER OUTPUT FLAT!

- Gave up  
 $\Rightarrow$  aggregate machine output (avg. OEE)

Problem in reporting  
 scheme  
 $\Rightarrow$  utilization does  
 not account for  
 all efficiency losses

## CONCLUSION : "CLOSED LOOP" measurement Needed!

- OEE requires TPT knowledge;  
w. Theoretical times, can compute "earned" utilization
  - If include Rate Efficiency (RE),  
then if DT or IT are unreported, they get  
lumped into RE
- ⇒ OEE correctly stated, regardless of quality  
of DT & IT reporting

SUB-PILOT : With many of same numbers,  
can calculate a

CAPACITY  
EQUIP.  
EFFICIENCY

$$CEE \triangleq \{1 - DT - MIT\} (CRE) (RE)$$

Like OEE,  
except excludes losses  
for SCHEDULED IDLE TIME

... more appropriate  
for Planning/Scheduling

Minimum  
Idle Time  
fraction

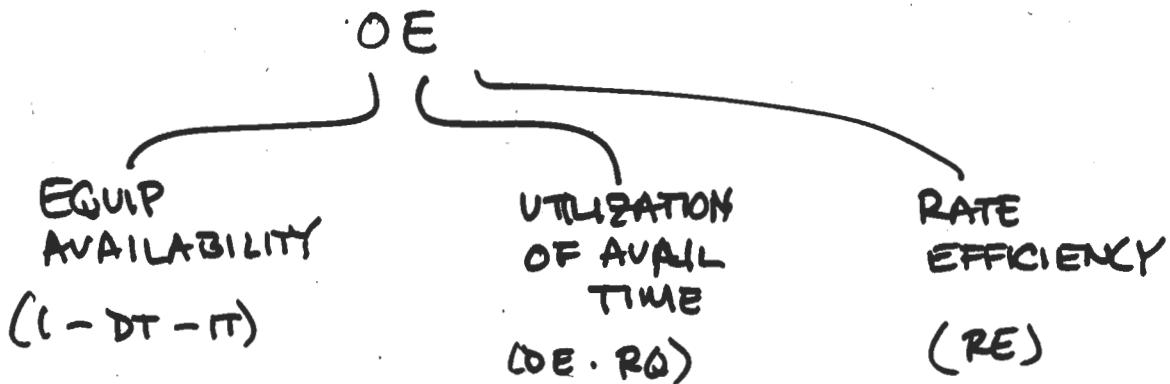
CAPACITY RATE  
EFFICIENCY

$$CRE \triangleq \frac{\sum_i (WS_i)(CPT_i)}{PT}$$

These allow tracking of  
CAPACITY losses and potential  
gains.

# EQUIPMENT EFFICIENCY BENCHMARKS

CSM-31,  
8/96



## ① Reported Availability Graphs

- 5x steppers
  - I/I
  - metallization
- } @ memory, logic, ASIC.

⇒ nearly identical availability for steppers

BUT ⇒ very different thruputs

## ② STEPPERS: RATE EFFICIENCY differentiator

⇒ time spent idle waiting for sample wafer inspects

## ION IMPLANTERS: AVAILABILITY

⇒ time spent on clean-down

## METALIZATION: AVAILABILITY

## KEY PRACTICES → High OE

### ① Data Collection

- tracking UTIL. → steppers
- tracking AVAIL, DT → implant, metal

### ② Training

- in-house equip. engineering
- TPM training

### ③ Equip. Improvement Efforts

- C.I.T (continuous improvement teams)

### ④ Maintenance Strategy

- operator maint
- vendor interaction

## FAB CHARACTERISTICS → High OE

### ① Fab Size

⇒ Availability,  
NOT comel.

⇒ Large Size  
= larger throughputs

### ② Fab Focus

### Correlation Coefficients for Equipment Practices vs. Equipment Performance

Practice	Equipment Performance					
	Stepper avail.	Implanter avail.	Metal avail.	Stepper t'put	Implanter t'put	Metal t'put
<b>Data collection</b>						
Track down time	-0.221	-0.011	0.127	0.171	0.342	0.336
Track utilization	-0.323	0.040	0.059	<u>0.407</u>	<u>0.349</u>	0.316
Track setup time	-0.331	-0.108	0.233	<u>0.170</u>	<u>0.267</u>	0.210
Track OEE	-0.017	-0.167	-0.013	<u>0.347</u>	0.150	-0.112
Auto-capture perf. data	-0.087	0.007	0.164	<u>0.352</u>	0.231	-0.103
Auto-monitoring	-0.087	0.007	0.164	<u>0.352</u>	0.231	-0.103
Compare with other fabs	-0.168	-0.273	-0.028	-0.216	0.057	-0.242
<b>Training</b>						
TPM training of techs	-0.454	0.016	0.200	<u>0.415</u>	<u>0.345</u>	0.036
Vendor school for techs	0.007	-0.071	-0.266	-0.213	-0.198	-0.241
TPM training of oprs	-0.481	-0.021	0.226	<u>0.374</u>	<u>0.384</u>	0.064
Vendor school for oprs	0.064	0.136	<u>0.406</u>	0.232	0.222	0.096
<b>Eqpt improvement</b>						
No. of equip. engineers	-0.478	-0.044	0.047	<u>0.467</u>	<u>0.572</u>	<u>0.399</u>
Joint proc. & eqpt. engng.	-0.405	0.085	0.052	0.253	<u>0.323</u>	<u>0.405</u>
CIT tech opr teams	-0.368	0.089	0.187	<u>0.363</u>	<u>0.441</u>	0.107
CIT eng/tech teams	-0.110	0.133	-0.110	<u>0.076</u>	<u>0.448</u>	0.167
Eqpt modifications	-0.272	0.030	0.143	<u>0.493</u>	<u>0.604</u>	<u>0.537</u>
Share mods w/ other fabs	-0.018	0.174	0.151	-0.274	0.373	0.027
TPM 5 S improvements	-0.148	-0.089	-0.070	0.254	0.225	0.166
Setup time reduction	-0.208	0.135	-0.052	0.322	<u>0.505</u>	0.186
<b>Mtce strategy</b>						
No. of techs per machine	0.008	0.114	-0.084	-0.315	0.117	0.011
Eqpt owner program	0.000	0.309	0.309	0.144	0.300	<u>0.412</u>
Opr mtce	-0.361	-0.162	0.048	<u>0.371</u>	<u>0.312</u>	-0.042
Vendor contract mtce	-0.021	0.020	-0.428	<u>0.231</u>	-0.270	-0.055
Nearby on-call vendors	-0.146	0.192	-0.042	-0.161	<u>0.374</u>	0.057
Reg vendor reviews	<u>0.395</u>	<u>0.357</u>	0.158	-0.107	0.231	<u>0.395</u>
Coord rev w/ other fabs	0.094	0.174	0.069	-0.420	0.191	-0.157
<b>Fab characteristics</b>						
Factory utilization	-0.178	<u>0.112</u>	0.061	<u>0.427</u>	0.046	0.279
Wafer starts per week	-0.445	<u>0.100</u>	0.103	<u>0.605</u>	<u>0.665</u>	<u>0.410</u>
Wfr strts per proc flow	-0.115	<u>0.091</u>	0.178	<u>0.440</u>	<u>0.476</u>	0.292
Wfr strts per die type	0.047	0.036	-0.003	0.239	0.231	0.088