

## Correspondence basics continued: MAX F and positions

### Today's topics:

1. Coalescence, Ident aF vs. MAX F constraints continued
2. Fixed rankings of MAX F
3. Floating features and MAX F constraints; floating tones
4. MAX segment<sub>[aF]</sub> constraints
5. Linearity, segment integrity and segment deletion in a MAX F theory
6. Positional correspondence: Beckman's version
7. Which position: both UR and SR

### MAX F constraints

#### 1. Coalescence can be described in two ways:

- a. MAX segment, Ident aF >> Ident -aF, Uniformity

$a_1 i_2$	MAX	Ident [+low], Ident [-back]	Ident [-low], Ident [+back]
$\cancel{e} e_{12}$			**
$a_{12}$		*! [-back]	
$i_{12}$		*! [+low]	

- b. MAX aF >> MAX -aF

$ai$	MAX [+low], MAX [-back]	MAX [-low], MAX [+back]
$\cancel{e} e$		**
$a$	*! [-back]	
$i$	*! [+low]	

#### 2. An unsuccessful system based on local conjunction of constraints

- a. MAX segment, Ident  $\pm F \vee \pm G^1$  >> Ident  $\pm F$ , Ident  $\pm B$

$a_1 i_2$	MAX	Ident [ $\pm$ low] $\vee$ [ $\pm$ back]	Ident [ $\pm$ low], Ident [ $\pm$ back]
$\cancel{e} e_{12}$			**
$\cancel{i} i_{12}$			**
$a_{12}$		*!	
$i_{12}$		*!	

Similarly this system cannot decide whether to map [eo] to [ $\emptyset$ ] or [ $\Lambda$ ]. It's not obvious that one vowel more marked than the other (in the implicational sense) so we can't rely on  $*\Lambda >> *\emptyset$ .

#### 3. Invariant properties of coalescence (Casali 1997, survey of cca 92 languages):

- [+low] always wins over [-low]
- [-high] .... over [+high]
- [+round] ... over [-round]

Both systems in (1) can characterize this through fixed correspondence rankings.

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<sup>1</sup> Local-conjunction constraint violated by every output segment that violates both Ident [ $\pm F$ ] and Ident [ $\pm G$ ] wrt any one of its underlying correspondents.

#### 4. Empirical differences:

- MAX F can characterize the preservation of floating features.  
 MAX F can characterize F-sensitive segment deletion.

#### 5. Floating F: Sanskrit aspiration (Grassmann's Law: Collinge 1984)

- (a) CVD<sup>h</sup>-V..., CVD<sup>h</sup>-sonorant: preserved intact (*runad<sup>h</sup>-mi* 'I obstruct')  
 (b) DVDh-s, DVDh# : aspiration "thrown back" to a voiced stop in root, if any  
 (*bud<sup>h</sup>-sa-ti* [b<sup>h</sup>utsati])

What favors *b<sup>h</sup>utsati* over *butsati*? Not a conjoined constraint. Not Ident [+asp]:

bud <sup>h</sup> -sa-ti	C <sup>h</sup> /_ son	Ident [+asp]	*C <sup>h</sup>
but <sup>h</sup> sati	*!		
⌚ butsati		*	
⌚ b <sup>h</sup> utsati		*	*!

The effect of MAX [+asp]

bud <sup>h</sup> -sa-ti	C <sup>h</sup> /_ son	MAX [+asp]	*C <sup>h</sup>
but <sup>h</sup> sati	*!		
butsati		*!	
⌚ b <sup>h</sup> utsati			*

Richness of base precludes solutions that bank on the UR's of Sanskrit roots containing only D<sup>h</sup>VD<sup>h</sup>, not also DVD<sup>h</sup>.

#### 6. Linearity among features?

Some constraint penalizes F's surfacing on segments they did not belong to in UR. Otherwise, in a MAX F system, most phonotactic constraints will be satisfied through reassignment of F to the right segment. Assume that features are ordered wrt each other: then Linearity applies to features and this will do it.

##### a. The effect of Feature-Linearity

bud <sup>h</sup> -sa-ti	C <sup>h</sup> /_ son	MAX [+asp]	F-Linearity
but <sup>h</sup> sati	*!		
butsati		*!	
⌚ b <sup>h</sup> utsati			*(h vs. F's in [u])

##### Two Linearity constraints:

- (a) Weak Linearity: If x precedes y and xRx', yRy' then y' does not precede x'  
 (x, x', y, y' feature values; xRx' = x and x' are correspondents)  
 Violated only by metathesis

- (b) Strong Linearity: If x precedes y and xRx', yRy' then x' precedes y'  
 Violated by metathesis and coalescence.

/ai/	Weak Lin	Strong Lin
⌚ e		*
ja	*!	*

7. **Floating T:** Kenstowicz 1987; Kizigula, Bantu.  
(stressed syllables are in bold characters; L tones not marked)

Toneless roots	H roots
ku-lagaz-a 'to drop'	ku-lombéz-a 'to request'
ku-guluk-a 'to run'	ku-hamíl-a 'to bump'
ku-sogel-a 'to approach'	ku-kazíng-a 'to fry'
ku-songelez-a 'to aggravate'	ku-bindilíz-a 'to finish'
ku-lagaz- <b>il</b> -a 'to drop for'	ku-lombez- <b>íl</b> -a 'to request for'
ku-lagaz- <b>an</b> -a 'to drop e.o.'	ku-lombez- <b>án</b> -a 'to request e.o.'
ku-lagaz- <b>il-an</b> -a 'to drop for e.o.'	ku-lombez- <b>il-án</b> -a 'to request for e.o.'
na-lagaz-a 'I drop'	a-songeléz-a 'he aggravates'
wa-lagáz-a 'they drop'	a-bíndilíz-a 'he finishes'
wa-lagaz- <b>il-án</b> -a 'they drop for e.o.'	a-lômbéz-a 'he requests'

Tone is not a segment in the standard sense (must be realized on a segment) but a feature. Ident H tone is insufficient: only MAX H works here.

	ku, lómbez, il, a	MAX H	*H/stressless	Strong Linearity
a	ku-lómbez- <b>il</b> -a		*!	
b	ku-lombez- <b>il</b> -a	*!		
c	ku-lombez- <b>íl</b> -a			* (H vs. all F's in <i>mbez</i> )

By comparison, consider an analysis based on Ident H and Ident -H

	ku, lómbez, il, a	Ident H	Ident -H	*H/stressless
a	ku-lómbez- <b>il</b> -a			*
b	ku-lombez- <b>il</b> -a	*		
c	ku-lombez- <b>íl</b> -a	*	*	

(c), the desired candidate, cannot win under any ranking against (b).

This example suggests that Linearity is differently evaluated depending on which features it relates: Linearity between Tone and segmental features seems to be lower ranked than Linearity between segmental features: Kizigula reorders tones wrt segmental F's but not segmental F's among themselves.

5. **F-Sensitive elision** (Casali 1997):

- \*V.V can be satisfied through: **coalescence**: e.g. ai -> e; **glide formation**: e.g. ai -> aj  
**epenthesis**: ai -> a?i; **elision**: ai -> a or ai -> i
- elision target identified by order ( $V_1 V_2 \rightarrow V_2$ ) or morphology ( $V_{\text{root}} // V_{\text{affix}} \rightarrow V_{\text{root}}$ )
- target may also be identified by its features: e.g. ai -> a, ia -> a

6. **Greek elision** (data in Casali 1997; analysis a bit revised):

i. a//V -> a	ta exo -> taxo me ayapai -> mayapai ta onirevome-> tanirevome	'them I have' 'me he loves' 'them we dreamt'
ii. mid//high -> mid	to urliazi -> torliazi eu -> e (no cited example)	'it he howls'
iii. round//plain -> round (same height)	to edosa – todosa me onirevome -> menirevome (?)	'it gave' 'me we dreamt'

(a) No coalescence here: eu -> e not o. Ident F will not choose between e and u.

(b) But feature hierarchies active in coalescence are active here too:

- +low > -low: a//e -> a
- high > +high: o//u -> o
- +round > -round: o//e -> e
- high > +round u//e -> e

(c) Use MAX  $\alpha F$  and F linearity.

eu	F linearity	MAX -high	MAX +round
o	*! ([-high]-[+round])		
u		*!	
e			*

MAX  $\alpha F$ : an  $\alpha F$  in  $S_1$  has an identical correspondent in  $S_2$ .

F-Linearity: If  $[\alpha F]$  precedes  $[\beta G]$  in  $S_1$ , and if they have  $S_2$  correspondents, then the  $S_2$  correspondents stand in the same precedence relation.

(d) Alternative: MAX segment containing  $\alpha F$  >> MAX segment containing  $\beta G$

eu	MAX seg _high	MAX seg _round
o		
u	*!	
e		*

MAX seg <sub>$\alpha F$</sub>  If a segment specified as  $[\alpha F]$  exists in  $S_1$ , it has a correspondent in  $S_2$ .

But without MAX F constraints, the parallel typology for feature sensitive elision and coalescence cannot be formally unified:

Coalescence: a//e -> æ, not Λ

requires Ident [+low] >> Ident [-low]

F-sensitive elision: a//e -> a, not e

requires MAX seg<sub>[+low]</sub> >> MAX seg<sub>[-low]</sub>

MAX F system unifies these as:

MAX [+low] >> MAX [-low]

Coalescence:

MAX [+low] >> MAX [-low], Strong Linearity

Elision:

Strong Linearity, MAX [+low] >> MAX [-low]

## 7. A similar argument for MAX F: Cantonese (Silverman 1992 Phonology)

(a) Undominated phonotactics are \*CC onset/coda and \*[-cont,+cons] in coda.

Preserve strident as such thru epenthesis /bus/ -> pasi, not \*pat

Turn non-strident fricative to stop /leaf/ -> lip, \*lifi

Preserve strident as such thru epenthesis /tips/ -> t<sup>h</sup>ipsi, \*tip

Drop non-strident coda after C /bend/ -> pen, \*penti

(b) A MAX F analysis

MAX [+strident] >> DEP V /bus/ -> pasi, not \*pat

DEP V >> MAX [+cont] /leaf/ -> lip, \*lifi

By transitivity: MAX [+strident] >> MAX [+cont]

(c) A MAX seg/Ident [ $\pm F$ ] analysis.

Ident [+strid] >> DEP V /bus/ -> pasi, not \*pat

DEP V >> Ident [+cont] /leaf/ -> lip, \*lifi

MAX C<sub>[+strid]</sub> /<sub>\_#</sub> >> DEP V /tips/ -> tipsi, \*tip

DEP V >> MAX C<sub>[-strid]</sub> /C<sub>\_#</sub> /bend/ -> pen, \*penti

(d) Duplication of constraint families is not the only downside. System actually predicts more patterns than the alternative MAX F account; none of them attested.

Ranking	Predicted pattern
MAX C <sub>[+strid]</sub> DEP V >> Ident [-strid] >> Ident [-strid] >> MAX C <sub>[-strid]</sub>	Stridents modified to surface in their position, non-stridents dropped

Imaginary example:

Coda =?,ŋ, /bus/ -> bu?, \*busi

MAX C<sub>[+strid]</sub>, DEP V >> Ident [+strid]

DEP V >> Ident [-strid] >> MAX C<sub>[-strid]</sub> /foot/ -> fu, not \*fu?

Modeled on Seleyarese where

Coda =?,ŋ, MAX [+strid] >> DEP V /bus/ -> busu

DEP V >> MAX F ≠ [+strid] /foot/ -> fu?

## 8. Segment deletion in a MAX F system lacking MAX segment constraints

- In a MAX seg, Ident F system, deletion results from: Ident [aF] >> MAX seg<sub>[aF]</sub>

- In a MAX F system lacking MAX seg constraints, segment deletion requires a different mechanism. Ranking of DEP F wrt MAX F? Not fully explored.

Greek /kekomid-ka/->[kekomi<sup>k</sup>a] \*[kekomi<sup>k</sup>ka]: DEP [+strid] >> MAX coronal /s<sup>h</sup>iŋg/ -> [s<sup>h</sup>iŋ], \*[s<sup>h</sup>iŋk]: DEP [-voice] >> MAX [-nasal, -son]/context  
/himn/ -> [him], \*[himd]: DEP [-nas, -son] >> MAX [+nasal, +son]/context

## 9. Summary:

- Even when supplemented with MAX C<sub>[aF]</sub> constraints, a system using Ident aF as a substitute for MAX aF fails to account for the recovery of floating features and for the shared properties between feature sensitive segment deletion and feature modification or coalescence.
  - MAX F accounts for all these cases. It must be supplemented by Feature Linearity constraints which penalize migration of features from one segment to the next.
  - Segment deletion not explored in MAX F systems. Until then the proposal to replace Ident F by MAX F remains in limbo.
- In what follows I use Ident F to maintain intelligibility with the rest of literature.

## Positional correspondence

### 10. Two related effects:

- For every feature F, specific positions where F-contrasts more likely to occur.  
s-z voicing contrast in \_V (*sit, zit*) and V\_ (*bus, buzz*) but nowhere else.
- Phonological processes protect F values in these positions, as against others.  
voicing assimilation targets in non pre-V position:  
VTDV -> VDDV, VDTV -> VTV

### 11. Standard proposal (Casali 1997 *Language*, Beckman 1998 *Phonology*):

- Directional assimilation is the result of:  
MAX F in position P, Agree >> MAX F (context free)  
Or MAX F in position P, Agree >> MAX F in position other than P
- And not as:  
Agree wrt F>> F must be in P >> context free Ident/MAX F

### 12. Which context matters: modified French voicing (Dell *Lingua* 1995)

- V deletion: aʃet 'buys' aʃte 'bought' /aʃət-e/
- Voicing assimilation: aʒəte ~ aʃte 'has thrown' /aʒət-e/
- Assume: aʃev 'finishes' aʒye 'finished' /aʃəv-e/

### 13. Surface context: If a *surface prevocalic* segment s has an underlying counterpart s', then if s' is [+voice], s is also [+voice]. (And likewise [-voice])

aʃəye	Agree voice	Id[±voice]/_V <sub>SR</sub>	Id [±voice]/¬(_V <sub>SR</sub> )
aʃfe		*!	*
↗ aʒve			*

### 14. Not underlying context, in this case: assume that \_V refers to UR context

aʃəye	Agree voice	Id [±voice]/_V <sub>UR</sub>	Id [±voice]/¬(_V <sub>UR</sub> )
↖ aʃfe		*	
↖ aʒve		*	

Third candidate will lose eventually: \*DD (\*voiced obstruent cluster)

**15. Patterns suggesting the opposite:** Hindi place assimilation

- V deletion: kəmər ‘waist’ kəmrō ‘oblique-pl’
- Place assimilation: underlying NC clusters always homorganic  
anjen ‘mark’, \*anken
- No post-deletion assimil: sənək ‘craze’ sənkō ‘oblique-pl’

sənəkō	Id [ $\pm$ voice]/ ( $_V_{UR}$ )	Agree place	Id [ $\pm$ voice]/ $\neg$ ( $_V_{UR}$ )
∅sənkō		*	
səŋkō	*!		
səntō	*!		

anken	Id [ $\pm$ voice]/ ( $_V_{UR}$ )	Agree place	Id [ $\pm$ voice]/ $\neg$ ( $_V_{UR}$ )
anken		*!	
∅anken			*
anten	*!		

**16. Effect of stress as context:** underlying context

- If V is stressed in input, V is preserved
- Eg.g. Catalan (Mascaró 1976 MIT): high vowel glides after V *fránku-jtaljá*  
Underlyingly stressed V protected: *ruína* ‘ruin’, *ruinós* ‘ruinous’

**17. Conclusion:**

- Positional correspondence needed
- Two classes of constraints: UR vs. SR position.
- Typology of these effects is not clear at all: for one and the same phenomenon (e.g. preservation of syllabicity under stress; or place assimilation) we don't have both options (Corr/ Context<sub>UR</sub>) and Corr/Context<sub>SR</sub>) attested.