

# Chapter 1

## Several Complex Variables

### Lecture 1

Lectures with Victor Guillemin, Texts:

Hörmander: Complex Analysis in Several Variables

Griffiths: Principles in Algebraic Geometry

Notes on Elliptic Operators

No exams, 5 or 6 HW's.

Syllabus (5 segments to course, 6-8 lectures each)

1. Complex variable theory on open subsets of  $\mathbb{C}^n$ . Hartog, simply pseudoconvex domains, inhomogeneous C.R.
2. Theory of complex manifolds, Kaehler manifolds
3. Basic theorems about elliptic operators, pseudo-differential operators
4. Hodge Theory on Kaehler manifolds
5. Geometry Invariant Theory.

### 1 Complex Variable and Holomorphic Functions

$U$  an open set in  $\mathbb{R}^n$ , let  $C^\infty(U)$  denote the  $C^\infty$  function on  $U$ . Another notation for continuous function: Let  $A$  be any subset of  $\mathbb{R}^n$ ,  $f \in C^\infty(A)$  if and only if  $f \in C^\infty(U)$  with  $U \supset A$ ,  $U$  open. That is,  $f$  is  $C^\infty$  on  $A$  if it can be extended to an open set around it.

As usual, we will identify  $\mathbb{C}$  with  $\mathbb{R}^2$  by  $z \mapsto (x, y)$  when  $z = x + iy$ . On  $\mathbb{R}^2$  the standard de Rham differentials are  $dx, dy$ . On  $\mathbb{C}$  we introduce the de Rham differentials

$$dz = dx + idy \quad d\bar{z} = dx - idy$$

Let  $U$  be open in  $\mathbb{C}$ ,  $f \in C^\infty(U)$  then the differential is given as follows

$$\begin{aligned} df &= \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy = \frac{\partial f}{\partial x} \left( \frac{dz + d\bar{z}}{2} \right) + \frac{\partial f}{\partial y} \left( \frac{dz - d\bar{z}}{2i} \right) \\ &= \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) dz + \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right) d\bar{z} \end{aligned}$$

If we make the following definitions, the differential has a succinct form

$$\frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) \quad \frac{\partial f}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right)$$

so

$$df = \frac{\partial f}{\partial z} dz + \frac{\partial f}{\partial \bar{z}} d\bar{z}.$$

We take this to be the definition of the differential operator.

**Definition.**  $f \in \mathcal{O}(U)$  (the holomorphic functions) iff  $\partial f / \partial \bar{z} = 0$ . So if  $f \in \mathcal{O}(U)$  then  $df = \frac{\partial f}{\partial z} dz$ .

### Examples

1.  $z \in \mathcal{O}(U)$
2.  $f, g \in C^\infty(U)$  then

$$\frac{\partial f}{\partial \bar{z}} fg = \frac{\partial f}{\partial \bar{z}} g + f \frac{\partial g}{\partial \bar{z}}$$

so if  $f, g \in \mathcal{O}(U)$  then  $fg \in \mathcal{O}(U)$ .

3. By the above two, we can say  $z, z^2, \dots$  and any polynomial in  $z$  is in  $\mathcal{O}(U)$ .
4. Consider a formal power series  $f(z) \sim \sum_{i=1}^{\infty} a_i z^i$  where  $|a_i| \leq (\text{const})R^{-i}$ . Then if  $D = \{|z| < R\}$  the power series converges uniformly on any compact set in  $D$ , so  $f \in C(D)$ . And by term-by-term differentiation we see that the differentiated power series converges, so  $f \in C^\infty(D)$ , and the differential w/ respect to  $\bar{z}$  goes to 0, so  $f \in \mathcal{O}(D)$ .
5.  $a \in \mathbb{C}, f(z) = \frac{1}{z-a} \in C^\infty(\mathbb{C} - \{a\})$ .

## Cauchy Integral Formula

Let  $U$  be an open bounded set in  $\mathbb{C}$ ,  $\partial U$  is smooth,  $f \in C^\infty(\bar{U})$ . Let  $u = f dz$  by Stokes

$$\int_{\partial U} f dz = \int_U du \quad du = \frac{\partial f}{\partial z} dz \wedge dz + \frac{\partial f}{\partial \bar{z}} d\bar{z} \wedge dz$$

so

$$\int_{\partial U} f dz = \int_U du = \int_U \frac{\partial f}{\partial \bar{z}} d\bar{z} \wedge dz.$$

Now, take  $a \in U$  and remove  $D_\epsilon = \{|z - a| < \epsilon\}$ , and let the resulting region be  $U_\epsilon = U - \bar{D}_\epsilon$ . Replace  $f$  in the above by  $\frac{f}{z-a}$ . Note that  $(z-a)^{-1}$  is holomorphic. We get

$$\int_{\partial U_\epsilon} \frac{f}{z-a} dz = \int_{U_\epsilon} \frac{\partial f}{\partial \bar{z}} \frac{1}{z-a} d\bar{z} \wedge dz$$

Note: The boundary of  $U$  is oriented counter-clockwise, and the inner boundary  $D_\epsilon$  is oriented clockwise. When orientations are taken into account the above becomes

$$\int_{\partial U} \frac{f}{z-a} dz - \int_{\partial D_\epsilon} \frac{f(z)}{z-a} dz = \int_{U_\epsilon} \frac{\partial f}{\partial \bar{z}} \frac{1}{z-a} d\bar{z} \wedge dz \quad (1.1)$$

The second integral, with the change of coordinates  $z = a + \epsilon e^{i\theta}$ ,  $dz = i\epsilon e^{i\theta} \frac{dz}{z-a} = i d\theta$ . This gives

$$\int_{\partial D_\epsilon} \frac{f(z)}{z-a} dz = i \int_0^{2\pi} f(a + \epsilon e^{i\theta}) d\theta.$$

Now we look at what happens when  $\epsilon \rightarrow 0$ . Well,  $\frac{1}{z-a} \in \mathcal{L}^1(U)$ , so by Lebesgue dominated convergence if we let  $U_\epsilon \rightarrow U$ , and the integral remains unchanged. On the left hand side we get  $-if(a)2\pi$ , and altogether we have

$$2\pi i f(a) = \int_U \frac{f}{z-a} dz + \int_U \frac{\partial f}{\partial \bar{z}} \frac{1}{z-a} dz \wedge d\bar{z}$$

In particular, if  $f \in \mathcal{O}(U)$  then

$$\boxed{2\pi i f(a) = \int_{\partial U} \frac{f}{z-a} dz}$$

Applications:

$f \in C^\infty(\overline{U}) \cap \mathcal{O}(U)$ , take  $a \rightsquigarrow z$ ,  $z \rightsquigarrow \eta$  then just rewriting

$$2\pi i f(z) = \int_{\partial U} \frac{f(\eta)}{\eta - z} d\eta$$

If we let  $U = \{D : |z| < R\}$ . Then

$$\frac{1}{\eta - z} = \frac{1}{\eta \left(1 - \frac{z}{\eta}\right)} = \frac{1}{\eta} \sum_{k=0}^{\infty} \frac{z^k}{\eta^k}$$

and since on boundary  $|\eta| = R$ ,  $|z| < R$  so the series converges uniformly on compact sets, we get

$$\int_{\partial U} \frac{f(\eta)}{\zeta - z} d\eta = \sum_{k=0}^{\infty} a_k z^k \quad a_k = \int_{|\eta|=R} \frac{f(\eta)}{\eta^{k+1}} d\eta$$

or  $a_k = \frac{1}{k!} \frac{\partial^k}{\partial z^k} f(0)$ . This is the holomorphic Taylor expansion.

Now if we take  $z \rightsquigarrow z - a$ ,  $D : |z - a| < R$ ,  $f \in \mathcal{O}(U) \cap C^\infty(\overline{U})$  then

$$f(z) = \sum a_k (z - a)^k \quad a_k = \frac{1}{k!} \frac{\partial^k}{\partial z^k} f(a)$$

We can apply this to prove a few theorems.

**Theorem.**  $U$  a connected open set in  $\mathbb{C}$ .  $f, g \in \mathcal{O}(U)$ , suppose there exists an open subset  $V$  of  $U$  on which  $f = g$ . We can conclude  $f \equiv g$ , this is unique analytic continuation.

*Proof.*  $W$  set of all points  $a \in U$  where

$$\frac{\partial^k f}{\partial z^k}(a) = \frac{\partial^k g}{\partial z^k}(a) \quad k = 0, 1, \dots$$

holds. Then  $W$  is closed, and we see that  $W$  is also open, so  $W = U$ .