

# 14. Instability of Superposed Fluids

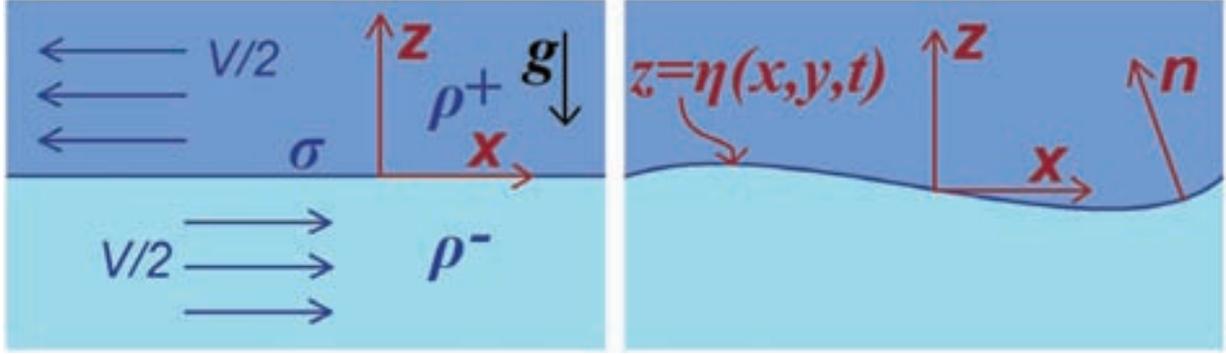


Figure 14.1: Wind over water: A layer of fluid of density  $\rho^+$  moving with relative velocity  $V$  over a layer of fluid of density  $\rho^-$ .

**Define interface:**  $h(x, y, z) = z - \eta(x, y) = 0$  so that  $\nabla h = (-\eta_x, -\eta_y, 1)$ .  
The unit normal is given by

$$\hat{\mathbf{n}} = \frac{\nabla h}{|\nabla h|} = \frac{(-\eta_x, -\eta_y, 1)}{(\eta_x^2 + \eta_y^2 + 1)^{1/2}} \quad (14.1)$$

Describe the fluid as inviscid and irrotational, as is generally appropriate at high  $\mathcal{R}e$ .

Basic state:  $\eta = 0$ ,  $\mathbf{u} = \nabla\phi$ ,  $\phi = \mp \frac{1}{2}Vx$  for  $z \pm$ .

Perturbed state:  $\phi = \mp \frac{1}{2}Vx + \phi_{\pm}$  in  $z \pm$ , where  $\phi_{\pm}$  is the perturbation field.

Solve

$$\nabla \cdot \mathbf{u} = \nabla^2 \phi_{\pm} = 0 \quad (14.2)$$

subject to BCs:

1.  $\phi_{\pm} \rightarrow 0$  as  $z \rightarrow \pm\infty$
2. **Kinematic BC:**  $\frac{\partial \eta}{\partial t} = \mathbf{u} \cdot \mathbf{n}$ ,  
where

$$\mathbf{u} = \nabla \left( \mp \frac{1}{2}Vx + \phi_{\pm} \right) = \mp \frac{1}{2}V\hat{\mathbf{x}} + \frac{\partial \phi_{\pm}}{\partial x}\hat{\mathbf{x}} + \frac{\partial \phi_{\pm}}{\partial y}\hat{\mathbf{y}} + \frac{\partial \phi_{\pm}}{\partial z}\hat{\mathbf{z}} \quad (14.3)$$

from which

$$\frac{\partial \eta}{\partial t} = \left( \mp \frac{1}{2}V + \frac{\partial \phi_{\pm}}{\partial x} \right) (-\eta_x) + \frac{\partial \phi_{\pm}}{\partial y} (-\eta_y) + \frac{\partial \phi_{\pm}}{\partial z} \quad (14.4)$$

**Linearize:** assume perturbation fields  $\eta$ ,  $\phi_{\pm}$  and their derivatives are small and therefore can neglect their products.

Thus  $\hat{\eta} \approx (-\eta_x, -\eta_y, 1)$  and  $\frac{\partial \eta}{\partial t} = \pm \frac{1}{2}V\eta_x + \frac{\partial \phi_{\pm}}{\partial z} \Rightarrow$

$$\frac{\partial \phi_{\pm}}{\partial z} = \frac{\partial \eta}{\partial t} \mp \frac{1}{2}V\frac{\partial \eta}{\partial x} \quad \text{on } z = 0 \quad (14.5)$$

3. **Normal Stress Balance:**  $p_- - p_+ = \sigma \nabla \cdot \mathbf{n}$  on  $z = \eta$ .  
Linearize:  $p_- - p_+ = -\sigma(\eta_{xx} + \eta_{yy})$  on  $z = 0$ .

We now deduce  $p_{\pm}$  from time-dependent Bernoulli:

$$\rho \frac{\partial \phi}{\partial t} + \frac{1}{2} \rho u^2 + p + \rho g z = f(t) \quad (14.6)$$

where  $u^2 = \frac{1}{4} V^2 \mp V \frac{\partial \phi_{\pm}}{\partial x} + H.O.T.$

Linearize:

$$\rho_{\pm} \frac{\partial \phi_{\pm}}{\partial t} + \frac{1}{2} \rho_{\pm} \left( \mp V \frac{\partial \phi_{\pm}}{\partial x} \right) + p_{\pm} + \rho_{\pm} g \eta = G(t) \quad (14.7)$$

so

$$p_- - p_+ = (\rho_+ - \rho_-) g \eta + (\rho_+ \frac{\partial \phi_{\pm}}{\partial t} - \rho_- \frac{\partial \phi_{\pm}}{\partial t}) + \frac{V}{2} (\rho_- \frac{\partial \phi_-}{\partial x} + \rho_+ \frac{\partial \phi_+}{\partial x}) = -\sigma (\eta_{xx} + \eta_{yy}) \quad (14.8)$$

is the linearized normal stress BC. Seek normal mode (wave) solutions of the form

$$\eta = \eta_0 e^{i\alpha x + i\beta y + \omega t} \quad (14.9)$$

$$\phi_{\pm} = \phi_{0\pm} e^{\mp k z} e^{i\alpha x + i\beta y + \omega t} \quad (14.10)$$

where  $\nabla^2 \phi_{\pm} = 0$  requires  $k^2 = \alpha^2 + \beta^2$ .

Apply kinematic BC:  $\frac{\partial \phi_{\pm}}{\partial z} = \frac{\partial \eta}{\partial t} \mp \frac{1}{2} V \frac{\partial \eta}{\partial x}$  at  $z = 0 \Rightarrow$

$$\mp k \phi_{0\pm} = \omega \eta_0 \mp \frac{1}{2} i \alpha V \eta_0 \quad (14.11)$$

Normal stress BC:

$$k^2 \sigma \eta_0 = -g(\rho_- - \rho_+) \eta_0 + \omega(\rho_+ \phi_{0+} - \rho_- \phi_{0-}) + \frac{1}{2} i \alpha V (\rho_+ \phi_{0+} + \rho_- \phi_{0-}) \quad (14.12)$$

Substitute for  $\phi_{0\pm}$  from (14.11):

$$-k^3 \sigma = \omega \left[ \rho_+ \left( \omega - \frac{1}{2} i \alpha V \right) + \rho_- \left( \omega + \frac{1}{2} i \alpha V \right) \right] + g k (\rho_- - \rho_+) + \frac{1}{2} i \alpha V \left[ \rho_+ \left( \omega - \frac{1}{2} i \alpha V \right) + \rho_- \left( \omega + \frac{1}{2} i \alpha V \right) \right]$$

so

$$\omega^2 + i \alpha V \left( \frac{\rho_- - \rho_+}{\rho_- + \rho_+} \right) \omega - \frac{1}{4} \alpha^2 V^2 + k^2 C_0^2 = 0 \quad (14.13)$$

where  $C_0^2 \equiv \left( \frac{\rho_- - \rho_+}{\rho_- + \rho_+} \right) \frac{g}{k} + \frac{\sigma}{\rho_- + \rho_+} k$ .

**Dispersion relation:** we now have the relation between  $\omega$  and  $k$

$$\omega = \frac{1}{2} i \left( \frac{\rho_+ - \rho_-}{\rho_- + \rho_+} \right) \mathbf{k} \cdot \mathbf{V} \pm \left[ \frac{\rho_- - \rho_+}{(\rho_- + \rho_+)^2} (\mathbf{k} \cdot \mathbf{V})^2 - k^2 C_0^2 \right]^{1/2} \quad (14.14)$$

where  $\mathbf{k} = (\alpha, \beta)$ ,  $k^2 = \alpha^2 + \beta^2$ .

The system is UNSTABLE if  $\mathcal{R}e(\omega) > 0$ , i.e. if

$$\frac{\rho_+ \rho_-}{\rho_- + \rho_+} (\mathbf{k} \cdot \mathbf{V})^2 > k^2 C_0^2 \quad (14.15)$$

**Squires Theorem:**

Disturbances with wave vector  $\mathbf{k} = (\alpha, \beta)$  parallel to  $\mathbf{V}$  are most unstable. This is a general property of shear flows.

We proceed by considering two important special cases, Rayleigh-Taylor and Kelvin-Helmholtz instability.

### 14.1 Rayleigh-Taylor Instability

We consider an initially static system in which heavy fluid overlies light fluid:  $\rho_+ > \rho_-$ ,  $V = 0$ . Via (14.15), the system is unstable if

$$C_0^2 = \frac{\rho_- - \rho_+}{\rho_+ \rho_-} \frac{g}{k} + \frac{\sigma}{\rho_- + \rho_+} k < 0 \tag{14.16}$$

i.e. if  $\rho_+ - \rho_- > \frac{\sigma k^2}{g} = \frac{4\pi^2 \sigma}{g \lambda^2}$ .

Thus, for instability, we require:  $\lambda > 2\pi\lambda_c$  where  $\lambda_c = \sqrt{\frac{\sigma}{\Delta\rho g}}$  is the capillary length.

**Heuristic Argument:**

Change in Surface Energy:

$$\Delta E_S = \sigma \cdot \underbrace{\Delta l}_{\text{arc length}} = \sigma \left[ \int_0^\lambda ds - \lambda \right] = \frac{1}{4} \sigma \epsilon^2 k^2 \lambda.$$

Change in gravitational potential energy:

$$\Delta E_G = \int_0^\lambda -\frac{1}{2} \rho g (h^2 - h_0^2) dx = -\frac{1}{4} \rho g \epsilon^2 \lambda.$$

When is the total energy decreased?

When  $\Delta E_{total} = \Delta E_S + \Delta E_G < 0$ , i.e. when  $\rho g > \sigma k^2$ , so  $\lambda > 2\pi\lambda_c$ .

The system is thus unstable to long  $\lambda$ .

Note:

1. The system is stabilized to small  $\lambda$  disturbances by  $\sigma$
2. The system is always unstable for suff. large  $\lambda$
3. In a finite container with width smaller than  $2\pi\lambda_c$ , the system may be stabilized by  $\sigma$ .
4. System may be stabilized by temperature gradients since Marangoni flow acts to resist surface deformation. E.g. a fluid layer on the ceiling may be stabilized by heating the ceiling.

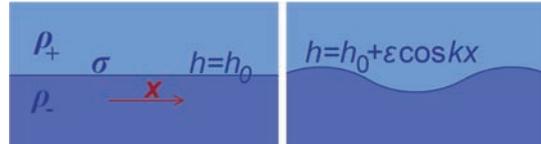


Figure 14.2: The base state and the perturbed state of the Rayleigh-Taylor system, heavy fluid over light.

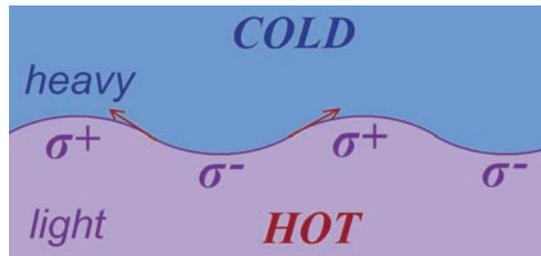


Figure 14.3: Rayleigh-Taylor instability may be stabilized by a vertical temperature gradient.

## 14.2 Kelvin-Helmholtz Instability

We consider shear-driven instability of a gravitationally stable base state. Specifically,  $\rho_- \geq \rho_+$  so the system is gravitationally stable, but destabilized by the shear.

Take  $\mathbf{k}$  parallel to  $\mathbf{V}$ , so  $(\mathbf{V} \cdot \mathbf{k})^2 = k^2 V^2$  and the instability criterion becomes:

$$\rho_- \rho_+ V^2 > (\rho_- - \rho_+) \frac{g}{k} + \sigma k \quad (14.17)$$

Equivalently,

$$\rho_- \rho_+ V^2 > (\rho_- - \rho_+) g \frac{\lambda}{2\pi} + \sigma \frac{2\pi}{\lambda} \quad (14.18)$$

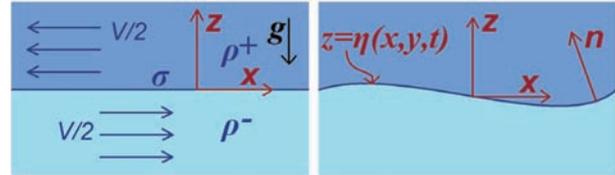


Figure 14.4: Kelvin-Helmholtz instability: a gravitationally stable base state is destabilized by shear.

Note:

1. System stabilized to short  $\lambda$  disturbances by surface tension and to long  $\lambda$  by gravity.
2. For any given  $\lambda$  (or  $k$ ), one can find a critical  $V$  that destabilizes the system.

**Marginal Stability Curve:**

$$V(k) = \left( \frac{\rho_- - \rho_+}{\rho_- \rho_+} \frac{g}{k} + \frac{1}{\rho_- \rho_+} \sigma k \right)^{1/2} \quad (14.19)$$

$V(k)$  has a minimum where  $\frac{dV}{dk} = 0$ , i.e.  $\frac{d}{dk} V^2 = 0$ .

This implies  $-\frac{\Delta\rho}{k^2} + \sigma = 0 \Rightarrow k_c = \sqrt{\frac{\Delta\rho g}{\sigma}} = \frac{1}{l_{cap}}$ .

The corresponding  $V_c = V(k_c) = \frac{2}{\rho_- \rho_+} \sqrt{\Delta\rho g \sigma}$  is the minimal speed necessary for waves.

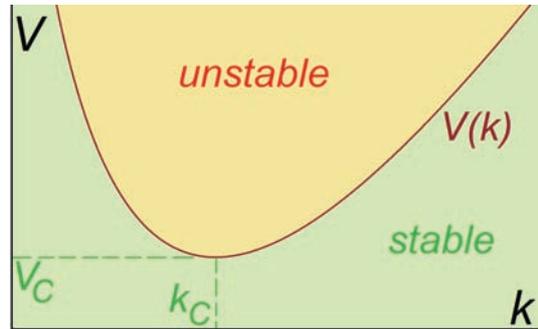


Figure 14.5: Fluid speed  $V(k)$  required for the growth of a wave with wavenumber  $k$ .

**E.g.** Air blowing over water: (cgs)

$V_c^2 = \frac{2}{1.2 \cdot 10^{-3}} \sqrt{1 \cdot 10^3 \cdot 70} \Rightarrow V_c \sim 650 \text{cm/s}$  is the minimum wind speed required to generate waves.

These waves have wavenumber  $k_c = \sqrt{\frac{1 \cdot 10^3}{70}} \approx 3.8 \text{ cm}^{-1}$ , so  $\lambda_c = 1.6 \text{cm}$ . They thus correspond to capillary waves.

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