

## Lecture 12: Quasi-coherent and Coherent Sheaves

We finish the proof of the following statement:

**Theorem 1.1.** *Let  $X = \text{Spec}(A)$  be an affine variety. Then there is an equivalence of categories  $f : \mathbf{QCoh}(X) \cong \mathbf{Mod}(A)$ .*

*Proof.* Last time we defined the left adjoint functor  $\text{Loc} : M \rightarrow \tilde{M}$ , where the latter is the sheaf assigned to the presheaf  $\mathcal{F}(U) = k[U] \otimes_A M$ . Note that it is an exact functor. We have a natural functor  $\mathbf{Mod}(A) \rightarrow \mathbf{Sh}(X) \rightarrow \mathbf{QCoh}(X)$ .

**Lemma 1.** *Let  $i \in I$  be a directed system indexing sheaves  $\mathcal{F}_i$ . If  $X$  is a Noetherian topological space, then  $\varinjlim_{\text{PreSh}} \mathcal{F}_i$  is a sheaf. Hence  $\varinjlim_{\text{PreSh}} \mathcal{F}_i = \varinjlim_{\text{Sh}} \mathcal{F}_i$ . (Note that  $\varinjlim_{\text{PreSh}} (\mathcal{F}_i)(U) = \varinjlim_{\text{Sh}} \mathcal{F}_i(U)$  whereas  $\varinjlim_{\text{Sh}} (\mathcal{F}_i) = \varinjlim_{\text{Sh}} (\mathcal{F}_i)^\#$ .) This shows that  $\varinjlim_{\text{Sh}} \mathcal{F}_i(U) = \varinjlim_{\text{Sh}} (\mathcal{F}_i(U))$ .*

**Example 1.** Take  $X = \mathbb{Z}$ , then  $\Gamma(\bigoplus k_n)$  (where  $k_n$  is supported at  $n$ ) =  $\prod_n k \supseteq \bigoplus_n k_n$ .

Back to the proof of the theorem. We need to check that the sheaf condition holds for  $U = \bigcup_{\alpha} U_{\alpha}$ .  $U$  can be made quasicompact since we're Noetherian, so enough to consider the case where  $\{U_{\alpha}\}$  is finite. Using induction we can reduce to  $U = U_1 \cup U_2$ . Now observe the following sequence is exact:

$$0 \rightarrow \varinjlim \mathcal{F}_i(U) \rightarrow \varinjlim F(U_1) \oplus \varinjlim F(U_2) \rightarrow \varinjlim F(U_1 \cap U_2)$$

Now suppose  $X$  is an algebraic variety.  $U = U_f = X \setminus Z_f$ , and  $\mathcal{F}$  is quasicoherent.

**Proposition 1.**  $j_* j^* \mathcal{F} = \varinjlim (f^{-n} \mathcal{F})$ , where  $j : U \hookrightarrow X$ ,  $j_* \mathcal{F}$  means the sheaf whose section on  $V$  is  $\mathcal{F}(U \cap V)$ , and the right side is the formal notation denoting copies of  $\mathcal{F}$ , where  $\{f^{-n} \mathcal{F}, n = 0, 1, \dots\}$  are combined in a direct system, and we have the mapping

$$\mathcal{F} \xrightarrow{f} f^{-1} \mathcal{F} \xrightarrow{f} f^{-2} \mathcal{F} \xrightarrow{f} \dots$$

*Proof.* From each  $f^{-n} \mathcal{F}$  there is an obvious map  $f^{-n} \mathcal{F} \rightarrow j_* j^* \mathcal{F}$  and thereby there is an induced map  $\varinjlim f^{-n} \mathcal{F} \rightarrow j_* j^* \mathcal{F}$ , which we want to show is an isomorphism. Suffices to assume  $X$  is affine. Recall that taking direct limit in presheaves and sheaves yield the same result for Noetherian spaces; in other words, for each  $U$  we have  $(\varinjlim f^{-n} \mathcal{F})(U) = \varinjlim (f^{-n} \mathcal{F}(U))$ , so it suffices to check that  $\Gamma(X, j_* j^* \mathcal{F}) = \Gamma(X, j^* \mathcal{F}) = \varinjlim (f^{-n} \mathcal{F}(X))$ , which holds because if  $\Gamma(X, \mathcal{F}) = M$ , then  $\Gamma(X, j^* \mathcal{F}) = M_f = \varinjlim f^{-n} M = \varinjlim (f^{-n} \mathcal{F}(X))$ .  $\square$

We'll write this limit as  $\mathcal{F}_f$ . To finish the proof, let us first check that  $\Gamma : \mathbf{QCoh}(X) \rightarrow \mathbf{Mod}(A)$  is exact (Proposition II.5.6 of Hartshorne). Assuming  $X$  is separated, this is in fact true *if and only if*  $X$  is affine; this is known as **Serre's criterion**. Let  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  and let  $\sigma \in \Gamma(\mathcal{F}'')$ . First, check for any  $x \in X$  there exists  $f \in A$  such that  $f(x) \neq 0, f^n \sigma \in \text{Im}(\Gamma(\mathcal{F}))$ . By the exactness of the short exact sequence,  $\exists U = U_f \ni x, \tilde{\sigma} \in \mathcal{F}(U), \tilde{\sigma} \rightarrow \sigma|_U$ . Let  $s/f^n = \tilde{\sigma} \in \Gamma(\mathcal{F})_f = \mathcal{F}(U)$ , where  $s \in \Gamma(\mathcal{F})$ , then it goes into  $\Gamma(\mathcal{F}'')_f = \mathcal{F}''(U)$ .  $s \mapsto f^n \sigma$  is the localized map, so  $f^m s \mapsto f^{n+m} \sigma$  under the map  $\Gamma(\mathcal{F}) \rightarrow \Gamma(\mathcal{F}'')$ . Now let  $s \in \text{Coker}$ . By what we just said, we can cover  $X$  by open sets  $U_{f_i}$  such that  $f_i^n s = 0 \in \text{Coker}$ . Thus since  $f_i$  together generate 1,  $s = 0$ . So indeed it is onto.

Now we know  $\Gamma(\tilde{A}) = A$ . Loc commute with  $\bigoplus \Gamma(\tilde{A}^{\oplus I}) = A^{\oplus I}$ . Given  $M \in \mathbf{Mod}(A)$ , take some presentation  $A^{\oplus J} \rightarrow A^{\oplus I} \rightarrow M \rightarrow 0$ , then the canonical map  $\Gamma(\tilde{M}) \rightarrow M$  is an isomorphism. Now we need to check that  $\Gamma(\tilde{\mathcal{F}}) \rightarrow \mathcal{F}$  is also an isomorphism. (The rest follows [Har77] as the proof in class was not recorded.) Quasicoherence of  $\mathcal{F}$  means that there exists some open covering  $X = \bigcup D(g_i)$  such that  $\mathcal{F}|_{D(g_i)} = \tilde{M}_i$  for some modules  $(M_i)$ . On the other hand, by Lemma 5.3 of [Har77], applied to  $D(g_i)$ , gives that  $\mathcal{F}(D(g_i)) = \Gamma(\mathcal{F})_{g_i}$  (the localized module), so in fact we have  $M_i = \Gamma(\mathcal{F})_{g_i}$  (as one can check on stalks), and thus  $\Gamma(\tilde{\mathcal{F}}) \rightarrow \mathcal{F}$  is isomorphism on each  $D(g_i)$ , hence overall an isomorphism.  $\square$

A sheaf  $\mathcal{F} \in \mathbf{QCoh}(X)$  is *coherent* if locally we have a s.e.s.  $O_U^{\oplus I} \rightarrow O_U^{\oplus J} \rightarrow \mathcal{F} \rightarrow 0$ , with  $I, J$  finite.

**Lemma 2.** *If  $X = \text{Spec } A$ , then  $\mathcal{F} = \tilde{M}$  is coherent iff  $M$  is finitely generated.*

*Proof.* If  $M$  is finitely generated we clearly have a coherent sheaf. On the other hand, Suppose  $\tilde{M}$  is coherent, then take an open cover of  $X$  by  $D(f_i)$  such that on each  $D(f_i)$ , the restriction (which we denote by  $\tilde{M}_i$ ) is a finitely-generated  $k[X]_{f_i}$ -module. Now observe that  $\tilde{M}_i = M_{(f_i)}$ , and since there are only finitely many  $f_i$ , after clearing the denominators we can get a finite generating set for  $M$ .  $\square$

Let  $f : X \rightarrow Y$  morphism of algebraic varieties. For  $\mathcal{F} \in \mathbf{Sh}_{O\text{-mod}}(X)$ , we can define  $f_*\mathcal{F} \in \mathbf{Sh}_{O\text{-mod}}(Y)$  (pushforward or direct image) by  $f_*(\mathcal{F})(U) = F(f^{-1}(U))$ .

**Lemma 3.**  *$f_*$  sends  $\mathbf{QCoh}(X)$  to  $\mathbf{QCoh}(Y)$ . Note that it does not send coherent module to coherent module. e.g.  $f : \mathbb{A}^1 \rightarrow *$ .*

*Proof.* First consider when  $X, Y$  affine. This becomes  $\text{Spec}(A) \rightarrow \text{Spec}(B)$ ,  $f_*(\tilde{M}) = \tilde{M}_B$  clear by inspection. Now for general  $X, Y$ , we can assume  $Y$  affine since the question is local. Let  $X = \bigcup U_i$  and denote  $U_i \cap U_j = \bigcup_k U_{ij}^k$ , then there is an exact sequence

$$0 \rightarrow f_*(\mathcal{F}) \rightarrow \bigoplus_i (f|_{U_i})_*(\mathcal{F}|_{U_i}) \rightarrow \bigoplus_{i,j,k} (f|_{U_{ij}^k})_*(\mathcal{F}|_{U_{ij}^k})$$

Now apply Proposition II.5.7 of Hartshorne.  $\square$

**Corollary 1.**  *$f_*$  is exact for a map of affine varieties. It is left exact in general.*

We claim that  $f_*$  has the left adjoint functor  $f^* : \mathbf{QCoh}(Y) \rightarrow \mathbf{QCoh}(X)$ . Recall that  $M \mapsto M_B$  has left adjoint  $M \mapsto A \otimes_B M$ . This defines  $f^*$  for a map of affine varieties. In general,  $f^*(F) = [O_X \otimes_{f^*(O_Y)} f^*(F)]^\#$ .

General property about pullback: suppose  $X \rightarrow Y$ ,  $U = \text{Spec}(A)$  in  $X$  and  $V = \text{Spec}(B)$  in  $Y$ . Let  $F|_V = \tilde{M}$ , then  $f^*(F)|_U = \widetilde{A \otimes_B M}$ . We see that  $f^*U$  is right exact by adjointness (or from the fact that tensor products are right adjoint).

A particular example of this is the pullback to a point. Consider  $i : \{x\} = * \hookrightarrow X$ . Then  $i^*(\mathcal{F})$  is the fiber of  $\mathcal{F}$  at  $x$ . If  $X$  is just quasicohent, it may have zero fibers at points. (Consider the example  $X = \mathbb{A}^1$ , and  $j : \mathbb{A}^1 - \{0\}$ , and let  $\mathcal{F} = j_*O/\mathcal{O}$ , let  $\tilde{M} = \mathcal{F}$ , where  $M = \frac{k[t, t^{-1}]}{k[t]} = \{a_{-1}t^{-1} + \dots + a_n t^{-n}\}$ , then the multiplication by  $t$  is surjective. What is the fiber of  $\mathcal{F}$  at 0? it is  $M/tM = 0$ .) Also  $\mathcal{F}|_{\mathbb{A}^1 - \{0\}} = 0$ , so fiber at  $x \neq 0$  is also 0.

**Lemma 4.** *If  $\mathcal{F}$  is coherent, then:*

1. *Fiber is always finite dimensional;*
2. *Fiber of  $\mathcal{F}$  at  $x$  is zero iff  $\exists U \ni x, F|_U = 0$ ;*
3. *The function  $d : x \mapsto \dim(\text{fiber}(x))$  is (upper) semicontinuous.*
4. *The function  $d$  is locally constant if and only if  $F$  is locally free.*

*Proof.* Part 1) is obvious. Now denote the fiber by  $F_x(\mathcal{F})$ . Let  $I_x$  be the stalk, i.e. module over the stalk of  $O$ , i.e.  $O_{x,X}$ -local ring of  $x$ . The claim is that  $F_x(\mathcal{F}) = F_x/\mathfrak{m}_x I_x = I_x \otimes_{O_{x,X}} k$ . Let  $\overline{m}_1, \dots, \overline{m}_n$  be a basis in  $F_x(\mathcal{F})$ , use Nakayama to find some  $m_i \in F_x$  such that  $m_i$  generate  $F_x$ . So  $F_x(\mathcal{F}) = 0 \implies F_x = 0 \implies F|_U = 0$  for some  $U \ni x$ . This finishes part 2). Now,  $\exists U_i$  and action  $s_i \in F(U) \mapsto m_i$ ,  $s_i$  generate  $F(U)$  as  $k(U)$  module. This is part 3). Part 4) is left as exercise.  $\square$

## References

[Har77] Robin Hartshorne. *Algebraic geometry*. Vol. 52. Springer Science & Business Media, 1977.

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18.725 Algebraic Geometry  
Fall 2015

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