

## Lecture 13

### Arithmetic Functions

**Today** - Arithmetic functions, the Möbius function

**(Definition) Arithmetic Function:** An **arithmetic function** is a function  $f : \mathbb{N} \rightarrow \mathbb{C}$

**Eg.**

$\pi(n)$  = the number of primes  $\leq n$

$d(n)$  = the number of positive divisors of  $n$

$\sigma(n)$  = the sum of the positive divisors of  $n$

$\sigma_k(n)$  = the sum of the  $k$ th powers of  $n$

$\omega(n)$  = the number of distinct primes dividing  $n$

$\Omega(n)$  = the number of primes dividing  $n$  counted with multiplicity

**Eg.**

$$\sigma(1) = 1$$

$$\sigma(2) = 1 + 2 = 3$$

$$\sigma(3) = 1 + 3 = 4$$

$$\sigma(6) = 1 + 2 + 3 + 6 = 12$$

**(Definition) Perfect Number:** A **perfect number**  $n$  is one for which  $\sigma(n) = 2n$  (eg., 6, 28, 496, etc.)

**Big open conjecture:** Every perfect number is even.

**Note:** One can show that if  $n$  is an even perfect number, then  $n = 2^{m-1}(2^m - 1)$  where  $2^m - 1$  is a Mersenne prime (Euler)

**(Definition) Multiplicative:** If  $f$  is an arithmetic function such that whenever  $(m, n) = 1$  then  $f(mn) = f(m)f(n)$ , we say  $f$  is **multiplicative**. If  $f$  satisfies the stronger property that  $f(mn) = f(m)f(n)$  for all  $m, n$  (even if not coprime), we say  $f$  is **completely multiplicative**

**Eg.**

$$f(n) = \begin{cases} 1 & n = 1 \\ 0 & n < 1 \end{cases}$$

is completely multiplicative. It's sometimes called **1** (we'll see why soon).

**Eg.**  $f(n) = n^k$  for some fixed  $k \in \mathbb{N}$  is also completely multiplicative

**Eg.**  $\omega(n)$  is not multiplicative (adds, but  $2^{\omega(n)}$  is multiplicative)

**Eg.**  $\phi(n)$  is multiplicative (by CRT)

**Note:** If  $f$  is a multiplicative function, then to know  $f(n)$  for all  $n$ , it suffices to know  $f(n)$  for prime powers  $n$ . This is why we wrote

$$\phi(p_1^{e_1} \dots p_r^{e_r}) = \prod p_i^{e_i-1} (p_i - 1)$$

**(Definition) Convolution:** The **convolution** of two arithmetic functions  $f$  and  $g$  is  $f * g$  defined by

$$(f * g)(n) = \sum_{d|n} f(d)g\left(\frac{n}{d}\right)$$

(summing over positive divisors of  $n$ ). Compare this to convolution from calculus or differential equations

$$(f * g)(x) = \int_{t=-\infty}^{\infty} f(t)g(x-t)dt$$

or  $\int_{t=0}^x f(t)g(x-t)dt$  if  $f(y), g(y)$  are 0 for  $y < 0$

**Eg.**

$$f * \mathbb{1} = \mathbb{1} * f = f \text{ for every } f \text{ (check 1)}$$

$\mathbb{1}$  is the identity for convolution

**Theorem 48.** If  $f$  and  $g$  are multiplicative then  $f * g$  is multiplicative.

*Proof.* Suppose  $m$  and  $n$  are coprime. Then any divisor of  $mn$  is of the form

$d_1, d_2$ , where  $d_1|m$  and  $d_2|n$ , uniquely. So we have

$$\begin{aligned}
 (f * g)(mn) &= \sum_{d|mn} f(d)g\left(\frac{mn}{d}\right) \\
 &= \sum_{d_1|m} \sum_{d_2|n} f(d_1d_2)g\left(\frac{m}{d_1} \cdot \frac{n}{d_2}\right) \\
 &= \sum_{d_1|m} \sum_{d_2|n} \underbrace{f(d_1)f(d_2)}_{\text{since } (d_1, d_2)=1} \underbrace{g\left(\frac{m}{d_1}\right)g\left(\frac{n}{d_2}\right)}_{\text{since } \left(\frac{m}{d_1}, \frac{n}{d_2}\right)=1} \\
 &= \left( \sum_{d_1|m} f(d_1)g\left(\frac{m}{d_1}\right) \right) \left( \sum_{d_2|n} f(d_2)g\left(\frac{n}{d_2}\right) \right) \\
 &= (f * g)(m)(f * g)(n)
 \end{aligned}$$

■

**Ex.** Let  $U(n) = 1$  for all  $n$ . Then for any arithmetic function  $f$ , we have

$$(f * U)(n) = \sum_{d|n} f(d) \underbrace{U\left(\frac{n}{d}\right)}_{=1} = \sum_{d|n} f(d)$$

This is usually called  $F(n)$ .

If  $f$  is multiplicative, then  $F$  is multiplicative, by theorem (since  $U$  is obviously completely multiplicative) (that's theorem 4.4 in the book). In particular, we compute  $U * U$

$$(U * U)(n) = \sum_{d|n} 1 \cdot 1 = \text{number of divisors of } n = d(n)$$

so  $d(n)$  is multiplicative.

For a prime power  $p^\alpha$ , the number of divisors is  $\alpha + 1$ . So

$$d(p_1^{e_1} \dots p_r^{e_r}) = \prod_{i=1}^r (e_i + 1)$$

For the function  $r_k(n) = n^k$ , we have

$$(r_k * U)(n) = \sum_{d|n} d^k = \sigma_k(n)$$

which is therefore multiplicative.

Since

$$\sigma_k(p^\alpha) = 1 + p^k + \dots + p^{k\alpha} = \frac{p^{k(\alpha+1)} - 1}{p^k - 1}$$

we get

$$\sigma_k\left(\prod p_i^{e_i}\right) = \prod_{i=1}^r \frac{p_i^{k(e_i+1)} - 1}{p_i^k - 1}$$

**Theorem 49.** For any positive integer  $n$ , we have

$$\sum_{d|n} \phi(d) = n$$

In other words  $\phi * U = r_1$ .

*Proof.* Since both sides are multiplicative, enough to show it for prime powers.

$$\begin{aligned} r_1(p^\alpha) &= p^\alpha \\ \sum_{d|p^\alpha} \phi(d) &= \phi(1) + \phi(p) + \dots + \phi(p^\alpha) \\ &= 1 + (p-1) + p(p-1) + \dots + p^{\alpha-1}(p-1) \\ &= 1 + p - 1 + p^2 - p + p^3 - p^2 + \dots + p^\alpha - p^{\alpha-1} \\ &= p^\alpha \end{aligned}$$

■

**Eg.** What is  $r_k * r_k$ ?

$$(r_k * r_k)(n) = \sum_{d|n} d^k \left(\frac{n}{d}\right)^k = \sum_{d|n} n^k = n^k d(n)$$

Other multiplicative functions:  $(\frac{\cdot}{D})$  since  $(\frac{mn}{D}) = (\frac{m}{D})(\frac{n}{D})$

There's an interesting multiplicative function - let  $\tau(n)$  (Ramanujan's tau function) be the coefficient of  $q^n$  in  $q \prod_{i=1}^{\infty} (1 - q^i)^{24}$ . Then

$$\begin{aligned} \tau(1) &= 1 \\ \tau(2) &= -24 \\ \tau(3) &= 252 \\ \tau(6) &= -6048 = -24 \cdot 252 = \tau(2)\tau(3) \\ &\text{etc.} \end{aligned}$$

**Theorem 50.**  $\tau(n)$  is multiplicative (deep)

Proof uses modular forms.

A famous open conjecture is **Lehmer's conjecture**:  $\tau(n) \neq 0$  for every natural number  $n$ .

**Proposition 51.**  $f * g = g * f$  for any arithmetic functions  $f, g$  (ie., convolution is commutative)

*Proof.*

$$(f * g)(n) = \sum_{d|n} f(d)g\left(\frac{n}{d}\right)$$

as  $d$  ranges over divisors of  $n$ , so does  $\frac{n}{d} = d'$  so we write

$$(f * g)(n) = \sum_{d'|n} f(d')g\left(\frac{n}{d'}\right) = \sum_{d|n} f\left(\frac{n}{d}\right)g\left(\frac{n}{\frac{n}{d}}\right) = \sum_{d|n} f\left(\frac{n}{d}\right)g(d) = (g * f)(n)$$

■

**Proposition 52.**

$$f * (g * h) = (f * g) * h$$

(ie.,  $*$  is associative)

Proof left as exercise.

The Möbius mu function is defined to be

$$\mu(n) = \begin{cases} (-1)^{\omega(n)} & \text{if } n \text{ is square free} \\ 0 & \text{otherwise} \end{cases}$$

remembering that  $\omega(n)$  was additive, it's easy to see  $\mu(n)$  is a multiplicative function

$$\mu(n) = \begin{cases} (-1)^r & \text{if } n = p_1 \dots p_r \text{ for distinct primes } p_i \\ 0 & \text{if some } p^2 \text{ divides } n \end{cases}$$

Remember the function  $U(n) = 1$  for all  $n$ .

**Theorem 53.**

$$\mu * U = \mathbf{1} \begin{cases} 1 & \text{if } n = 1 \\ 0 & \text{otherwise} \end{cases}$$

*Proof.*  $\mu, U$  are multiplicative, so  $\mu * U$  is too. So enough to show that  $(\mu * U)(1) = 1$  and  $(\mu * U)(p^\alpha) = 0$  for prime powers  $p^\alpha$ .

The first is trivial:

$$\begin{aligned}(\mu * U)(1) &= \sum_{d|1} \mu(d)U(d) = 1 \cdot 1 = 1 \\(\mu * U)(p^\alpha) &= \sum_{d|p^\alpha} \mu(d)U(d) \\&= \mu(1) + \mu(p) \\&= 1 + (-1) \\&= 0\end{aligned}$$

So  $\mu * U = 1$ . ■

MIT OpenCourseWare  
<http://ocw.mit.edu>

18.781 Theory of Numbers  
Spring 2012

For information about citing these materials or our Terms of Use, visit: <http://ocw.mit.edu/terms>.