

### 3 Unique factorization of ideals in Dedekind domains

#### 3.1 Fractional ideals

Throughout this subsection,  $A$  is a noetherian domain and  $K$  is its fraction field.

**Definition 3.1.** A *fractional ideal* of  $A$  is a finitely-generated  $A$ -submodule of  $K$ .

Despite the nomenclature, fractional ideals are not necessarily ideals, because they need not be subsets of  $A$ . But they do generalize the notion of an ideal: in a noetherian domain an ideal is a finitely generated  $A$ -submodule of  $A \subseteq K$ . Some authors use the term *integral ideal* to distinguish fractional ideals that are actually ideals.

**Remark 3.2.** Fractional ideals can be defined more generally in domains that are not necessarily noetherian; in this case they are  $A$ -submodules  $I$  of  $K$  for which there exist an element  $r \in A$  such that  $rI \subseteq A$ . When  $A$  is noetherian this coincides with our definition.

**Lemma 3.3.** Let  $A$  be a noetherian domain with fraction field  $K$  and let  $I \subseteq K$  be an  $A$ -module. Then  $I$  is finitely generated if and only if  $aI \subseteq A$  for some nonzero  $a \in A$ .

*Proof.* For the forward implication, if  $r_1/s_1, \dots, r_n/s_n$  are fractions whose equivalence classes generate  $I$  as an  $A$ -module, then  $aI \subseteq A$  for  $a = s_1 \cdots s_n$ . For the reverse implication, if  $aI \subseteq A$ , then  $aI$  is an ideal, hence finite generated (since  $A$  is noetherian), and if  $a_1, \dots, a_n$  generate  $aI$  then  $a_1/a, \dots, a_n/a$  generate  $I$ .  $\square$

**Corollary 3.4.** Every fractional ideal of  $A$  can be written as  $\frac{1}{a}I$ , where  $a \in A$  is nonzero and  $I$  is an ideal.

**Example 3.5.** The set  $I = \frac{1}{2}\mathbb{Z} = \{\frac{n}{2} : n \in \mathbb{Z}\}$  is a fractional ideal of  $\mathbb{Z}$ . As a  $\mathbb{Z}$ -module it is generated by  $1/2 \in \mathbb{Q}$ , and we have  $2I \subseteq \mathbb{Z}$ .

**Definition 3.6.** A *principal fractional ideal* is a fractional ideal with a single generator. For any  $x \in K$  we use  $(x)$  or  $xA$  to denote the principal fractional ideal generated by  $x$ .

Like ideals, fractional ideals may be added and multiplied:

$$I + J := (i + j : i \in I, j \in J), \quad IJ := (ij : i \in I, j \in J).$$

Here the notation  $(S)$  means the  $A$ -module generated by  $S \subseteq K$ . In the case of  $I + J$  this is just the set of sums  $i + j$ , but  $IJ$  is typically not the set of products  $ij$ , it is the set of all finite sums of such products. We also have a new operation, corresponding to division. For any nonzero fractional ideal  $J$ , the set

$$(I : J) := \{x \in K : xJ \subseteq I\}$$

is called a *colon ideal*, or *generalized ideal quotient* of  $I$  by  $J$  (but note that  $J$  need not be contained in  $I$ , so  $(I : J)$  is typically not a quotient of  $A$ -modules). If  $I = (x)$  and  $J = (y)$  are principal fractional ideals then  $(I : J) = (x/y)$ , so it can be viewed as a generalization of division in  $K^\times$ .

The colon ideal  $(I : J)$  is an  $A$ -submodule of  $K$ , and it is finitely generated, hence a fractional ideal. This is easy to see when  $I, J \subseteq A$ : let  $j$  be any nonzero element of  $J \subseteq A$  and note that  $j(I : J) \subseteq I \subseteq A$ , so  $(I : J)$  is finitely generated, by Lemma 3.3. More generally, choose  $a$  and  $b$  so that  $aI \subseteq A$  and  $bJ \subseteq A$ . Then  $(I : J) = (abI : abJ)$  with  $abI, abJ \subseteq A$  and we may apply the previous case.

**Definition 3.7.** A fractional ideal  $I$  is *invertible* if  $IJ = A$  for some fractional ideal  $J$ .

**Lemma 3.8.** A fractional ideal  $I$  of  $A$  is invertible if and only if  $I(A : I) = A$ , in which case  $(A : I)$  is its unique inverse.

*Proof.* We first note that inverses are unique when they exist: if  $IJ = A = IJ'$  then  $J = JA = JIJ' = AJ' = J'$ . Now suppose  $I$  is invertible, with  $IJ = A$ . Then  $jI \subseteq A$  for all  $j \in J$ , so  $J \subseteq (A : I)$ . Now  $A = IJ \subseteq I(A : I) \subseteq A$ , so  $I(A : I) = A$ .  $\square$

**Theorem 3.9.** The invertible fractional ideals of  $A$  form an abelian group under multiplication in which the nonzero principal fractional ideals form a subgroup.

*Proof.* This first statement is immediate: multiplication is commutative and associative, inverses exist by definition, and  $A = (1)$  is the multiplicative identity. Every nonzero principal ideal  $(a)$  has an inverse  $(1/a)$ , and a product of principal ideals is principal, so they form a subgroup.  $\square$

**Definition 3.10.** The group  $\mathcal{I}_A$  of invertible fractional ideals of  $A$  is the *ideal group* of  $A$ . The subgroup of principal fractional ideals is denoted  $\mathcal{P}_A$ , and the quotient  $\text{cl}(A) := \mathcal{I}_A/\mathcal{P}_A$  is the *ideal class group*.

**Example 3.11.** If  $A$  is a DVR with uniformizer  $\pi$  then its nonzero fractional ideals are the principal fractional ideals  $(\pi^n)$  for  $n \in \mathbb{Z}$  (including  $n < 0$ ), all of which are invertible. We have  $(\pi^m)(\pi^n) = (\pi^{m+n})$ , thus the ideal group of  $A$  is isomorphic to  $\mathbb{Z}$  (under addition); we also note that  $(\pi^m) + (\pi^n) = (\pi^{\min(m,n)})$ . The ideal class group of  $A$  is trivial, since  $A$  is necessarily a PID.

## 3.2 Fractional ideals under localization

The arithmetic operations  $I + J$ ,  $IJ$ , and  $(I : J)$  on fractional ideals respect localization.

**Lemma 3.12.** Let  $I$  and  $J$  be fractional ideals of  $A$  of a noetherian domain  $A$ , and let  $\mathfrak{p}$  be a prime ideal of  $A$ . Then  $I_{\mathfrak{p}}$  and  $J_{\mathfrak{p}}$  are fractional ideals of  $A_{\mathfrak{p}}$  and

$$(I + J)_{\mathfrak{p}} = I_{\mathfrak{p}} + J_{\mathfrak{p}}, \quad (IJ)_{\mathfrak{p}} = I_{\mathfrak{p}}J_{\mathfrak{p}}, \quad (I : J)_{\mathfrak{p}} = (I_{\mathfrak{p}} : J_{\mathfrak{p}}).$$

*Proof.* We first note that  $I_{\mathfrak{p}} = IA_{\mathfrak{p}}$  is a finitely generated  $A_{\mathfrak{p}}$ -module (by generators of  $I$  as an  $A$ -module), hence a fractional ideal of  $A_{\mathfrak{p}}$ , and similarly for  $J_{\mathfrak{p}}$ . We have

$$(I + J)_{\mathfrak{p}} = (I + J)A_{\mathfrak{p}} = IA_{\mathfrak{p}} + JA_{\mathfrak{p}} = I_{\mathfrak{p}} + J_{\mathfrak{p}}.$$

Similarly,

$$(IJ)_{\mathfrak{p}} = (IJ)A_{\mathfrak{p}} = I_{\mathfrak{p}}J_{\mathfrak{p}},$$

where we note that in the fraction field of a domain and can put sums of fractions over a common denominator to get  $I_{\mathfrak{p}}J_{\mathfrak{p}} \subseteq (IJ)_{\mathfrak{p}}$  (the reverse containment is clear). Finally

$$(I : J)_{\mathfrak{p}} = \{x \in K : xJ \subseteq I\}_{\mathfrak{p}} = \{x \in K : xJ_{\mathfrak{p}} \subseteq I_{\mathfrak{p}}\} = (I_{\mathfrak{p}} : J_{\mathfrak{p}}). \quad \square$$

**Theorem 3.13.** Let  $I$  be a fractional ideal of a noetherian domain  $A$ . Then  $I$  is invertible if and only if its localization at every maximal ideal  $\mathfrak{m}$  of  $A$  is invertible (equivalently, if and only if its localization at every prime ideal  $\mathfrak{p}$  of  $A$  is invertible).

*Proof.* Assume  $I$  is an invertible. Then  $I(A : I) = A$ , and for any maximal ideal  $\mathfrak{m}$  we have  $I_{\mathfrak{m}}(A_{\mathfrak{m}} : I_{\mathfrak{m}}) = A_{\mathfrak{m}}$ , by Lemma 3.12, so  $I_{\mathfrak{m}}$  is also invertible.

To prove the converse, suppose every  $I_{\mathfrak{m}}$  is invertible. Then  $I_{\mathfrak{m}}(A_{\mathfrak{m}} : I_{\mathfrak{m}}) = A_{\mathfrak{m}}$  for every maximal ideal  $\mathfrak{m}$ . Applying Lemma 3.12 and the fact that  $A = \bigcap_{\mathfrak{m}} A_{\mathfrak{m}}$  (see Proposition 2.8) we have

$$\begin{aligned} \bigcap_{\mathfrak{m}} I_{\mathfrak{m}}(A_{\mathfrak{m}} : I_{\mathfrak{m}}) &= \bigcap_{\mathfrak{m}} A_{\mathfrak{m}} = A \\ \bigcap_{\mathfrak{m}} (I(A : I))_{\mathfrak{m}} &= A \\ I(A : I) &= A. \end{aligned}$$

Therefore  $I$  is invertible. The proof for prime ideals is the same. □

**Corollary 3.14.** *In a Dedekind domain every nonzero fractional ideal is invertible.*

*Proof.* If  $A$  is Dedekind then all of its localizations at maximal ideals are DVRs, and in a DVR every fractional ideal is principle, hence invertible (see Example 3.11). It follows from Theorem 3.13 that every fractional ideal of  $A$  is invertible. □

One can show that an integral domain in which every nonzero ideal is invertible is a Dedekind domain (see Problem Set 2), which gives another way to define Dedekind domains.

Let us also note an equivalent condition.

**Lemma 3.15.** *A nonzero fractional ideal  $I$  in a local domain  $A$  is invertible if and only if it is principal.*

*Proof.* Nonzero principal fractional ideals are always invertible, so we only need to show the converse. Let  $I$  be an invertible fractional ideal, and let  $\mathfrak{m}$  be the maximal ideal of  $A$ . We have  $II^{-1} = A$ , so  $\sum_{i=1}^n a_i b_i = 1$  for some  $a_i \in I$  and  $b_i \in I^{-1}$ , and each  $a_i b_i$  lies in  $II^{-1}$  and therefore in  $A$ . One of the products  $a_i b_i$ , say  $a_1 b_1$ , must be a unit (otherwise the sum would lie in  $\mathfrak{m}$ ). For every  $x \in I$  we have  $x = a_1 b_1 x \subseteq a_1 I$ , since  $b_1 x \in A$ , so  $I \subseteq (a_1) \subseteq I$ , thus  $I = (a_1)$  is principal. □

**Corollary 3.16.** *A fractional ideal in a noetherian domain  $A$  is invertible if and only if it is locally principal, that is, its localization at every maximal ideal of  $A$  is principal.*

### 3.3 Unique factorization of ideals in Dedekind domains

**Lemma 3.17.** *Let  $x$  be a nonzero element of a Dedekind domain  $A$ . Then the number of prime ideals that contain  $x$  is finite.*

*Proof.* Define subsets  $S$  and  $T$  of  $\mathcal{I}_A$ :

$$\begin{aligned} S &:= \{I \in \mathcal{I}_A : (x) \subseteq I \subseteq A\}, \\ T &:= \{I \in \mathcal{I}_A : A \subseteq I \subseteq (x^{-1})\}, \end{aligned}$$

where  $S$  and  $T$  are partially ordered by inclusion. We then have bijections

$$\begin{aligned} \varphi_1 : S &\rightarrow T & \varphi_2 : T &\rightarrow S \\ I &\mapsto I^{-1} & I &\mapsto xI \end{aligned}$$

with  $\varphi_1$  order-reversing and  $\varphi_2$  order-preserving. The composition  $\varphi := \varphi_2 \circ \varphi_1$  is then an order-reversing permutation of  $S$ . Since  $A$  is noetherian, every ascending chain of ideals containing  $(x)$  eventually stabilizes, and after applying our order-reversing permutation this implies that every descending chain of ideals containing  $(x)$  stabilizes.

Now suppose for the sake of contradiction that  $x$  lies in infinitely many distinct nonzero prime ideals  $\mathfrak{p}_i$ . Then

$$\mathfrak{p}_1 \supseteq \mathfrak{p}_1 \cap \mathfrak{p}_2 \supseteq \mathfrak{p}_1 \cap \mathfrak{p}_2 \cap \mathfrak{p}_3 \supseteq \cdots$$

is a descending chain of ideals that must stabilize. For any sufficiently large  $n$  we must have

$$\mathfrak{p}_1 \cdots \mathfrak{p}_{n-1} \subseteq \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_{n-1} \subseteq \mathfrak{p}_n.$$

Now  $\mathfrak{p}_n$  is prime, so it must contain one of the nonzero prime ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_{n-1}$ . This is a contradiction because  $\dim A \leq 1$ , so we cannot have a chain  $(0) \subsetneq \mathfrak{p}_i \subsetneq \mathfrak{p}_n$ .  $\square$

**Corollary 3.18.** *Let  $I$  be a nonzero ideal of a Dedekind domain  $A$ . The number of prime ideals of  $A$  that contain  $I$  is finite.*

*Proof.* Apply Lemma 3.17 to a nonzero  $a \in I$ .  $\square$

**Example 3.19.** The Dedekind domain  $A = \mathbb{C}[t]$  contains uncountably many nonzero prime ideals  $\mathfrak{p}_a = (t - a)$ , one for each  $a \in \mathbb{C}$ . But any nonzero  $f \in \mathbb{C}[t]$  lies in only finitely many of them, namely the  $\mathfrak{p}_a$  for which  $f(a) = 0$ ; equivalently,  $f$  has finitely many roots.

Let  $\mathfrak{p}$  be a nonzero prime ideal in a Dedekind domain  $A$  with fraction field  $K$  and let  $\pi$  be a uniformizer for the discrete valuation ring  $A_{\mathfrak{p}}$ . For each nonzero fractional ideal  $I$  of  $A$ , its localization  $I_{\mathfrak{p}}$  is a fractional ideal of  $A_{\mathfrak{p}}$ , hence of the form  $(\pi^n)$  for some  $n \in \mathbb{Z}$  that does not depend on the choice of  $\pi$  (note that  $n$  may be negative). We extend the valuation  $v_{\mathfrak{p}}: K \rightarrow \mathbb{Z} \cup \{\infty\}$  to fractional ideals by defining  $v_{\mathfrak{p}}(I) := n$  and  $v_{\mathfrak{p}}((0)) := \infty$ ; for any  $x \in K$  we have  $v_{\mathfrak{p}}((x)) = v_{\mathfrak{p}}(x)$ .

The map  $v_{\mathfrak{p}}: \mathcal{I}_A \rightarrow \mathbb{Z}$  is a group homomorphism: if  $I_{\mathfrak{p}} = (\pi^m)$  and  $J_{\mathfrak{p}} = (\pi^n)$  then

$$(IJ)_{\mathfrak{p}} = I_{\mathfrak{p}}J_{\mathfrak{p}} = (\pi^m)(\pi^n) = (\pi^{m+n}),$$

so  $v_{\mathfrak{p}}(IJ) = m + n = v_{\mathfrak{p}}(I) + v_{\mathfrak{p}}(J)$ . It is also order-reversing with respect to the partial ordering of  $\mathcal{I}_A$  given by containment and the total order on  $\mathbb{Z}$ .

**Lemma 3.20.** *Let  $\mathfrak{p}$  be a nonzero prime ideal in a Dedekind domain  $A$ . For all  $I, J \in \mathcal{I}_A$ , if  $I \subseteq J$  then  $v_{\mathfrak{p}}(I) \geq v_{\mathfrak{p}}(J)$ .*

*Proof.* Let  $\pi$  be a uniformizer for  $A_{\mathfrak{p}}$ , and let  $I_{\mathfrak{p}} = (\pi^m)$  and  $J_{\mathfrak{p}} = (\pi^n)$ , where  $m = v_{\mathfrak{p}}(I)$  and  $n = v_{\mathfrak{p}}(J)$ . If  $I \subseteq J$ , then  $I_{\mathfrak{p}} \subseteq J_{\mathfrak{p}}$  and therefore  $m \geq n$ .  $\square$

**Corollary 3.21.** *Let  $\mathfrak{p}$  be a nonzero prime ideal in a Dedekind domain  $A$ . If  $I$  is an ideal of  $A$  then  $v_{\mathfrak{p}}(I) = 0$  if and only if  $\mathfrak{p}$  does not contain  $I$ , and if  $\mathfrak{q}$  is any nonzero prime ideal different from  $\mathfrak{p}$  then  $v_{\mathfrak{q}}(\mathfrak{p}) = v_{\mathfrak{q}}(\mathfrak{q}) = 0$ .*

*Proof.* If  $I \subseteq \mathfrak{p}$  then  $v_{\mathfrak{p}}(I) \geq v_{\mathfrak{p}}(\mathfrak{p}) = 1$  is nonzero. If  $I \not\subseteq \mathfrak{p}$  then pick  $a \in I - \mathfrak{p}$  and note that  $0 = v_{\mathfrak{p}}(a) \geq v_{\mathfrak{p}}(I) \geq v_{\mathfrak{p}}(A) = 0$  since  $(a) \subseteq I \subseteq A$ . For the second statement, note that  $\mathfrak{p}$  and  $\mathfrak{q}$  must both be maximal ideals, since  $\dim A \leq 1$ , so neither contains the other.  $\square$

**Corollary 3.22.** *Let  $A$  be a Dedekind domain with fraction field  $K$ . For each nonzero fractional ideal  $I$  we have  $v_{\mathfrak{p}}(I) = 0$  for all but finitely many prime ideals  $\mathfrak{p}$ . In particular, if  $x \in K^\times$  then  $v_{\mathfrak{p}}(x) = 0$  for all but finitely many  $\mathfrak{p}$ .*

*Proof.* For  $I \subseteq A$  this follows immediately from Corollaries 3.18 and 3.21. If  $I \not\subseteq A$  then write  $I$  as  $\frac{1}{a}J$  with  $a \in A$  and  $J \subseteq A$ . Then  $v_{\mathfrak{p}}(I) = v_{\mathfrak{p}}(J) - v_{\mathfrak{p}}(a) = 0 - 0 = 0$  for all but finitely many  $\mathfrak{p}$ .  $\square$

**Theorem 3.23.** *Let  $A$  be a Dedekind domain. The ideal group  $\mathcal{I}_A$  of  $A$  is the free abelian group generated by its nonzero prime ideals  $\mathfrak{p}$ , and the isomorphism*

$$\mathcal{I}_A \simeq \bigoplus_{\mathfrak{p}} \mathbb{Z}$$

is given by the inverse maps

$$\begin{aligned} I &\mapsto (\dots, v_{\mathfrak{p}}(I), \dots) \\ \prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}}} &\leftarrow (\dots, e_{\mathfrak{p}}, \dots) \end{aligned}$$

*Proof.* Corollary 3.22 implies that the first map is well defined (the vector associated to each  $I \in \mathcal{I}_A$  has only finitely many nonzero entries, thus it is an element of the direct sum). For each  $\mathfrak{p}$  the maps  $I \mapsto v_{\mathfrak{p}}(I)$  and  $e_{\mathfrak{p}} \mapsto \mathfrak{p}^{e_{\mathfrak{p}}}$  are group homomorphisms, and it follows that the maps in the theorem are both group homomorphisms. To see that the first map is injective, note that if  $v_{\mathfrak{p}}(I) = v_{\mathfrak{p}}(J)$  then  $I_{\mathfrak{p}} = J_{\mathfrak{p}}$ , and if this holds for every  $\mathfrak{p}$  then  $I = \bigcap_{\mathfrak{p}} I_{\mathfrak{p}} = \bigcap_{\mathfrak{p}} J_{\mathfrak{p}} = J$ , by Corollary 2.9. To see that it is surjective, note that Corollary 3.21 implies that for any  $(\dots, e_{\mathfrak{p}}, \dots)$  in the image we have

$$v_{\mathfrak{q}}\left(\prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}}}\right) = \sum_{\mathfrak{p}} e_{\mathfrak{p}} v_{\mathfrak{q}}(\mathfrak{p}) = e_{\mathfrak{q}},$$

and this implies that  $\prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}}}$  is the pre-image of  $(\dots, e_{\mathfrak{p}}, \dots)$ ; this also shows that the second map is the inverse of the first map.  $\square$

**Remark 3.24.** When  $A$  is a DVR, the isomorphism given by Theorem 3.23 is just the discrete valuation map  $v_{\mathfrak{p}}: \mathcal{I}_A \xrightarrow{\sim} \mathbb{Z}$ , where  $\mathfrak{p}$  is the unique maximal ideal of  $A$ .

**Corollary 3.25.** *In a Dedekind domain every nonzero fractional ideal  $I$  has a unique factorization  $I = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(I)}$  into prime ideals.*

Conversely, one can show that an integral domain in which every nonzero proper ideal has a unique factorization into prime ideals is a Dedekind domain (see Problem Set 2), so this gives yet another way to define a Dedekind domain.

If  $I = \prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}}}$  and  $J = \prod_{\mathfrak{p}} \mathfrak{p}^{f_{\mathfrak{p}}}$  are nonzero fractional ideals then

$$\begin{aligned} IJ &= \prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}} + f_{\mathfrak{p}}}, \\ (I : J) &= \prod_{\mathfrak{p}} \mathfrak{p}^{e_{\mathfrak{p}} - f_{\mathfrak{p}}}, \\ I + J &= \prod_{\mathfrak{p}} \mathfrak{p}^{\min(e_{\mathfrak{p}}, f_{\mathfrak{p}})} = \gcd(I, J), \\ I \cap J &= \prod_{\mathfrak{p}} \mathfrak{p}^{\max(e_{\mathfrak{p}}, f_{\mathfrak{p}})} = \text{lcm}(I, J), \end{aligned}$$

and for all  $I, J \in \mathcal{I}_A$  we have

$$IJ = (I \cap J)(I + J).$$

Another consequence of unique factorization is that  $I \subseteq J$  if and only if  $e_{\mathfrak{p}} \geq f_{\mathfrak{p}}$  for all  $\mathfrak{p}$ ; this implies that  $J$  contains  $I$  if and only if  $J$  divides  $I$ . It is generally true that if one nonzero ideal divides another then it contains it, but in a Dedekind domain the converse also holds: *to contain is to divide*. We also note that

$$x \in I \iff (x) \subseteq I \iff v_{\mathfrak{p}}(x) \geq e_{\mathfrak{p}} \text{ for all } \mathfrak{p},$$

thus

$$I = \{x \in K : v_{\mathfrak{p}}(x) \geq e_{\mathfrak{p}} \text{ for all } \mathfrak{p}\},$$

and  $I \subseteq A$  if and only if  $e_{\mathfrak{p}} \geq 0$  for all  $\mathfrak{p}$ .

### 3.4 Approximation theorems

The weak approximation theorem is a general result about field valuations that is useful in many contexts.

**Theorem 3.26** (WEAK APPROXIMATION). *Let  $K$  be a field and let  $|\cdot|_1, \dots, |\cdot|_n$  be pairwise inequivalent nontrivial absolute values on  $K$ . Let  $a_1, \dots, a_n \in K$  and let  $\epsilon_1, \dots, \epsilon_n$  be positive real numbers. Then there exists an  $x \in K$  such that  $|x - a_i|_i < \epsilon_i$  for  $1 \leq i \leq n$ .*

*Proof.* See Problem Set 2. □

The strong approximation theorem is a stronger version of the weak approximation theorem that is specific to global fields; recall that a global field is any finite extension of  $\mathbb{Q}$  or  $\mathbb{F}_q(t)$ ; see [1] for an axiomatic characterization of global fields.

**Theorem 3.27** (STRONG APPROXIMATION). *Let  $K$  be a global field, and let  $|\cdot|_0, |\cdot|_1, \dots, |\cdot|_n$  be pairwise inequivalent nontrivial absolute values on  $K$ . Let  $a_1, \dots, a_n \in K$  and let  $\epsilon_1, \dots, \epsilon_n$  be positive real numbers. Then there exists an  $x \in K$  such that  $|x - a_i|_i < \epsilon_i$  for  $1 \leq i \leq n$  and  $|x| \leq 1$  for all absolute values  $|\cdot|$  that are not equivalent to any of  $|\cdot|_0, |\cdot|_1, \dots, |\cdot|_n$ .*

The strong approximation theorem applies to fewer fields than the weak approximation theorem, but it imposes a constraint for all but one equivalence class of absolute values, whereas the weak approximation theorem constrains only a finitely many.

**Example 3.28.** Let  $K = \mathbb{Q}$  and let  $|\cdot|_0$  be the usual archimedean absolute value on  $\mathbb{Q}$ . Then there exists  $x \in \mathbb{Q}$  such that  $|x - 17|_2 \leq 2^{-10}$ ,  $|x - 5|_3 \leq 3^{-100}$ ,  $|x - 42| \leq 5^{-1000}$  and  $|x|_p \leq 1$  for all finite primes  $p$ . The last constraint implies that  $x \in \bigcap_p \mathbb{Z}_{(p)} = \mathbb{Z}$ , while the first three imply  $x \equiv 17 \pmod{2^{10}}$ , and  $x \equiv 5 \pmod{3^{100}}$ , and  $x \equiv 42 \pmod{5^{1000}}$ . The Chinese Remainder Theorem implies that such an integer  $x$  actually exists. But notice that the more tightly we constrain the  $p$ -adic valuations of  $x \in \mathbb{Z}$ , the larger we may need to make  $x$ , which is why it is important that we do not constrain  $|x|_0$ . Alternatively, if we put  $|\cdot|_0 = |\cdot|_p$  for some finite prime  $p$ , then we can constrain the archimedean valuation of  $x$ , at the cost of permitting  $x$  to have a denominator that may be a very large power of  $p$ .

We will prove the strong approximation theorem in a later lecture; for now we will just prove a “pretty strong” approximation theorem that suffices for our immediate needs; it constrains the absolute value of  $x$  at all *finite places* (equivalence classes of absolute values arising from the valuation associated to a prime ideal), which is all but finitely many of them. When  $K$  is  $\mathbb{Q}$  or  $\mathbb{F}_q(t)$  there is only one infinite place, but in general there may be several infinite places (up to the degree of  $K$  over  $\mathbb{Q}$  or  $\mathbb{F}_q(t)$ ).

**Theorem 3.29** (PRETTY STRONG APPROXIMATION). *Let  $A$  be a Dedekind domain with fraction field  $K$ . Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  be distinct nonzero primes of  $A$ , let  $a_1, \dots, a_n \in K$ , and let  $e_1, \dots, e_n \in \mathbb{Z}$ . Then there exists  $x \in K$  such that*

$$v_{\mathfrak{p}_i}(x - a_i) \geq e_i \quad (1 \leq i \leq n)$$

and  $v_{\mathfrak{q}}(x) \geq 0$  for all  $\mathfrak{q} \notin \{\mathfrak{p}_1, \dots, \mathfrak{p}_n\}$ .

*Proof.* We can assume  $n > 1$  (if  $n = 1$  let  $a_2 = 0$  and  $e_2 = 0$  and use  $n = 2$ ), and we can assume  $e_i > 0$  for all  $i$ , since this only makes the theorem stronger. We consider 3 cases:

**Case 1:**  $a_1, \dots, a_n \in A$  with all but  $a_1$  equal to zero. The ideals  $\mathfrak{p}_1^{e_1}$  and  $\mathfrak{p}_2^{e_2} \cdots \mathfrak{p}_n^{e_n}$  are relatively prime, so we can write  $a_1 = y + x$  with  $y \in \mathfrak{p}_1^{e_1}$  and  $x \in \mathfrak{p}_2^{e_2} \cdots \mathfrak{p}_n^{e_n}$ . Then  $v_{\mathfrak{p}_1}(x - a_1) = v_{\mathfrak{p}_1}(y) = e_1$  and  $v_{\mathfrak{p}_i}(x - a_i) = v_{\mathfrak{p}_i}(x) = e_i$  for  $2 \leq i \leq n$ , since  $a_i = 0$ . And  $x \in A$ , so  $v_{\mathfrak{q}}(x) \geq 0$  for all primes  $\mathfrak{q}$ , thus the theorem holds.

**Case 2:**  $a_1, \dots, a_n \in A$ . Use case 1 to approximate  $(a_1, 0, \dots, 0)$  by  $x_1$ ,  $(0, a_2, 0, \dots, 0)$  by  $x_2$ ,  $\dots$ , and  $(0, \dots, 0, a_n)$  by  $x_n$ , using the same  $e_1, \dots, e_n$  in each case. By the triangle inequality,  $x = x_1 + \cdots + x_n$  satisfies the theorem.

**Case 3:**  $a_1, \dots, a_n \in K$ . Write  $a_i = b_i/s$  with  $b_i, s \in A$ . Use case 2 to obtain  $y \in A$  such that  $v_{\mathfrak{p}_i}(y - b_i) \geq e_i + v_{\mathfrak{p}_i}(s)$  and  $v_{\mathfrak{q}}(y) \geq v_{\mathfrak{q}}(s)$  for all other primes  $\mathfrak{q}$  (note that  $v_{\mathfrak{q}}(s) = 0$  for all but finitely many  $\mathfrak{q}$ ). Then  $x = y/s$  satisfies the theorem.  $\square$

**Corollary 3.30.** *Let  $A$  be a Dedekind domain with fraction field  $K$  and let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  be nonzero primes of  $A$ . For any  $e_1, \dots, e_n \in \mathbb{Z}$  there exists  $x \in K$  with  $v_{\mathfrak{p}_i}(x) = e_i$  for  $1 \leq i \leq n$  and  $v_{\mathfrak{q}}(x) \geq 0$  for all primes  $\mathfrak{q} \notin \{\mathfrak{p}_i\}$ .*

*Proof.* Let  $a_i = \mathfrak{p}_i^{e_i}$  and apply the theorem to  $a_1, \dots, a_n$  and  $e_1 + 1, \dots, e_n + 1$  to get  $x \in K$  with  $v_{\mathfrak{p}_i}(x - a_i) \geq e_i + 1$  for  $1 \leq i \leq n$  and  $v_{\mathfrak{q}}(x) \geq 0$  for  $\mathfrak{q} \notin \{\mathfrak{p}_i\}$ . We must then have  $v_{\mathfrak{p}_i}(x) = v_{\mathfrak{p}_i}(a_i) = e_i$ , since if they differed then the nonarchimedean “triangle equality” would imply

$$v_{\mathfrak{p}_i}(x - a_i) = \min(v_{\mathfrak{p}_i}(x), v_{\mathfrak{p}_i}(-a_i)) = \min(v_{\mathfrak{p}_i}(x), v_{\mathfrak{p}_i}(a_i)) \leq e_i. \quad \square$$

**Definition 3.31.** A ring that has only finitely many maximal ideals is called *semilocal*.

**Example 3.32.** The ring  $\mathbb{Z}_{(3)} \cap \mathbb{Z}_{(5)}$  is semilocal, it has just two maximal ideals.

**Corollary 3.33.** *A semilocal Dedekind domain is a PID*

*Proof.* Let  $A$  be a semilocal Dedekind domain and let  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  its maximal ideals. Since  $\dim A = 1$ , the nonzero prime ideals of  $A$  are  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$ . Every nonzero ideal  $I$  of  $A$  factors uniquely as  $I = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_n^{e_n}$  for some  $e_1, \dots, e_n \in \mathbb{Z}_{\geq 0}$ . By Corollary 3.30 there exists  $x \in A$  such that  $v_{\mathfrak{p}_i}(x) = e_i$  for  $1 \leq i \leq n$ . Therefore  $(x) = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_n^{e_n}$ , so  $I = (x)$  is principal.  $\square$

Not all Dedekind domains are PIDs, so in general a Dedekind domain will contain ideals that require more than one generator. But it turns out that two always suffice. Moreover, we can pick one of them arbitrarily.

**Theorem 3.34.** *Let  $I$  be a nonzero ideal in a Dedekind domain  $A$  and let  $\alpha$  be a nonzero element of  $I$ . Then  $I = (\alpha, \beta)$  for some  $\beta \in I$ .*

*Proof.* Let  $I = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_m^{e_m}$  be the prime factorization of  $I$ , and let  $(\alpha) = \mathfrak{p}_1^{f_1} \cdots \mathfrak{p}_m^{f_m} \mathfrak{q}_1^{g_1} \cdots \mathfrak{q}_n^{g_n}$  be the prime factorization of  $(\alpha)$ . By Corollary 3.30 there exists  $\beta \in A$  such that  $v_{\mathfrak{p}_i}(\beta) = e_i$  and  $v_{\mathfrak{q}_j}(\beta) = 0$ . Then  $\beta \in I$  and  $\gcd((\alpha), (\beta)) = I$ ; therefore  $I = (\alpha, \beta)$ .  $\square$

One can show that Theorem 3.34 gives another characterization of Dedekind domains: they are precisely the domains  $A$  for which the theorem holds (see Problem Set 2).

## References

- [1] Emil Artin and George Whaples, *Axiomatic characterization of fields by the product formula for valuations*, Bull. Amer. Math. Soc. **51** (1945), 469–492.

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