

# ALGEBRAIC NUMBER THEORY

## LECTURE 3 SUPPLEMENTARY NOTES

Material covered: Sections 2.1 through 2.5 of textbook.

### 1. SECTION 2.1

Proof of Theorem 1, (b)  $\Rightarrow$  (c): Once we have the system of equations,

$$(x \cdot I - C)y = 0$$

where  $C = (a_{ij})$ , we can multiply the matrix  $x \cdot I - C$  by its adjoint, which results in the scalar matrix  $d \cdot I = (\det(x \cdot I - C))I$  multiplying  $y$  to give the zero vector. This implies  $dy_i = 0$  for all  $i$  and then  $d = 0$  as in the book.

*Example.* Let  $K$  be a number field. Then any  $x \in K$  is algebraic over  $\mathbb{Q}$ . It satisfies a unique monic polynomial equation of smallest degree

$$x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$$

with  $a_i \in \mathbb{Q}$ . If all the coefficients  $a_i$  are integers, we say that  $x$  is an algebraic integer. The ring of algebraic integers of  $K$  is called  $\mathcal{O}_K$ .

*Example.* The algebraic number  $\sqrt{3}$  is an algebraic integer, since it satisfies  $x^2 - 3 = 0$ . But  $(1 + \sqrt{3})/2$  is not an algebraic integer, since its minimal polynomial is  $2x^2 - 2x - 1$ . On the other hand the Golden ratio  $(1 + \sqrt{5})/2$  is an algebraic integer. Its minimal polynomial is  $x^2 - x - 1$ .

Proof of Proposition 3: Here is an alternate proof of the first part: if  $B$  is integral over  $A$  and  $A$  is a field, then  $B$  is a field. Let  $0 \neq b \in B$  satisfy the following equation of minimal degree over  $A$ :

$$b^n + a_{n-1}b^{n-1} + \cdots + a_0 = 0$$

We can assume that  $a_0 \neq 0$  else we can factor out  $b$  and cancel it to get a lower degree, since  $B$  is an integral domain. Then we have

$$b(b^{n-1} + \cdots + a_1) = -a_0$$

so that  $-a_0^{-1}(b^{n-1} + \cdots + a_1)$  is a multiplicative inverse for  $b$ .

## 2. SECTION 2.2

Example 2.2 is a special case of the *Gauss lemma*: Every UFD (unique factorization domain, or factorial ring, in Samuel's terminology) is integrally closed. The proof is the same as in the book, word for word.

## 3. SECTION 2.3

*Example.* Here is an example where  $K \subset R$ ,  $x \in R$  is integral over  $K$  but  $k[x]$  is not an integral domain (or equivalently a field, in this situation).

Let  $R \subset M_2(K)$  (the  $2 \times 2$  matrices over  $K$ ) be  $K[x]$ , where

$$x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

and  $K \hookrightarrow M_2(K)$  as scalar matrices. The minimal polynomial of  $x$  is easily seen to be  $X^2 - 1$ , which is not irreducible. Here  $K[x]$  is a direct sum of two copies of  $K$ , as an algebra over  $K$ .

## 4. SECTION 2.4

We say a field  $K$  is *perfect* if every algebraic extension of  $K$  is separable over  $K$ . In other words, any monic irreducible polynomial over  $K$  splits over  $\overline{K}$  into a product of distinct monic linear factors.

*Example.* Any field of characteristic 0, or any finite field, is perfect.

*Example.* An example of a non-perfect field is  $K = \mathbb{F}_p(T)$ . The polynomial  $X^p - T$  is irreducible over  $K$ , but over the extension  $K(T^{1/p}) = \mathbb{F}_p(T^{1/p})$ , it splits as  $(X - T^{1/p})^p$ , so it has multiple roots.

**Theorem 1** (Theorem of primitive element). *Let  $K$  be a perfect field, and  $L/K$  a finite extension. Then  $\exists x \in L$  such that  $L = K[x]$ .*

## 5. SECTION 2.5

A *number field* is a finite extension of  $\mathbb{Q}$ . Number fields are labelled by their degree: quadratic if they have degree 2 over  $\mathbb{Q}$ , cubic, quartic, quintic and so on. If  $K$  is a number field, we let  $\mathcal{O}_K$  be the ring of integers of  $K$ , which is the integral closure of  $\mathbb{Z}$  in  $K$ .

Note that for quadratic fields, we see by the explicit description of  $\mathcal{O}_K$  that it is a free  $\mathbb{Z}$ -module of rank  $[K : \mathbb{Q}]$ . In fact this is true for any number field. A subring of  $\mathcal{O}_K$  of rank  $[K : \mathbb{Q}]$  over  $\mathbb{Z}$  is called an *order*.

## 6. GP EXAMPLE

```
f = x^3 + 3*x + 1;  
F = bnfinit(f);  
charpoly(Mod(x^2,f));
```

The last command computes the characteristic polynomial of  $\alpha^2$ , where  $\alpha$  is a root of  $f$ .

```
a = sqrt(3) + sqrt(2)  
algdep(a,4)
```

This command produces a best possible approximation to a minimal polynomial of the specified degree. To set the precision in gp, use

```
default(realprecision,100);
```

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