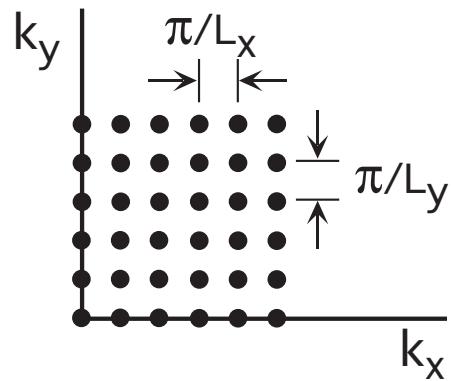


## Particle in a Box

$$0 < x < L_x, \quad 0 < y < L_y, \quad 0 < z < L_z$$

$$\psi_{k_x, k_y, k_z}(\vec{r}) \propto \sin k_x x \sin k_y y \sin k_z z$$

$$\sin k_x L_x = 0 \Rightarrow k_x = n_x \left( \frac{\pi}{L_x} \right) \quad n_x = 1, 2, 3, \dots$$



$$D(\vec{k})_{\text{wavevectors}} = \frac{L_x}{\pi} \frac{L_y}{\pi} \frac{L_z}{\pi} = \frac{V}{\pi^3}$$

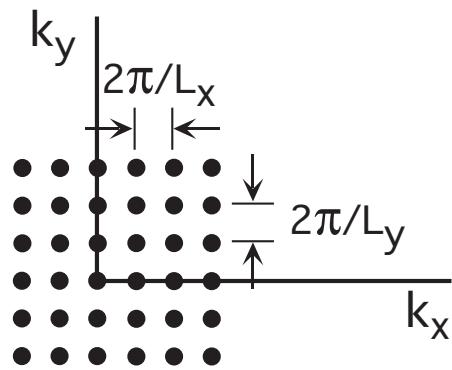
$$D(\vec{k})_{\text{states}} = (2S+1) \frac{V}{\pi^3}$$

Plane Wave       $\psi_{\vec{k}}(\vec{r}) \propto \exp[i\vec{k} \cdot \vec{r}]$

Periodic Boundary Conditions

$$\psi_{\vec{k}}(\vec{r} + m_x L_x \hat{x} + m_y L_y \hat{y} + m_z L_z \hat{z}) = \psi_{\vec{k}}(\vec{r})$$

$$e^{ik_x(x+m_xL_x)} = e^{ik_xx} \Rightarrow k_x = n_x \left( \frac{2\pi}{L_x} \right) \quad n_x = \pm 1, \pm 2, \pm 3, \dots$$



$$D(\vec{k})_{\text{wavevectors}} = \frac{L_x}{2\pi} \frac{L_y}{2\pi} \frac{L_z}{2\pi} = \frac{V}{(2\pi)^3}$$

$$D(\vec{k})_{\text{states}} = (2S+1) \frac{V}{(2\pi)^3}$$

The # of wavevectors with  $|\vec{k}'| < k$  is the same in both cases.

$$\#\text{wavevectors}(k) = \frac{1}{8} \left( \frac{4}{3} \pi k^3 \right) \frac{V}{\pi^3} = \left( \frac{4}{3} \pi k^3 \right) \frac{V}{(2\pi)^3}$$

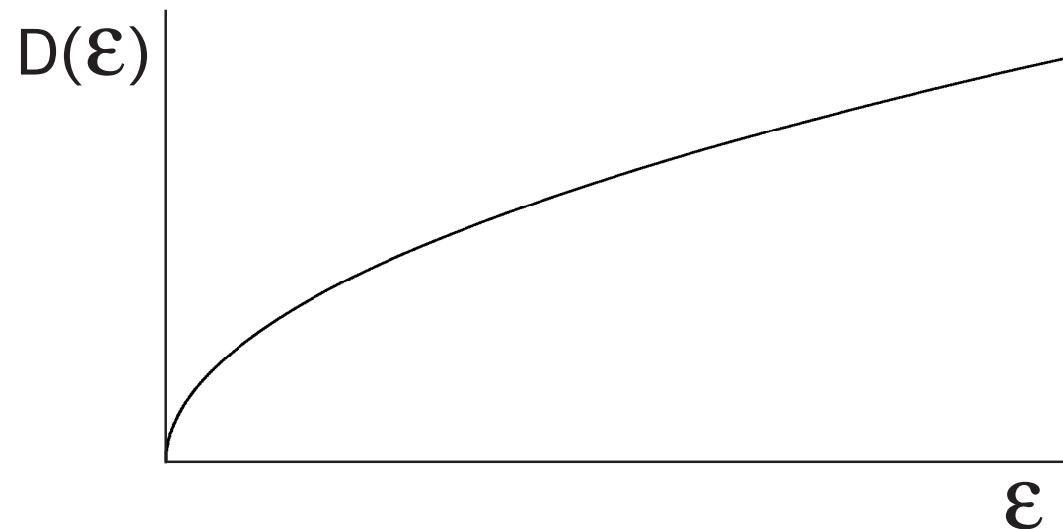
For free particles;  $\epsilon = \frac{\hbar^2 k^2}{2m} \rightarrow k(\epsilon) = \sqrt{\frac{2m}{\hbar^2}} \sqrt{\epsilon}$

$$\#\text{wv}(\epsilon) = \left( \frac{4}{3} \pi k(\epsilon)^3 \right) \frac{V}{(2\pi)^3} = \frac{V}{6\pi^2} \left( \frac{2m}{\hbar^2} \right)^{3/2} \epsilon^{3/2}$$

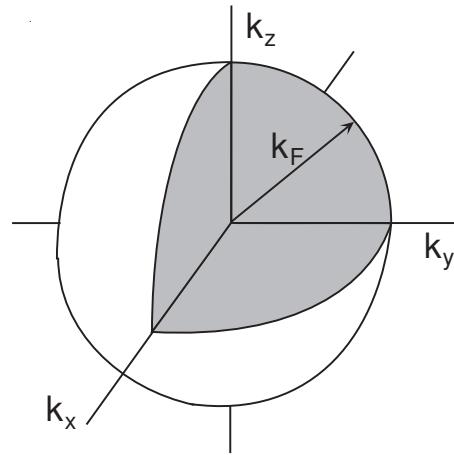
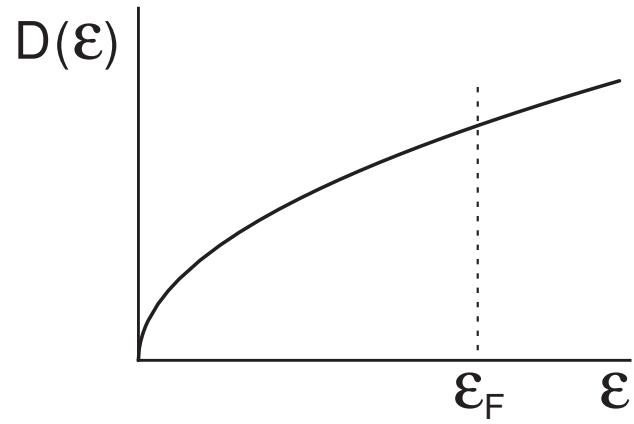
$$D_{\text{wv}}(\epsilon) = \frac{d}{d\epsilon} \#\text{wv}(\epsilon) = \frac{V}{4\pi^2} \left( \frac{2m}{\hbar^2} \right)^{3/2} \epsilon^{1/2}$$

For free particles

$$D_{\text{states}}(\epsilon) = (2S + 1) \frac{V}{4\pi^2} \left( \frac{2m}{\hbar^2} \right)^{3/2} \epsilon^{1/2}$$



Fermions: Non-interacting, free, spin 1/2,  $T = 0$



Fermi sea

Fermi wave vector  $k_F$

Fermi surface

Fermi energy  $\epsilon_F = \hbar^2 k_F^2 / 2m$

$$N = 2 \times D_{\text{wavevectors}}(k) \times \frac{4}{3}\pi k_F^3 = \frac{8}{3}\pi \frac{V}{(2\pi)^3} k_F^3$$

$$k_F = (3\pi^2(N/V))^{1/3} \propto (N/V)^{1/3}$$

$$\epsilon_F = \frac{\hbar^2 k_F^2}{2m} = \frac{\hbar^2}{2m} \left( \frac{3\pi^2 N}{V} \right)^{2/3} \propto (N/V)^{2/3}$$

$$D(\epsilon) = a \epsilon^{1/2}$$

$$N = \int_0^{\epsilon_F} D(\epsilon) \, d\epsilon = \tfrac{2}{3} a \, \epsilon_F^{3/2}$$

$$U = \int_0^{\epsilon_F} \epsilon D(\epsilon) \, d\epsilon = \tfrac{2}{5} a \, \epsilon_F^{5/2} = \underline{\tfrac{3}{5} N \epsilon_F} \propto N(N/V)^{2/3}$$

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Consequences: Motion at  $T = 0$

$$\vec{p} = \hbar \vec{k} \quad \vec{p}_F = \hbar \vec{k}_F \quad v_F = \frac{\hbar}{m} k_F$$

Copper, one valence electron beyond a filled  $d$  shell

$$N/V = 8.45 \times 10^{22} \text{ atoms-cm}^{-3}$$

$$k_F = 1.36 \times 10^8 \text{ cm}^{-1} \quad v_F = 1.57 \times 10^8 \text{ cm-s}^{-1}$$

$$p_F = 1.43 \times 10^{-19} \text{ g-cm-s}^{-1} \quad \epsilon_F/k_B = 81,000 K$$

Consequences:  $P(T = 0)$

$$U = \frac{3}{5}N\epsilon_F \quad \epsilon_F \propto (N/V)^{2/3}$$

$$\begin{aligned} P &= -\left(\frac{\partial U}{\partial V}\right)_{N,S} = -\frac{3}{5}N \underbrace{\left(\frac{\partial \epsilon_F}{\partial V}\right)_N}_{-\frac{2}{3}\frac{\epsilon_F}{V}} = \frac{2}{5}(N/V)\epsilon_F \\ &\propto (N/V)^{5/3} \end{aligned}$$

$$\left(\frac{\partial P}{\partial V}\right)_{N,T} = -\frac{5}{3}(P/V) \text{ at } T = 0$$

$$\mathcal{K}_T = -\frac{1}{V} \left( \frac{\partial V}{\partial P} \right)_{N,T} = \frac{3}{5} \frac{1}{P} = \frac{3}{2} \frac{1}{(N/V)\epsilon_F}$$

For potassium

$$\epsilon_F = 2.46 \times 10^4 K = 3.39 \times 10^{-12} \text{ ergs}$$

$$(N/V)_{\text{conduction}} = 1.40 \times 10^{22} \text{ cm}^{-3}$$

$$\mathcal{K}_T = \frac{1.5}{1.40 \times 10^{22} \times 3.39 \times 10^{-12}} = 31.6 \times 10^{-12} \text{ cm}^3\text{-ergs}^{-1}$$

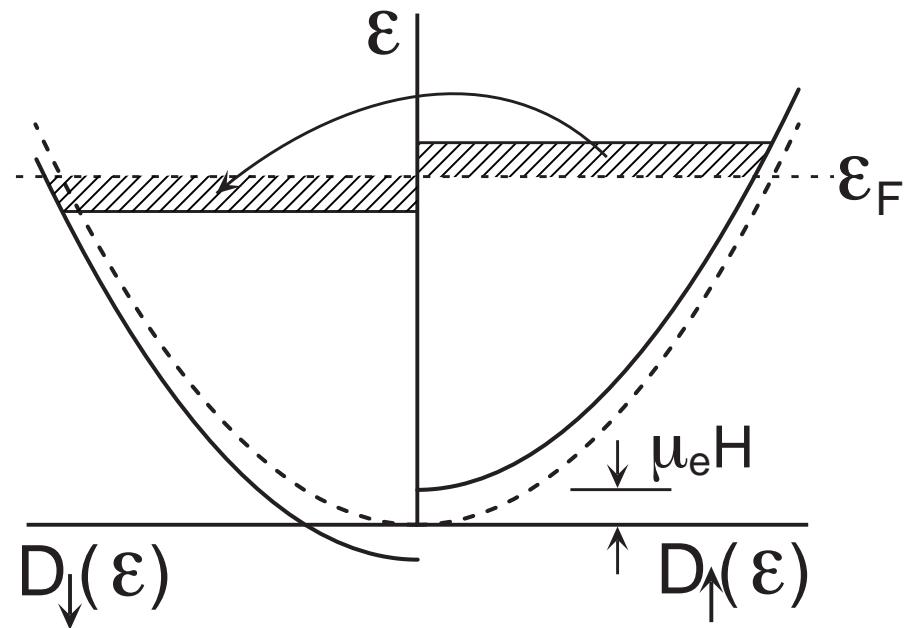
The measured value is  $31 \times 10^{-12}$  !

## Magnetic Susceptibility

$$\vec{H} = H\hat{z}$$

$$\epsilon_{k,\uparrow} = \epsilon(k) + \mu_e H$$

$$\epsilon_{k,\downarrow} = \epsilon(k) - \mu_e H$$



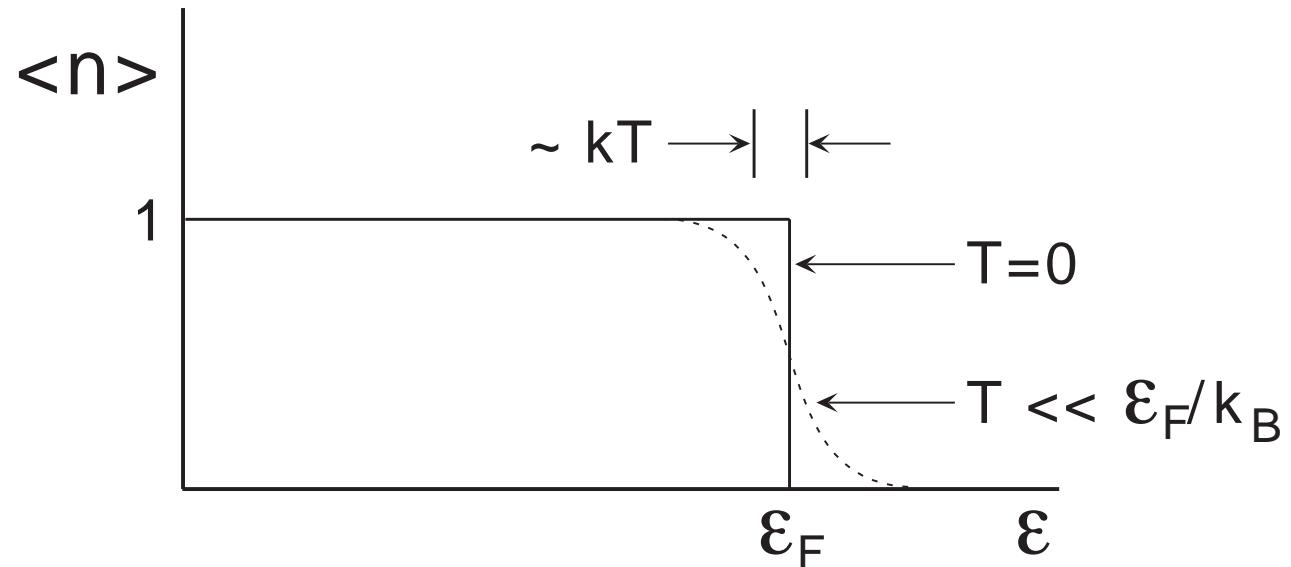
$$\begin{aligned}
N_{\downarrow} - N_{\uparrow} &= \left( \frac{N}{2} + \mu_e H \frac{D(\epsilon_F)}{2} \right) - \left( \frac{N}{2} - \mu_e H \frac{D(\epsilon_F)}{2} \right) \\
&= \mu_e H D(\epsilon_F) \Rightarrow M = \mu_e^2 H D(\epsilon_F) \equiv \chi H \\
&\underline{\chi = \mu_e^2 D(\epsilon_F)}
\end{aligned}$$

This expression holds as long as  $kT \ll \epsilon_F$ , so  $\chi$  is temperature independent in this region. This is not Curie law behavior. It is called Pauli paramagnetism.

## Temperature Dependence of $\langle n_{\vec{k},m_s} \rangle$

---

$\langle n_{\vec{k},m_s} \rangle = f(\epsilon)$  only, as in the Canonical Ensemble



## Estimate $C_V$

	Classical	Quantum
# electrons	$N$	$N$
# electrons influenced	$N$	$\sim N \times \frac{kT}{\epsilon_F}$
$\Delta\epsilon$	$\frac{3}{2}kT$	$\sim kT$
$\Delta U$	$\frac{3}{2}NkT$	$\sim N \frac{(kT)^2}{\epsilon_F}$
$C_V$	$\frac{3}{2}Nk$	$\sim 2Nk \frac{kT}{\epsilon_F}$

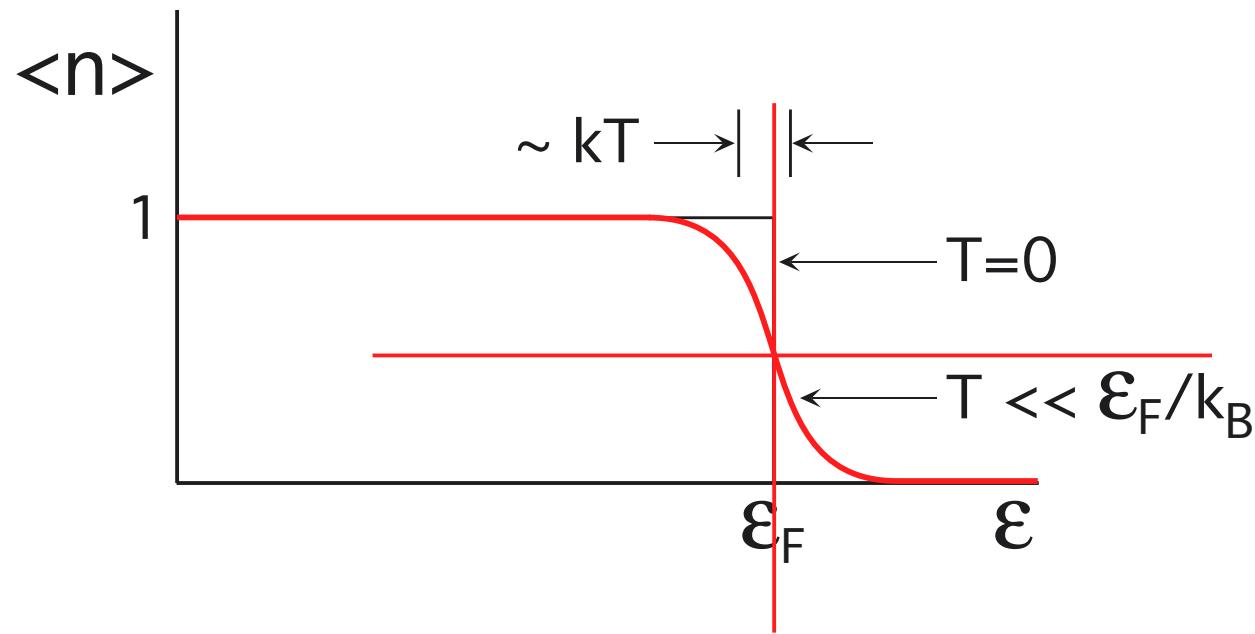
Exact result:  $C_V = \frac{\pi^2}{2} Nk \frac{kT}{\epsilon_F}$

$$N = \int_0^\infty \langle n(\epsilon, \mu(T), T) \rangle D(\epsilon) d\epsilon$$

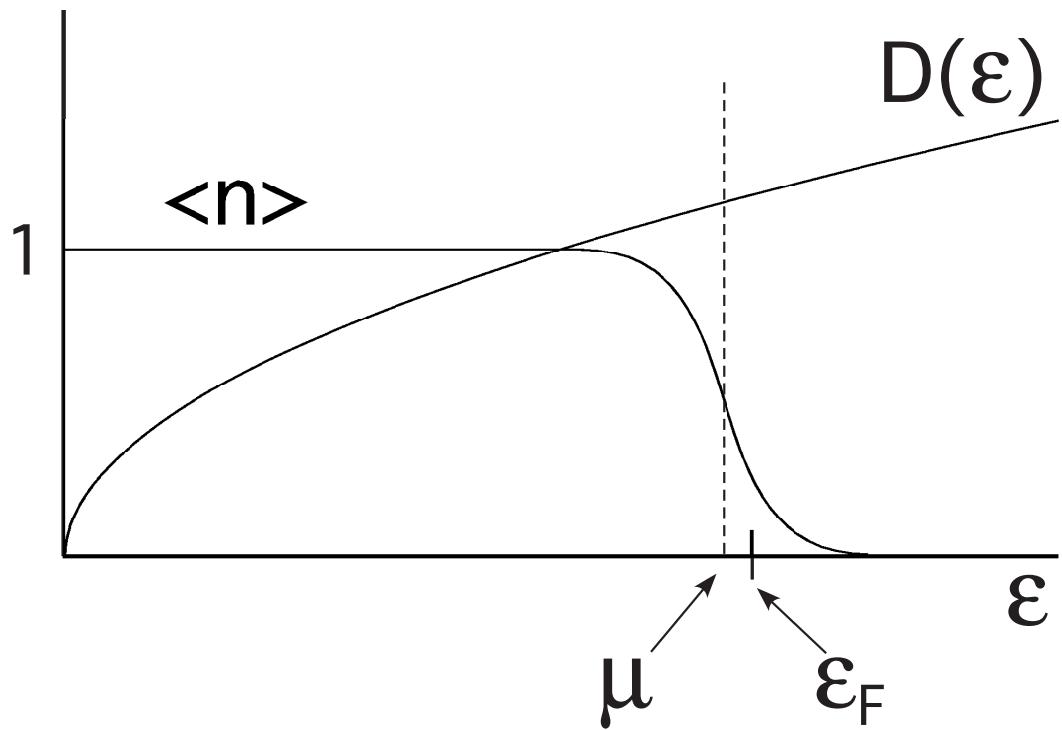
This expression implicitly determines  $\mu = \mu(T)$ .

$$U = \int_0^\infty \langle n(\epsilon, \mu(T), T) \rangle \epsilon D(\epsilon) d\epsilon$$

To determine  $C_V$  from this expression one must take into account the temperature dependence of  $\mu$  in addition to the explicit dependence of  $\langle n \rangle$  on  $T$ .



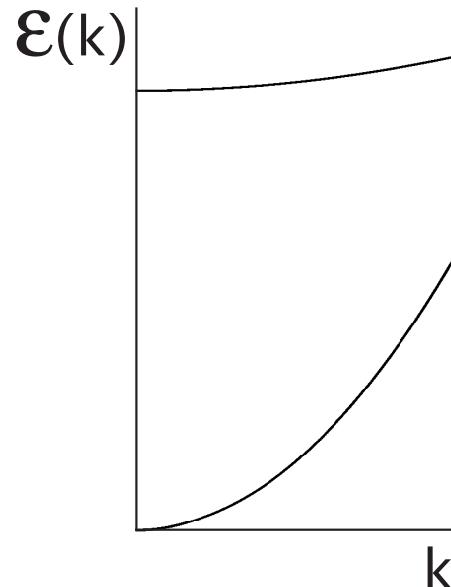
$$\underbrace{[\langle n \rangle - 1/2]}_{\text{at } \epsilon = \mu + \delta} = - \underbrace{[\langle n \rangle - 1/2]}_{\text{at } \epsilon = \mu - \delta}$$



Because  $D(\epsilon)$  is an increasing function of  $\epsilon$ ,  $\mu$  must decrease with increasing temperature.

Elementary Excitations Excitations out of the ground state in interacting many-body systems.

For a 3D Coulomb gas of electrons



Plasma oscillations (plasmons)

Collective modes: H.O.s

Quasi-particles

('dressed' electrons)  $\epsilon = \frac{\hbar^2 k^2}{2m^*}$

Other collective modes

Phonons, lattice vibrations in solids

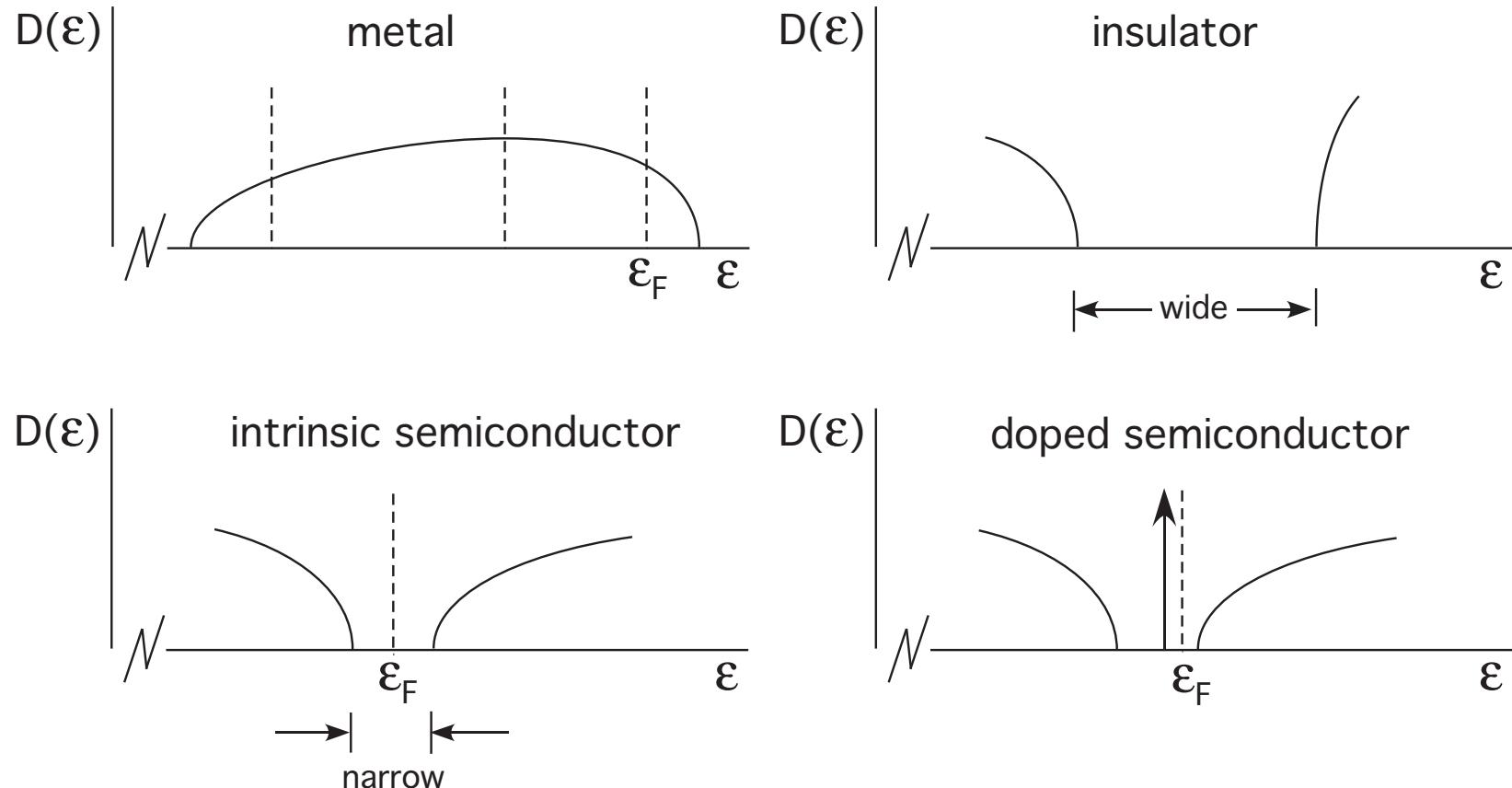
Spin waves, in Ferromagnets

Ripplons, waves on surfaces

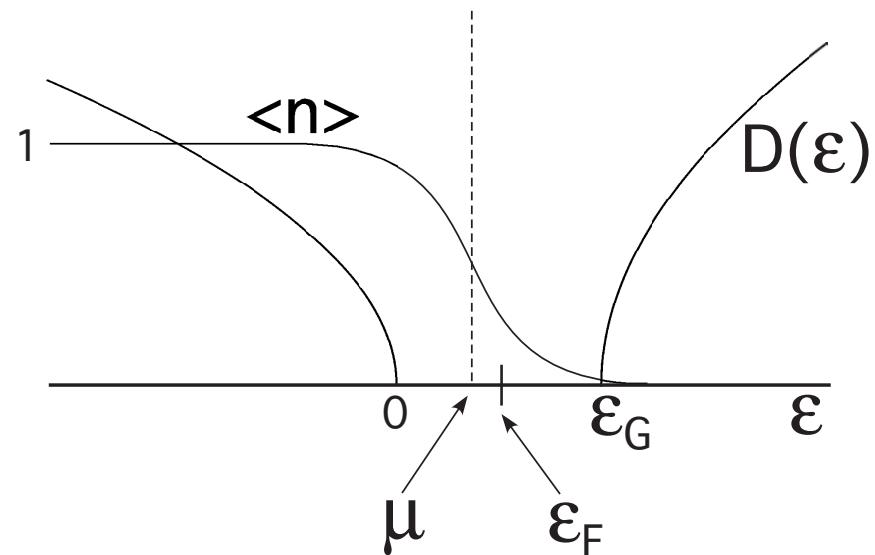
Other quasi-particles

Polarons, (electron+lattice distortion)  
in ionic materials

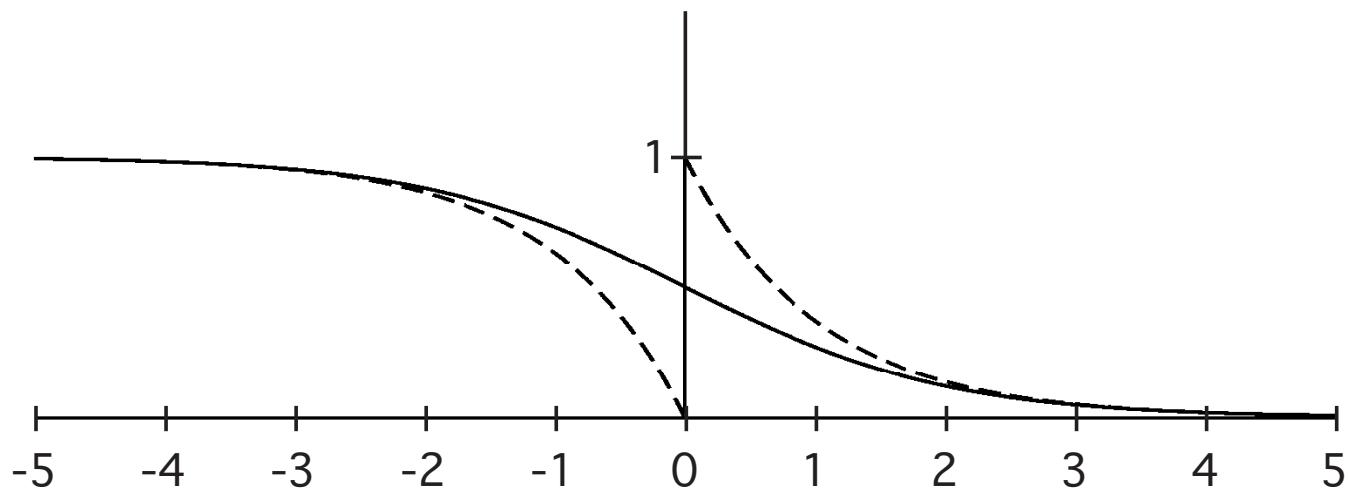
# Some possible electronic densities of states in solids



Finding  $\mu(T)$  in an intrinsic semiconductor



Assume the energy gap is  $\gg k_B T$ .



Comparison of  $1/(e^x + 1)$  with  $e^{-x}$  when  $x > 0$  and  
 $1 - e^x$  when  $x < 0$ .

$$\langle n_e \rangle \rightarrow e^{-(\epsilon - \mu)/k_B T} = e^{-(\epsilon_G - \mu)/k_B T} e^{-(\epsilon - \epsilon_G)/k_B T}$$

$$D_{\text{states},e}(\epsilon) = \frac{V}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{3/2} (\epsilon - \epsilon_G)^{1/2}$$

$$N_e = \int_{\epsilon_G}^{\infty} \langle n_e \rangle D(\epsilon) d\epsilon$$

$$= \frac{V}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{3/2} e^{-(\epsilon_G - \mu)/k_B T} \int_0^{\infty} \sqrt{\delta} e^{-\delta/k_B T} d\delta$$

$$= \frac{V}{4} \left( \frac{2m_e k_B T}{\pi \hbar^2} \right)^{3/2} e^{-(\epsilon_G - \mu)/k_B T}$$

$$\langle n_h \rangle = 1 - \langle n_e \rangle \rightarrow e^{-(\mu - \epsilon)/k_B T}$$

$$D_{\text{states},h}(\epsilon) = \frac{V}{2\pi^2} \left( \frac{2m_h}{\hbar^2} \right)^{3/2} (-\epsilon)^{1/2}$$

$$N_h = \int_{-\infty}^0 \langle n_h \rangle D(\epsilon) d\epsilon$$

$$= \frac{V}{2\pi^2} \left( \frac{2m_h}{\hbar^2} \right)^{3/2} e^{-\mu/k_B T} \int_0^\infty \sqrt{\delta} e^{-\delta/k_B T} d\delta$$

$$= \frac{V}{4} \left( \frac{2m_h k_B T}{\pi \hbar^2} \right)^{3/2} e^{-\mu/k_B T}$$

$$N_h~=~N_e$$

$$m_h^{3/2}e^{-\mu/k_BT}~=~m_e^{3/2}e^{-(\epsilon_G-\mu)/k_BT}$$

$$(m_h/m_e)^{3/2}~=~e^{-\epsilon_G/k_BT}~e^{2\mu/k_BT}$$

$$(3/2)k_BT\ln(m_h/m_e) ~=~ -\epsilon_G+2\mu$$

$$\mu~=~\underline{\epsilon_G/2-(3/4)k_BT\ln(m_e/m_h)}$$

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