

# Chapter 3: Duality Toolbox

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## Lecture 20

### 3.1.6: EUCLIDEAN CORRELATION FUNCTIONS

Let us consider Euclidean space. The basic observables of a CFT is correlation functions of local operators. From path integral formalism, we know a way to calculate them is by generating functional:

$$Z_{CFT}[\phi(x)] = \langle e^{\int d^d x \phi(x) \mathcal{O}(x)} \rangle_E \quad (1)$$

where subscript  $E$  denotes the path integral defined in Euclidean space and  $\phi(x)$  and  $\mathcal{O}(x)$  should be considered as the collection of all boundary operators and their sources.

The simplest case is  $\phi(x) = 0$ , then  $Z_{CFT}[0]$  reduces to the partition function of CFT, which, by AdS/CFT correspondence, should be equal to the gravity dual partition function  $Z_{gravity}$ . Since we have discussed the correspondence:

$$\mathcal{O}(x) \iff \Phi_n(x, z) \quad (2)$$

$$\phi(x) \iff \Phi_{nn}(x, z)|_{\partial AdS} \quad (3)$$

where  $n$  is short for normalizable and  $nn$  is for non-normalizable, we should expect

$$Z_{CFT}[\phi(x)] = Z_{bulk}[\Phi|_{\partial AdS} = \phi(x)] \quad (4)$$

where  $\Phi|_{\partial AdS} = \phi(x)$  really means  $\Phi(z, x) \rightarrow \phi(x)z^{d-\Delta}$  when  $z \rightarrow 0$ . Generally we do not know how to define  $Z_{bulk}[\Phi|_{\partial AdS}]$ , but one can define it in semi-classical limit:  $g_s \rightarrow 0$  and  $\alpha' \rightarrow 0$ . The path integral formalism gives

$$Z_{bulk}[\Phi|_{\partial AdS}] = \int_{\Phi|_{\partial AdS} = \phi(x)} D\Phi e^{S_E[\Phi]} \quad (5)$$

where the action is

$$S_E[\Phi] = -\frac{1}{2\kappa^2} \int d^{d+1}x \sqrt{g}(\mathcal{R} + \mathcal{L}_{matter}) \quad (6)$$

In the semi-classical limit, the leading order partition function is given by its stationary point, namely the classical solution:

$$Z_{bulk} = \exp(S_E[\Phi_c]) \quad (7)$$

where  $\Phi_c$  is the classical solution to equation of motion that satisfies the boundary conditions. As we know before, this limit corresponds to  $N \rightarrow \infty$  and  $\lambda \rightarrow \infty$  limit in the boundary theory. In particular,  $S_E[\Phi_c] \propto \frac{1}{2\kappa^2} \propto N^2$  is consistent with the leading behavior of a large  $N$  gauge theory.

Thus in  $N \rightarrow \infty$ ,  $\lambda \rightarrow \infty$  limit, we have the following crucial formula

$$\log Z_{CFT}[\phi] = S_E[\Phi_c]|_{\Phi_c \rightarrow z^a \phi(x)} \quad (z \rightarrow 0) \quad (8)$$

where  $a$  depends on dimension and spin of an operator, e.g.  $a = d - \Delta$  for scalar operator of dimension  $\Delta$ ;  $a = 0$  for conserved current;  $a = 2$  for stress tensor.

Remarks

1. In the generating functional,  $\phi$  in  $\int d^d x \phi(x) \mathcal{O}(x)$  should be considered as infinitesimal correction, i.e.  $\log Z_{CFT}[\phi]$  should be considered as a power series of  $\phi(x)$ . Similar for the right hand side of (8), where the non-normalizable mode of  $\Phi$  should be infinitesimal and created perturbatively.
2. Both sides of (8) are in fact divergent. The left hand side has usual UV divergences of a QFT, which by IR/UV connection, corresponds to the volume divergences of AdS on the right hand side. Thus in order to obtain finite answer, we need to renormalize them. The approach is to set a cutoff of  $z = \epsilon$  when  $z \rightarrow 0$  and add a local functional contact term. To be specific, we have

$$S_E^{(R)}[\Phi_c] = S_E[\Phi_c]|_{z=\epsilon} + S_{ct}[\Phi_c(\epsilon)] \quad (9)$$

3. With renormalized action, general connected  $n$ -point function is given by functional derivative with respect to  $\phi$ :

$$\langle \mathcal{O}_1(x_1) \cdots \mathcal{O}_n(x_n) \rangle_c = \frac{\delta^n \log Z_{CFT}^{(R)}}{\delta \phi_1(x_1) \cdots \delta \phi_n(x_n)} \Big|_{\phi=0} = \frac{\delta^n S_E^{(R)}[\Phi_c]}{\delta \phi_1(x_1) \cdots \delta \phi_n(x_n)} \Big|_{\phi=0} \quad (10)$$

4. Especially 1-point function is nonzero in the presence of source  $\phi$ .

$$\langle \mathcal{O}(x) \rangle_\phi = \frac{\delta S_E^{(R)}[\Phi_c]}{\delta \phi(x)} = \lim_{z \rightarrow 0} z^{d-\Delta} \frac{\delta S_E^{(R)}[\Phi_c]}{\delta \Phi_c(z, x)} \quad (11)$$

Note  $\frac{\delta S_E^{(R)}[\Phi_c]}{\delta \Phi_c(z, x)} \sim \Pi_c(z, x)$  is the canonical momentum conjugate to  $\Phi$  treating  $z$  as “time” (later we will show this for scalar field). Now we get

$$\langle \mathcal{O}(x) \rangle_\phi \sim \lim_{z \rightarrow 0} z^{d-\Delta} \Pi_c^{(R)}(z, x) \quad (12)$$

One can show that for  $\Phi(z, x) \rightarrow z^{d-\Delta} A(x) + z^\Delta B(x)$  when  $z \rightarrow 0$ ,

$$\langle \mathcal{O}(x) \rangle_\phi = 2\nu B(x) \quad \left( \nu = \Delta - \frac{d}{2} \right) \quad (13)$$

namely the 1-point function corresponds to the normalizable mode function. One can check that  $\langle \mathcal{O}(x) \rangle_\phi$  and  $B(x)$  do have the same transformation property under scaling:  $\langle \mathcal{O}(\lambda x) \rangle_\phi = \lambda^{-\Delta} \langle \mathcal{O}(x) \rangle_\phi$  and  $\Phi(\lambda z, \lambda x) = \Phi(z, x) \xrightarrow{z \sim 0} \lambda^\Delta z^\Delta B(\lambda x) = z^\Delta B(x) \implies B(\lambda x) = \lambda^{-\Delta} B(x)$ .

Let us calculate a massive scalar field as an example to show the above equality is valid. The Euclidean action is given by

$$S_E = -\frac{1}{2} \int d^d x dz \sqrt{g} (g^{MN} \partial_M \Phi \partial_N \Phi + m^2 \Phi^2) \quad (14)$$

and metric is

$$ds^2 = \frac{R^2}{z^2} (dz^2 + dx^2) \quad dx^2 \equiv \eta_{\mu\nu} dx^\mu dx^\nu \quad (15)$$

Our goal is to find  $S_E^{(R)}[\Phi_c]$  for  $\lim_{z \rightarrow 0} z^{\Delta-d} \Phi_c(x) = \phi(x)$ . Take the functional derivative with respect to  $\partial_z \Phi$  to Lagrangian, we get the canonical momentum as  $\Pi = -\sqrt{g} g^{zz} \partial_z \Phi$ . The equation of motion is

$$-\partial_M (\sqrt{g} g^{MN} \partial_N \Phi) + m^2 \Phi = 0 \quad (16)$$

We write  $\Phi = \Phi(k, z) e^{ikx}$  and plug into the equation of motion to get

$$z^{d+1} \partial_z (z^{1-d} \partial_z \Phi) - k^2 z^2 \Phi - m^2 R^2 \Phi = 0 \quad (17)$$

This equation can be exactly solved, but we will not need it until the last step. Since we are calculating the effective action with classical solution  $\Phi$ , we get

$$\begin{aligned} S_E[\Phi_c] &= -\frac{1}{2} \int d^{d+1} x \Phi_c (-\partial_M (\sqrt{g} g^{MN} \partial_N \Phi) + m^2 \Phi) - \frac{1}{2} \int_0^\infty dz d^d x \partial_M (\sqrt{g} g^{MN} \partial_N \Phi_c \Phi_c) \\ &= \frac{1}{2} \int d^d x \Pi_c(z, x) \Phi_c(z, x) \Big|_0^\infty \\ &= \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \Phi_c(k, z) \Pi_c(-k, z) \Big|_0^\infty \end{aligned} \quad (18)$$

where in the second line we used the equation of motion and it shows that  $\frac{\delta S_E^{(R)}[\Phi_c]}{\delta \Phi_c(z, x)} \sim \Pi_c(z, x)$  in the limit  $z \rightarrow 0$ .

First look at its behavior as  $z \rightarrow \infty$ . Since we should require the regularity of  $\Phi$  in the bulk,  $\Phi$  must be finite when  $z \rightarrow \infty$ , so is  $\partial_z \Phi$ . However, we know  $\Pi = -\sqrt{g} g^{zz} \partial_z \Phi \sim z^{1-d} \partial_z \Phi \rightarrow 0$  when  $z \rightarrow \infty$ . Then the term in infinity vanishes. For  $z \rightarrow 0$ , the behavior is

$$\Phi_c \rightarrow A(x) z^{d-\Delta} + B(x) z^\Delta \quad (19)$$

and

$$\Pi = -\sqrt{g}g^{zz}\partial_z\Phi \rightarrow -(d-\Delta)A(x)z^{-\Delta} - \Delta B(x)z^{\Delta-d} \quad (20)$$

If we restrict ourselves with  $\Delta = \frac{d}{2} + \nu \geq \frac{d}{2}$ , then we see  $\Phi_c\Pi_c$  is divergent because of  $z^{d-2\Delta}$  term (for  $\Delta = \frac{d}{2}$  requires special treatment), so is  $S_E[\Phi_c]$ . Then we need to introduce a contact term to cancel it. As we discussed before, the renormalized action is

$$S_E[\Phi_c] = -\frac{1}{2} \int d^d x \Pi_c \Phi_c \Big|_{\epsilon} + S_{ct}[\Phi_c(\epsilon, x)] \quad (21)$$

where the contact term can be chosen as

$$S_{ct}[\Phi_c(\epsilon, x)] = \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} f(k^2) \Phi_c(k, z) \Phi_c(-k, z) \quad (22)$$

where  $f(k^2)$  is some analytic function in  $k^2$  such that to cancel the divergence and then taking the limit  $\epsilon \rightarrow 0$ . For this purpose, we will need to consider subleading terms in (19). Consider the basis of solutions  $\Phi_1$  and  $\Phi_2$  defined by

$$\Phi_1 \rightarrow z^{d-\Delta} \quad \Phi_2 \rightarrow z^{\Delta} \quad (z \rightarrow 0) \quad (23)$$

For small  $z$ ,

$$\Phi_1 = z^{d-\Delta}(1 + a_1 k^2 z^2 + \dots) \quad (24)$$

$$\Phi_2 = z^{\Delta}(1 + b_1 k^2 z^2 + \dots) \quad (25)$$

where each expansion is analytic in  $k^2$  because the equation of motion depends only on  $k^2$ . The canonical momenta basis are given by

$$\Pi_1 \rightarrow -(d-\Delta)z^{-\Delta} \quad (26)$$

$$\Pi_2 \rightarrow -\Delta z^{\Delta-d} \quad (27)$$

Our general solution should be expanded upon those basis as

$$\Phi_c = A(k)\Phi_1 + B(k)\Phi_2 \quad (28)$$

$$\Pi_c = A(k)\Pi_1 + B(k)\Pi_2 \quad (29)$$

Substitute this to the action, we get

$$S_E[\Phi_c]|_{z=\epsilon} = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} (A^2 \Pi_1 \Phi_1 + B^2 \Pi_2 \Phi_2 + AB(\Pi_1 \Phi_2 + \Phi_1 \Pi_2)) \quad (30)$$

where the notation is  $A^2 \equiv A(k)A(-k)$  as so on. Note in above formula, the first term is divergent, the second is vanishing and the last one is finite. This implies that we can choose our contact term as

$$\begin{aligned} S_{ct} &= \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} \frac{\Pi_1}{\Phi_1} \Phi^2 \\ &= \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} \frac{\Pi_1}{\Phi_1} (A\Phi_1 + B\Phi_2)^2 \\ &= \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} \left( A^2 \Phi_1 \Pi_1 + 2AB \Pi_1 \Phi_2 + B^2 \frac{\Pi_1}{\Phi_1} \Phi_2^2 \right) \end{aligned} \quad (31)$$

where  $\Pi_1/\Phi_1|_{z=\epsilon}$  is an analytic function in  $k^2$ . We see the first term is the divergent to cancel the one in  $S_E$ , the second term is finite and the last term is vanishing. Sum them together and we get the renormalized action:

$$S_E^{(R)}[\Phi_c] = \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} A(-k)B(k)(\Pi_1 \Phi_2 - \Phi_1 \Pi_2) = \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} 2\nu A(-k)B(k) \quad (32)$$

Imposing the boundary condition  $A(k) = \phi(k)$  and the regularity of  $\Phi$  at  $z \rightarrow \infty$  will fix the ration  $\chi(k) = B(k)/A(k)$  (for this part we have to solve the equation of motion explicitly), we see

$$S_E^{(R)}[\Phi_c] = \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} 2\nu \chi(k) \phi(k) \phi(-k) \quad (33)$$

where  $\chi(k)$  is independent on  $\phi(k)$  and which implies

$$\langle \mathcal{O}(k) \rangle_\phi = 2\nu\chi(k)\phi(k) = 2\nu B(k) \quad (34)$$

or in coordinate space:

$$\langle \mathcal{O}(x) \rangle_\phi = 2\nu B(x) \quad (35)$$

From this formula, we can also calculate 2-point function

$$G_E(k) = \left. \frac{\delta^2 S_E^{(R)}}{\delta\phi(k)\delta\phi(-k)} \right|_{\phi=0} = 2\nu\chi(k) = \langle \mathcal{O}(x) \rangle_\phi / \phi(k) \quad (36)$$

In other words, this is a linear response of 1-point function to the source  $\phi(k)$ ,

$$\langle \mathcal{O}(x) \rangle_\phi = G_E(k)\phi(k) \quad (37)$$

If we solve out the equation of motion explicitly, we can find  $\chi$  such that we can calculate the two point function from bulk point of view. The solution is Bessel function if we require regularity at  $z \rightarrow \infty$ ,

$$\Phi_c \propto z^{d/2} K_\nu(kz) \quad (38)$$

as  $z \rightarrow 0$ ,

$$K_\nu(kz) = \frac{\Gamma(\nu)}{2} \left(\frac{kz}{2}\right)^{-\nu} (1 + \dots) + \frac{\Gamma(-\nu)}{2} \left(\frac{kz}{2}\right)^\nu (1 + \dots) \quad (39)$$

which implies

$$\chi(k) = \frac{B}{A} = \frac{\Gamma(-\nu)}{\Gamma(\nu)} \left(\frac{k}{2}\right)^{2\nu} \implies G_E(k) = 2\nu \frac{\Gamma(-\nu)}{\Gamma(\nu)} \left(\frac{k}{2}\right)^{2\nu} \quad (40)$$

We can do the Fourier transformation back to coordinate space and find

$$G_E(x) \propto \frac{1}{|x|^{2\Delta}} \quad (41)$$

which is consistent with the 2-point function of CFT of a scalar with scaling dimension  $\Delta$ .

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