

# 8.821/8.871 Holographic duality

MIT OpenCourseWare Lecture Notes

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## Lecture 7

In fact, any orientable two dimensional surface is classified topologically by an integer  $h$ , called the genus. The genus is equal to the number of “holes” that the surface has (Fig. 1).

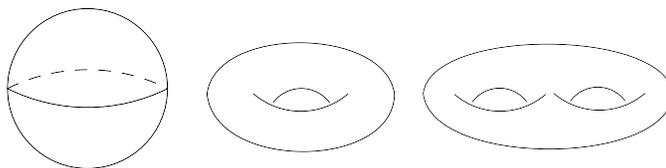


Figure 1: sphere (genus-0), torus (genus-1) and double torus (genus-2).

An topological invariant of the manifold is the Euler character:

$$\chi = 2 - 2h$$

Here we make some claims:

1. For any non-planar diagram, there exists an integer  $h$ , such that the diagram can be straightened out (*i.e.* non-crossing) on a genus- $h$  surface, but not on a surface with a smaller genus.
2. For any non-planar diagram, the power of  $N$  that comes from contracting propagators is given by the number of faces on such a genus- $h$  surface, *i.e.* the number of disconnected regions separated by the diagram.

Both claims are self-evident after a bit practices.

In general, a vacuum diagram has the following dependence on  $g^2$  and  $N$ :

$$A \sim (g^2)^E (g^2)^{-V} N^F$$

where  $E$  is the number of propagators,  $V$  is the number of vertices,  $F$  is the number of faces. This does not give a sensible  $N \rightarrow \infty$  limit or  $1/N$  expansion, since there is no upper limit on  $F$ . However, 't Hooft suggests that we can take the limit  $N \rightarrow \infty$  and  $g^2 \rightarrow 0$  but keep  $\lambda = g^2 N$  fixed. Then

$$A \sim (g^2 N)^{E-V} N^{F+V-E} = \lambda^{L-1} N^\chi = \lambda^{L-1} N^{2-2h}$$

where  $L$  is the number of loops. The relation  $\chi = F + V - E$  is guaranteed by the following theorem.

Theorem: Given a surface composed of polygons with  $F$  faces,  $E$  edges and  $V$  vertices, the Euler character satisfy

$$\chi = F + V - E = 2 - 2h$$

Since each Feynman diagram can be considered as a partition of the surface separating it into polygons, then the above theorem also works for our counting in  $N$ .

Thus in this limit, to the leading order in  $N$  is the planar diagrams

$$N^2(c_0 + c_1 \lambda + c_2 \lambda^2 + \dots) = N^2 f_0(\lambda)$$

Because  $\log Z$  evaluates the sum of all vacuum diagrams, we can conclude, including higher order  $1/N^2$  corrections:

$$\log Z = \sum_{h=0}^{\infty} f_h(\lambda) = N^2 f_0(\lambda) + f_1(\lambda) + \frac{1}{N^2} f_2(\lambda) + \dots$$

The first term comes from the planar diagrams, second term from the genus-1 diagrams, etc.

There is a heuristic way to understand  $\log Z = O(N^2) + \dots$ . Since  $Z = \int D\Phi e^{iS[\Phi]}$  and we can rewrite the Lagrangian as

$$\mathcal{L} = \frac{N}{\lambda} \text{Tr} \left[ \frac{1}{2} (\partial\Phi)^2 + \frac{1}{4} \Phi^4 \right]$$

The trace also gives a factor of  $N$ , thus  $\mathcal{L} \sim O(N^2)$ , we have  $\log Z \sim O(N^2)$ .

Clearly our discussion only depends on the matrix nature of the fields. So for any Lagrangian of matrix valued fields of the form

$$\mathcal{L} = \frac{N}{\lambda} \text{Tr}(\dots)$$

we would have

$$\log Z = \sum_{h=0}^{\infty} N^{2-2h} f_h(\lambda)$$

To summarize, in the 't Hooft limit,  $1/N$  expansion is the same as topological expansion in terms of topology of Feynman diagrams.

### General observables

Now we have introduced two theories:

$$(a) \quad \mathcal{L} = -\frac{1}{g^2} \text{Tr} \left[ \frac{1}{2} (\partial\Phi)^2 + \frac{1}{4} \Phi^4 \right]$$

$$(b) \quad \mathcal{L} = \frac{1}{g_{YM}^2} \left[ -\frac{1}{4} \text{Tr} F_{\mu\nu} F^{\mu\nu} - i\bar{\Psi}(\not{D} - m)\Psi \right]$$

(a) is invariant under the global  $U(N)$  transformation:  $\Phi \rightarrow U\Phi U^\dagger$  with  $U$  constant  $U(N)$  matrix, *i.e.* the theory has a global  $U(N)$  symmetry. (b) is invariant under local  $U(N)$  transformation:  $A_\mu \rightarrow U(x)A_\mu U^\dagger(x) - i\partial_\mu U(x)U^\dagger(x)$  with  $U(x)$  any  $U(N)$  matrix, the theory has a  $U(N)$  gauge symmetry.

On the other hand, consider allowed operators in the two theories. In (a), operators like  $\Phi^a_b$  are allowed, although it is not invariant under global  $U(N)$  symmetry. But in (b), allowed operators must be gauge invariant, so  $\Phi^a_b$  is not allowed. So if we consider gauge theories:  $\mathcal{L} = \mathcal{L}(A_\mu, \Phi, \dots)$ , the allowed operators will be

Single-trace operators :  $\text{Tr}(F_{\mu\nu}F^{\mu\nu}), \text{Tr}(\Phi^n), \dots$

Multiple-trace operators :  $\text{Tr}(F_{\mu\nu}F^{\mu\nu}) \text{Tr}(\Phi^2), \text{Tr}(\Phi^2) \text{Tr}(\Phi^n) \text{Tr}(\Phi^n), \dots$

We denote single-trace operators as  $\mathcal{O}_k$ ,  $k = 1, \dots$  represents different operators. Then multiple-trace ones will be like  $\mathcal{O}_m \mathcal{O}_n(x), \mathcal{O}_{m_1} \mathcal{O}_{m_2} \mathcal{O}_{m_3}(x), \dots$

So general observables will be correlation functions of gauge invariant operators, here we focus on local operators:

$$\langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \dots \mathcal{O}_n(x_n) \rangle_c \quad (1)$$

Note that it is enough to focus on single-trace operators since multiple-trace ones are products of them. Since we are working in the 't hooft limit, we want to know how correlation (Eq. 1) scales in the large  $N$  limit. There is a trick, consider

$$Z[J_1, \dots, J_n] = \int DA_\mu D\Phi \dots \exp(iS_{eff}) = \int DA_\mu D\Phi \dots \exp \left[ iS_0 + iN \sum_j \int J_i(x) \mathcal{O}_i(x) \right]$$

Then the correlation (Eq. 1) can be expressed as

$$\langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \dots \mathcal{O}_n(x_n) \rangle_c = \frac{\delta^n \log Z}{\delta J_1(x_1) \dots \delta J_n(x_n)} \Big|_{J_1=\dots=J_n=0} \frac{1}{(iN)^n} \quad (2)$$

With  $\mathcal{O}_i$  single-trace operators,  $S_{eff}$  has the form  $N \text{Tr}(\dots)$ . So we have

$$\log Z [J_1, \dots, J_n] = \sum_{h=0}^{\infty} N^{2-2h} f_h(\lambda, \dots)$$

Applying Eq. (2),

$$\langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \dots \mathcal{O}_n(x_n) \rangle_c \sim N^{2-n} \left[ 1 + O\left(\frac{1}{N^2}\right) + \dots \right]$$

*e.g.*

$$\begin{aligned} \langle \mathbb{1} \rangle &\sim O(N^2) + O(N^0) + \dots \\ \langle \mathcal{O} \rangle &\sim O(N) + O(N^{-1}) + \dots \\ \langle \mathcal{O}_1 \mathcal{O}_2 \rangle_c &\sim O(N^0) + O(N^{-2}) + \dots \\ \langle \mathcal{O}_1 \mathcal{O}_2 \mathcal{O}_3 \rangle_c &\sim O(N^{-1}) + O(N^{-3}) + \dots \end{aligned}$$

All leading order contributions come from planar diagrams.

Physical implications:

- In the large N limit,  $\mathcal{O}(x)|0\rangle$  can be interpreted as creating a single-particle state ("glue ball"). Similarly  $:\mathcal{O}_1 \dots \mathcal{O}_n(x) : |0\rangle$  represents n-particle state.
  - since  $\langle \mathcal{O}_i \mathcal{O}_j \rangle \sim O(N^0)$ , we can diagonalize them such that  $\langle \mathcal{O}_i \mathcal{O}_j \rangle \propto \delta^i_j$ .
  - $\langle \mathcal{O}_i(x) \mathcal{O}_j^2(y) \rangle \sim O(N^{-1}) \rightarrow 0$  as  $N \rightarrow \infty$ , *i.e.* there is no mixing between single-trace and multiple-trace operators in the large N limit.
  - $\langle \mathcal{O}_1 \mathcal{O}_2(x) \mathcal{O}_1 \mathcal{O}_2(y) \rangle = \langle \mathcal{O}_1(x) \mathcal{O}_1(y) \rangle \langle \mathcal{O}_2(x) \mathcal{O}_2(y) \rangle + \langle \mathcal{O}_1 \mathcal{O}_2(x) \mathcal{O}_1 \mathcal{O}_2(y) \rangle_c$ , the first term is the multiple of independent propagators of  $\mathcal{O}_1$  and  $\mathcal{O}_2$  states, the second term scales like  $O(N^{-2})$ .

Note that it is not necessary there exists a stable on-shell particle associated with  $\mathcal{O}_i(x)|0\rangle$ .

- The fluctuations of "glue balls" are suppressed:

suppose  $\langle \mathcal{O} \rangle \neq 0 \sim O(N)$ , the variance of  $\langle \mathcal{O} \rangle$  is  $\langle \mathcal{O}^2 \rangle - \langle \mathcal{O} \rangle^2 = \langle \mathcal{O}^2 \rangle_c \sim O(1)$ , *i.e.*  $\frac{\sqrt{\langle \mathcal{O}^2 \rangle_c}}{\langle \mathcal{O} \rangle} \sim N^{-1} \rightarrow 0$ . Also  $\langle \mathcal{O}_1 \mathcal{O}_2 \rangle = \langle \mathcal{O}_1 \rangle \langle \mathcal{O}_2 \rangle + \langle \mathcal{O}_1 \mathcal{O}_2 \rangle_c$ , the first term scales as  $O(N^2)$  while the second term scales as  $O(1)$ . Thus in the large N limit, it is more like a classical theory.

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Fall 2014

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